

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent No.: 5,196,404  
 Issued: 23 March 1993  
 Filed: 6 July 1990  
 Inventors: Maraganore *et al.*  
 Title: INHIBITORS OF THROMBIN

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FEB 20 2001

Commissioner for Patents  
 Washington, D.C. 20231  
 Box Patent Ext.

OFFICE OF PETITIONS

APPLICATION PURSUANT TO 35 U.S.C §156(d)(1) AND  
 37 C.F.R. §1.740 FOR EXTENSION OF PATENT TERM

Hon. Commissioner

Applicants hereby request that the term of the above-identified United States Patent be extended pursuant to 35 U.S.C. 156. The information required by 37 C.F.R. §1.740(a) is provided below and in the Appendices.

- (1) The generic name of the approved product is bivalirudin. Its chemical name and physical characteristics are provided in Appendix A.
- (2) The regulatory review of the approved product occurred pursuant to section 505(b) of the Federal Food Drug and Cosmetic Act (See Appendix B).
- (3) The date on which the approved product received permission for commercial marketing was 15 December 2000.
- (4) The active ingredient in the approved product is bivalirudin. Bivalirudin has not been previously approved for commercial marketing or use under the Federal Food, Drug and Cosmetic Act, the Public Health Service Act, or the Virus-Serum-Toxin Act.
- (5) ~~This application is being submitted within the 60 day period permitted for submission pursuant to 37 C.F.R. §1.720(f). The last date upon which this application could be submitted is 15 February 2001.~~ *5mm 2/14/01*

(6) The patent for which an extension is being sought is in the name of the inventors John M. Maraganore, John W. Fenton, II and Toni Kline. The United States Patent No. is 5,196,404 ("The Patent"). The patent issued on 23 March 1993 and will expire on 23 March 2010. The patent is exclusively licensed by the applicant. (See Exhibit C)

(7) A copy of The Patent is provided herewith as Appendix D.

(8) A copy of a certificate of correction dated 18 October 1994 is provided herewith as Appendix E. A reexamination certificate issued 10 September 1996 is provided herewith as Appendix F. A receipt for a maintenance fee paid in August 1996 is provided herewith as Appendix G. A receipt for a maintenance fee paid in August 2000 is provided herewith as Appendix H. No disclaimer has been made for this patent.

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(9) The approved product is covered by claims 1-6, 9, 13, 14, 16 and 21 of The Patent. This is illustrated for claim 1 below.

| <u>Limitations of claim 1</u>   | <u>Elements of the approved product</u>  |
|---|--|
| A thrombin inhibitor consisting of:   | Bivalirudin is a thrombin inhibitor (see Appendix I).  |
| a catalytic site -directed moiety that binds to and inhibits the active site of thrombin;   | The catalytic site -directed moiety of bivalirudin binds to and inhibits the active site of thrombin (see Appendix I).   |
| wherein said catalytic site -directed moiety is selected from serine protease inhibitors .....  | The catalytic site -directed moiety of bivalirudin is a serine protease inhibitor which inhibits the serine protease thrombin (See Appendix I).  |
| a linker moiety characterized by a backbone chain having a calculated length of between about 18Å and about 42Å; and  | The linker region of bivalirudin is the peptide Gly-Gly-Gly-Asp-Gly-Asp-Phe, a preferred linker within the 18Å to 42Å length range (See Appendix I and Appendix D at Col. 9, l. 25-47).  |
| an anion binding exosite associating moiety;  | Bivalirudin has a moiety that specifically binds the anion-binding exosite of thrombin (See Appendix I and Appendix D). Appendix D, at Col. 9, l. 50-67, defines the preferred anion-binding exosite associating moiety as W-B1-B2-B3-B4-B5-B6-B7-B8-Z, wherein W is a bond, B1 is Glu, B2 is Glu, B3 (see Appendix E, first page) is Ile, B4 is Pro, B5 is Glu, B6 is Glu, B7 is Tyr-Leu, B8 is a bond and Z is OH. As shown in Appendix I, this section of Appendix D describes the anion-binding exosite associating moiety of bivalirudin. |
| said catalytic site-directed moiety being bound to said linker moiety and said linker moiety being bound to said anion binding exosite associating moiety; (See Appendix D at Col. 9, l. 25-29) | In bivalirudin, the catalytic site-directed moiety is bound to the linker moiety by a peptide bond and the linker moiety is bound to the said anion binding exosite associating moiety by a peptide bond (See Appendix I).   |
| wherein said inhibitor is capable of simultaneously binding to the catalytic site and the anion binding exosite of thrombin.  | Bivalirudin is capable of simultaneously binding to the catalytic site and the anion binding exosite of thrombin (See Appendix I).   |

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(10) The effective date of the investigational new drug (IND) application for the approved product was 2 November 1990. The IND number is 35,756.

The date on which a new drug application (NDA) was initially submitted was 23 December 1997. The NDA number is NDA 20-873.

The date on which the NDA was approved was 15 December 2000.

(11) Significant activities undertaken by the marketing applicant during the applicable regulatory review period with respect to the approved product and the significant dates applicable to such activities are set forth in Appendix J. Although significant activities were undertaken prior to 23 March 1993, these have been excluded as preceding the issue date of the patent, and therefor not being relevant to this analysis.

(12) In the opinion of the applicant, The Patent is eligible for the maximum statutory extension of 14 years from FDA approval (*i.e.* until 15 December 2014). (See Appendix K) This determination was made by

- (A) determining the number of days between the issue date of The Patent (23 March 1993) and the filing date of the NDA (23 December 1997) during such period of the regulatory review period = 1736 days;
- (B) calculating a period of days during which the applicant did not act with due diligence during such period of the regulatory review period (1996, when the program was being transferred from Biogen to applicant) = 366 days
- (C) taking the result of A-B and dividing the number of days by two = 685 days;
- (D) determining the number of days between the filing date of the NDA (23 December 1997) and the date of approval of the NDA (15 December 2000) during which applicant did act with due diligence during such period of the regulatory review period = 1088 days;
- (E) compiling the number of days from (C) + (D) = 1773 days;

In the opinion of the Applicant, therefore, the term of the patent should be extended to 15 December 2014. This determination is made by:

- (F) adding (E) to the date of expiration of The Patent (23 March 2010) to determine when the extended patent would expire = 29 January 2015;  
and
- (G) determining that this expiration date is greater than 14 years beyond the date of approval of the NDA (15 December 2014);
- (H) therefore the patent term should be extended to 15 December 2014).

(13) The applicant hereby acknowledges a duty to disclose to the Commissioner of Patents and Trademarks and the Secretary of Health and Human Services any information which is material to the determination of entitlement to the extension sought.

(14) A check in the amount of \$1,120.00 in payment of the fee pursuant to 37 C.F.R. §1.20(j) is enclosed herewith. The Commissioner is authorized to charge any additional fees to Deposit Account No. 06-1075.

(15) All inquiries and correspondence relating to this application should be directed to Paul Granger, Esq., The Medicines Company, One Cambridge Center, Cambridge, Massachusetts 02142, Telephone 617-225-9099.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Scott D. Miller (Reg. No. 43,803)

Agent for Applicants

c/o FISH & NEAVE

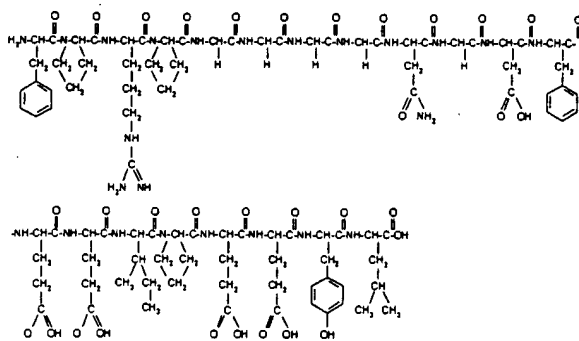
1251 Avenue of the Americas

New York, New York 10020-1104

Tel: (212) 596-9000

**DESCRIPTION**  
**Angiomax™ (bivalirudin)** is a specific and reversible direct thrombin inhibitor. The active substance is a synthetic, 20 amino acid peptide. The chemical name is D-phenylalanyl-L-prolyl-L-arginyl-L-prolyl-glycyl-glycyl-L-asparagyl-L-glutaminyl-L-phenylalanyl-L-glutamyl-L-glutamyl-L-isoleucyl-L-prolyl-L-glutamyl-L-glutamyl-L-tyrosyl-L-leucine trifluoroacetate (salt) hydrate (Figure 1). The molecular weight of Angiomax is 2180 daltons (anhydrous free base peptide). Angiomax is supplied in single-use vials as a white lyophilized cake, which is sterile. Each vial contains 250 mg bivalirudin, 125 mg mannitol, and sodium hydroxide to adjust the pH to 5 to 8 (equivalent of approximately 12.5 mg sodium). When reconstituted with Sterile Water for Injection the product yields a clear to opalescent, colorless to slightly yellow solution, pH 5-8.

Figure 1. Structural Formula for Bivalirudin



## CLINICAL PHARMACOLOGY

### General:

Angiomax directly inhibits thrombin by specifically binding both to the catalytic site and to the anion-binding exosite of circulating and clot-bound thrombin. Thrombin is a serine proteinase that plays a central role in the thrombotic process, acting to cleave fibrinogen into fibrin monomers and to activate Factor XIII to Factor XIIIa, allowing fibrin to develop a covalently cross-linked framework which stabilizes the thrombus; thrombin also activates Factors V and VIII, promoting further thrombin generation, and activates platelets, stimulating aggregation and granule release. The binding of Angiomax to thrombin is reversible as thrombin slowly cleaves the Angiomax-Arg-Pro, bond, resulting in recovery of thrombin active site functions.

In *in vitro* studies, bivalirudin inhibited both soluble (free) and clot-bound thrombin, was not neutralized by products of the platelet release reaction, and prolonged the activated partial thromboplastin time (aPTT), thrombin time (TT), and prothrombin time (PT) of normal human plasma in a concentration-dependent manner. The clinical relevance of these findings is unknown.

### Pharmacokinetics:

Bivalirudin exhibits linear pharmacokinetics following intravenous (IV) administration to patients undergoing percutaneous transluminal coronary angioplasty (PTCA). In these patients, a mean steady state bivalirudin concentration of  $12.3 \pm 1.7$  mcg/mL is achieved following an IV bolus of 1 mg/kg and a 4-hour 2.5 mg/kg/h IV infusion. Bivalirudin is cleared from plasma by a combination of renal mechanisms and proteolytic cleavage, with a half-life in patients with normal renal function of 25 minutes. The disposition of bivalirudin was studied in PTCA patients with mild and moderate renal impairment and in patients with severe renal impairment. Drug elimination was related to glomerular filtration rate (GFR). Total body clearance was similar for patients with normal renal function and with mild renal impairment (60-89 mL/min). Clearance was reduced approximately 20% in patients with moderate and severe renal impairment and was reduced approximately 80% in dialysis-dependent patients. See Table 1 for pharmacokinetic parameters and dose reduction recommendations. For patients with renal impairment the activated clotting time (ACT) should be monitored. Bivalirudin is hemodialyzable. Approximately 25% is cleared by hemodialysis.

Bivalirudin does not bind to plasma proteins (other than thrombin) or to red blood cells.

Table 1. PK parameters and dose adjustments in renal impairment

| Renal Function (GFR, mL/min)               | Clearance (mL/min/kg) | Half-life (minutes) | % reduction in infusion dose |
|--|-----------------------|---------------------|------------------------------|
| Normal renal function ( $\geq 90$ mL/min)  | 3.4                   | 25                  | 0                            |
| Mild renal impairment (60-90 mL/min)       | 3.4                   | 22                  | 0                            |
| Moderate renal impairment (30-59 mL/min)   | 2.7                   | 34                  | 20                           |
| Severe renal impairment (10-29 mL/min)     | 2.8                   | 57                  | 60                           |
| Dialysis-dependent patients (off dialysis) | 1.0                   | 3.5 hours           | 90                           |

\* The ACT should be monitored in renally-impaired patients

### Pharmacodynamics:

In healthy volunteers and patients (with  $\geq 70\%$  vessel occlusion undergoing routine angioplasty), bivalirudin exhibits linear dose- and concentration-dependent anticoagulant activity as evidenced by prolongation of the ACT, aPTT, PT, and TT. Intravenous administration of Angiomax produces an immediate anticoagulant effect. Coagulation times return to baseline approximately 1 hour following cessation of Angiomax administration.

In 291 patients with  $\geq 70\%$  vessel occlusion undergoing routine angioplasty, a positive correlation was observed between the dose of Angiomax and the proportion of patients achieving ACT values of 300 sec or 350 sec. At an Angiomax dose of 1.0 mg/kg IV bolus plus 2.5 mg/kg/h IV infusion for 4 hours, followed by 0.2 mg/kg/h, all patients reached maximal ACT values  $> 300$  sec.

### Clinical Trials:

Angiomax was evaluated in patients with unstable angina undergoing PTCA in 2 randomized, double-blind, multicenter studies with identical protocols. Patients must have had unstable angina defined as: (1) a new onset of severe or accelerated angina or rest pain within the month prior to study entry or (2) angina or ischemic rest pain which developed between four hours and two weeks after an acute myocardial infarction (MI). Overall, 4312 patients with unstable angina, including 741 (17%) patients with post-MI angina, were treated in a 1:1 randomized fashion with Angiomax or heparin. Patients ranged in age from 29-90 (median 63) years, their weight was a median of 80 kg (39-120 kg), 68% were male, and 91% were Caucasian. Twenty-three percent of patients were treated with heparin within one hour prior to randomization. All patients were administered aspirin 300-325 mg prior to PTCA and daily thereafter. Patients randomized to Angiomax were started on an intravenous infusion of Angiomax (2.5 mg/kg/h). Within 5 minutes after starting the infusion, and prior to PTCA, a 1 mg/kg loading dose was administered as an intravenous bolus. The infusion was continued for 4 hours, then the infusion was changed under double-blinded conditions to Angiomax (0.2 mg/kg/h) for up to an additional 20 hours (patients received this infusion for an average of 14 hours). The ACT was checked at 5 minutes and at 45 minutes following commencement. If on either occasion the ACT was  $< 350$  seconds, an additional double-blinded bolus of placebo was administered. The Angiomax dose was not titrated to ACT. Median ACT values were: ACT in seconds (5<sup>th</sup> percentile-95<sup>th</sup> percentile): 345 sec (240-595 seconds) at 5 min and 346 sec (range 269-583 sec) at 45 min after initiation of dosing. Patients randomized to heparin were given a loading dose (175 IU/kg) as an intravenous bolus 5-minutes before the planned procedure, with immediate commencement of an infusion of heparin (15 IU/kg/h). The infusion was continued for 4 hours. After 4-hours of infusion, the heparin infusion

E Exhibit A  
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**Angiomax™**  
 (bivalirudin)  
 FOR INJECTION

the ACT was  $< 350$  seconds, an additional double-blind bolus of heparin (60 IU/kg) was administered. Once the target ACT was achieved for heparin patients, no further ACT measurements were performed. All ACTs were determined with the Hemochron® device. The protocol allowed use of open-label heparin at the discretion of the investigator after discontinuation of blinded study medication, whether or not an endpoint event (procedural failure) had occurred. The use of open-label heparin was similar between Angiomax and heparin treatment groups (about 20% in both groups).

The studies were designed to demonstrate the safety and efficacy of Angiomax in patients undergoing PTCA as a treatment for unstable angina as compared with a control group of similar patients receiving heparin during and up to 24 hours after initiation of PTCA. The primary protocol endpoint was a composite endpoint called procedural failure, which included both clinical and angiographic elements measured during hospitalization. The clinical elements were: the occurrence of death, MI, or urgent revascularization, adjudicated under double-blind conditions. The angiographic elements were: impending or abrupt vessel closure. The protocol-specified safety endpoint was major hemorrhage.

The median duration of hospitalization was 4 days for both the Angiomax treatment group and the heparin treatment group. The rates of procedural failure were similar in the Angiomax and heparin treatment groups. Study outcomes are shown in Table 2.

Table 2. Incidences of In-hospital Clinical Endpoints in Randomized Clinical Trials Occurring within 7 Days

|                                 | ANGIOMAX™<br>n=2161 | HEPARIN<br>n=2151 |
|---------------------------------|---------------------|-------------------|
| All Patients                    |                     |                   |
| Efficacy Endpoints:             |                     |                   |
| Procedural Failure <sup>1</sup> | 7.9%                | 9.3%              |
| Death, MI, Revascularization    | 6.2%                | 7.9%              |
| Death                           | 0.2%                | 0.2%              |
| MI <sup>2</sup>                 | 3.3%                | 4.2%              |
| Revascularization <sup>3</sup>  | 4.2%                | 5.6%              |
| Safety Endpoint:                |                     |                   |
| Major Hemorrhage <sup>4</sup>   | 3.5%                | 9.3%              |

<sup>1</sup> The protocol specified primary endpoint (a composite of death or MI or clinical deterioration of cardiac origin requiring revascularization or placement of an aortic balloon pump or angiographic evidence of abrupt vessel closure).

<sup>2</sup> Defined as: Q-wave MI; CK-MB elevation  $\geq 2 \times$  ULN, new ST- or T-wave abnormality, and chest pain  $\geq 30$  mins; OR new LBBB with chest pain  $\geq 30$  mins and/or elevated CK-MB enzymes; OR elevated CK-MB and new ST- or T-wave abnormality without chest pain; OR elevated CK-MB.

<sup>3</sup> Defined as: any revascularization procedure, including angioplasty, CABG, stenting, or placement of an intra-aortic balloon pump.

<sup>4</sup> Defined as the occurrence of any of the following: intracranial bleeding, retroperitoneal bleeding, clinically overt bleeding with a decrease in hemoglobin  $\geq 3$  g/dL or leading to a transfusion of  $\geq 2$  units of blood.

## INDICATIONS AND USAGE

Angiomax is indicated for use as an anticoagulant in patients with unstable angina undergoing percutaneous transluminal coronary angioplasty (PTCA). Angiomax is intended for use with aspirin and has been studied only in patients receiving concomitant aspirin (see CLINICAL TRIALS AND DOSAGE AND ADMINISTRATION).

The safety and effectiveness of Angiomax have not been established when used in conjunction with platelet inhibitors other than aspirin, such as glycoprotein IIb/IIIa inhibitors (see PRECAUTIONS, Drug Interactions).

The safety and effectiveness of Angiomax have not been established in patients with unstable angina who are not undergoing PTCA or in patients with other acute coronary syndromes.

## CONTRAINDICATIONS

Angiomax is contraindicated in patients with:

- active major bleeding;
- hypersensitivity to Angiomax or its components.

## WARNINGS

Angiomax is not intended for intramuscular administration. Although most bleeding associated with use of Angiomax in PTCA occurs at the site of arterial puncture, hemorrhage can occur at any site. A unexplained fall in blood pressure or hematocrit, or any unexplained symptom, should lead to serious consideration of a hemorrhagic event and cessation of Angiomax administration.

There is no known antidote to Angiomax. Angiomax is hemodialyzable (see CLINICAL PHARMACOLOGY, Pharmacokinetics).

## PRECAUTIONS

### General:

Clinical trials have provided limited information for use of Angiomax in patients with heparin-induced thrombocytopenia/heparin-induced thrombocytopenia-thrombosis syndrome (HIT/HITS) undergoing PTCA. The number of HIT/HITS patients treated is inadequate to reliably assess efficacy and safety in these patients undergoing PTCA. Angiomax was administered to a small number of patients with history of HIT/HITS or active HIT/HITS and undergoing PTCA in an uncontrolled, open-label study, and in an emergency treatment program and appeared to provide adequate anticoagulation in these patients. In *in-vitro* studies, bivalirudin exhibited no platelet aggregation response against sera from patients with a history of HIT/HITS.

### Drug Interactions:

Bivalirudin does not exhibit binding to plasma proteins (other than thrombin) or red blood cells.

Drug-drug interaction studies have been conducted with the adenosine diphosphate (ADP) antagonist ticlopidine, and the glycoprotein IIb/IIIa inhibitor, abciximab, and with low molecular weight heparin. Although data are limited, precluding conclusions regarding efficacy and safety, a combination with



## DEPARTMENT OF HEALTH &amp; HUMAN SERVICES

Public Health Service

Food and Drug Administration  
Rockville MD 20857

DEC 15 2000

NDA 20-873

The Medicines Company  
Attention: Sonja Loar, Pharm. D.  
One Cambridge Center  
Cambridge, Massachusetts 02142

Dear Ms. Loar:

Please refer to your new drug application (NDA) dated December 23, 1997, received December 23, 1997, submitted under section 505(b) of the Federal Food, Drug, and Cosmetic Act for Angiomax™ (bivalirudin) Injection.

We acknowledge receipt of your submissions dated April 6, May 12 and 17, July 14, October 9, November 9, and December 1, 2000. Your submission of July 14, 2000, constituted a complete response to our May 11, 2000, action letter.

This new drug application provides for the use of Angiomax™ (bivalirudin) Injection as an anticoagulant in conjunction with aspirin in patients with unstable angina undergoing percutaneous transluminal coronary angioplasty (PTCA).

We have completed the review of this application, as amended, and have concluded that adequate information has been presented to demonstrate that the drug product is safe and effective for use as recommended in the agreed upon enclosed labeling text. Accordingly, the application is approved effective on the date of this letter.

The final printed labeling (FPL) must be identical to the enclosed labeling (text for the package insert) and submitted draft labeling (immediate container and carton labels submitted July 14, 2000). Marketing the product with FPL that is not identical to the approved labeling text may render the product misbranded and an unapproved new drug.

Please submit 20 paper copies of the FPL as soon as it is available, in no case more than 30 days after it is printed. Please individually mount ten of the copies on heavy-weight paper or similar material. Alternatively, you may submit the FPL electronically according to the guidance for industry titled *Providing Regulatory Submissions in Electronic Format - NDAs* (January 1999). For administrative purposes, this submission should be designated "FPL for approved NDA 20-873." Approval of this submission by FDA is not required before the labeling is used.

We remind you of your postmarketing commitment in your submission dated December 1, 2000. This commitment is listed below.

NDA 20-873

Page 2

Commit to completing Study TMC 98-10 entitled "Anticoagulant Therapy with Bivalirudin to Assist in the Performance of Percutaneous Coronary Intervention in Patients with Heparin-Induced Thrombocytopenia: An Open Label Study of Bivalirudin for Heparin-Induced Thrombocytopenia (HIT) or Heparin-Induced Thrombocytopenia and Thrombosis Syndrome (HITTS)" and submitting the full report for that study.

Final Report Submission: Within 36 months of the date of this letter.

Submit clinical protocols to your IND for this product. Submit nonclinical and chemistry, manufacturing, and controls protocols and all study final reports to this NDA. In addition, under 21 CFR 314.81(b)(2)(vii) and 314.81(b)(2)(viii), you should include a status summary of each commitment in your annual report to this NDA. The status summary should include expected summary completion and final report submission dates, any changes in plans since the last annual report, and, for clinical studies, number of patients entered into each study. All submissions, including supplements, relating to these postmarketing study commitments must be prominently labeled "Postmarketing Study Protocol", "Postmarketing Study Final Report", or "Postmarketing Study Correspondence."

Validation of the regulatory methods has not been completed. At the present time, it is the policy of the Center not to withhold approval because the methods are being validated. Nevertheless, we expect your continued cooperation to resolve any problems that may be identified.

Be advised that, as of April 1, 1999, all applications for new active ingredients, new dosage forms, new indications, new routes of administration, and new dosing regimens are required to contain an assessment of the safety and effectiveness of the product in pediatric patients unless this requirement is waived or deferred (63 FR 66632). We are waiving the pediatric study requirement for this action on this application.

In addition, please submit three copies of the introductory promotional materials that you propose to use for this product. All proposed materials should be submitted in draft or mock-up form, not final print. Please send one copy to the Division of Gastrointestinal and Coagulation Drug Products and two copies of both the promotional materials and the package insert directly to:

Division of Drug Marketing, Advertising, and Communications, HFD-42  
Food and Drug Administration  
5600 Fishers Lane  
Rockville, Maryland 20857

Please submit one market package of the drug product when it is available.

We remind you that you must comply with the requirements for an approved NDA set forth under 21 CFR 314.80 and 314.81.



NDA 20-873

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If you have any questions, call Julicann DuBeau, Regulatory Health Project Manager, at (301) 827-7310.

Sincerely,

*Vitz F.C. Raykane L 12/15/00 FOR FH*

Florence Houn, M.D., M.P.H., F.A.C.P.

Director

Office of Drug Evaluation III

Center for Drug Evaluation and Research

Enclosure

Exhibit c

## LICENSE AGREEMENT

This LICENSE AGREEMENT is made and entered into this 21st day of March, 1997 by and between Biogen, Inc. ("Biogen"), a Massachusetts corporation, with principal offices located at 14 Cambridge Center, Cambridge, Massachusetts 02142, and The Medicines Company, a Delaware corporation, with principal offices located at One Cambridge Center, Cambridge, Massachusetts 02142 ("TMC").

## INTRODUCTION

1. Biogen is the owner of certain patents and other proprietary rights related to HIRULOG® bivalirudin and related hirudin-based peptide analogs, and has conducted clinical trials using HIRULOG® bivalirudin for the treatment of percutaneous transluminal coronary angioplasty, acute myocardial infarction and other diseases.
2. TMC is a biopharmaceutical company which is committed to the development and commercialization of prescription pharmaceutical products.
3. TMC desires to obtain an exclusive right and license in and to Biogen's technology, patent rights and proprietary know-how related to HIRULOG® bivalirudin and other hirudin-based peptides to develop and commercialize products based on such technology, patent rights and know-how worldwide.
4. Biogen is willing to grant a license to TMC on the terms and conditions set forth in this Agreement.

NOW, THEREFORE, in consideration of the mutual promises and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

**SECTION 1 - DEFINITIONS.**

As used in this Agreement, the following terms, whether used in the singular or plural, shall have the following meanings:

1.1 "Affiliate", as applied to either party, shall mean any corporation, partnership, joint venture or other legal entity which controls, is controlled by or is under common control with such party. For purposes of this definition, the term "control" shall mean (a) in the case of corporate entities, direct or indirect ownership or control of at least fifty percent (50%) of the outstanding equity entitled to vote for directors, and (b) in the case of non-corporate entities, direct or indirect ownership of at least fifty percent (50%) of the equity interest with the ability to otherwise control the management of the entity.

1.2 "AMI" shall mean acute myocardial infarction.

1.3 "AMITrial" shall have the meaning set forth in Section 4.2.

1.4 "Biogen Patent Rights" shall mean all patents and patent applications throughout the Territory, covering or relating to Biogen Technology, including any substitutions, extensions, reissues, reexaminations, renewals, continuations, continuations-in-part, divisionals and supplemental protection certificates, which Biogen owns or Controls. Biogen Patent Rights existing as of the Effective Date are set forth in Appendix A to this Agreement.

1.5 "Biogen Technology" shall mean all Technology which Biogen owns or Controls as of the Effective Date and which is reasonably useful in order to research, develop, make, use, sell or seek approval to market Product.

1.6 "Cardiology Indications" shall mean all therapeutic, prophylactic and diagnostic applications in humans related to the management of coronary vessel disease, including inter alia, PTCA, AMI and unstable angina. Cardiology Indications shall not include inter alia, arrhythmia not of ischemic etiology, embolic or hemorrhagic stroke or any medical condition resulting from an abnormality of venous circulation.

1.7 "Commercial Development Activities" shall mean pre and post Product-launch clinical studies that are not required for regulatory approval of Product in the Major Markets, pharmacoeconomic studies and sponsored educational programs for health care professionals at which clinical and/or pharmacoeconomic data related to Product are presented.

1.8 "Confidential Information" shall mean all information and materials, including without limitation, trade secrets and other proprietary information and materials (whether or not patentable) regarding a Party's Technology, products, business plans or objectives.

1.9 "Control" shall mean possession of the ability to grant a license or sublicense, as provided herein, without violating the terms of any agreement or other arrangement with any third party.

1.10 "CSL" shall mean CSL Limited (formerly Commonwealth Serum Laboratories Limited) or any successor in interest to the rights of CSL Limited under the CSL Agreement.

1.11 "CSL Agreement" shall mean a certain License and Supply Agreement between Biogen and CSL, dated as of September 30, 1991.

1.12 "Distributor" shall mean a person or entity in a country who (i) purchases Product or bulk Peptide from TMC or one of its Affiliates, and (ii) assumes responsibility for a portion of the promotion, marketing, sales and customer service effort related to Product in that country, and (iii) under an implied or express sublicense, sells Product in that country. The term Distributor shall not include a person or entity who provides a contract sales force to serve, in whole or in part, as TMC's sales force with respect to sales by TMC. For purposes of this Agreement, CSL shall be considered a Distributor of TMC based on the current CSL Agreement, and, to the extent that the CSL Agreement is modified, shall be considered a Distributor if it meets the criteria set forth in this definition. Without limiting the generality of the foregoing, Biogen acknowledges that TMC currently intends to contract with Innovex for sales support, and agrees that Innovex shall not be considered to constitute a Distributor by virtue of such sales support.

1.13 "Effective Date" shall mean the date of this Agreement.

1.14 "Existing Non-Cardiology Indications" shall mean those indications, set forth in Appendix E, that (a) are not Cardiology Indications and (b) as to which Biogen had data from human clinical trials as of the Effective Date.

1.15 "FDA" shall mean the United States Food and Drug Administration.

1.16 "FTE" shall mean a full-time equivalent person year consisting of a total of one thousand eight hundred eighty (1,880) hours per year of work carried out by a Biogen employee.

1.17 "Field" shall mean all therapeutic, prophylactic and diagnostic applications in humans.

1.18 "First Commercial Sale" shall mean in each country of the Territory with respect to each Product, (i) the first sale of the Product by TMC or any of its Affiliates, Sublicensees or Distributors to a third party in such country in connection with the nationwide introduction of the Product by TMC, its Affiliates, Sublicensees or Distributors following marketing and/or pricing approval by the appropriate governmental agency for the country in which the sale is made, or (ii) when governmental approval is not required, the first sale in such country in connection with the nationwide introduction of the Product in that country.

1.19 "HRI" shall mean Health Research, Inc. or any successor in interest to the rights of Health Research Inc.'s under the HRI Agreement.

1.20 "HRI Agreement" shall mean a certain License Agreement between Biogen and HRI, dated as of June 6, 1990, as amended.

1.21 "IND" shall mean an Investigational New Drug application, as defined under the United States Federal Food, Drug and Cosmetic Act, as amended.

1.22 "MAA" shall mean an application for regulatory approval to sell Product in the European Union and similar in purpose to an NDA in the United States.

1.23 "Major Markets" shall mean the United States, the United Kingdom, Germany, France, Italy, Spain and the Benelux region.

1.24 "NDA" shall mean a New Drug Application or Product License Application or equivalent filing filed for Product with the FDA.

1.25 "Net Sales" shall mean the gross amount invoiced (not dependent on whether such invoices have been actually paid) on sales of Product by TMC and its Affiliates and Distributors to third parties, less the following items, as determined from the books and records of TMC or its Affiliates or Distributors, provided that such items do not exceed

reasonable and customary amounts in the country in which such sale or other disposition occurred: (i) freight, insurance and other transportation charges, if billed separately; (ii) amounts repaid or credited by reason of returns, rejections, defects, recalls or because of retroactive price reductions; (iii) sales taxes, excise taxes, value-added taxes and other taxes (other than income taxes) levied on the invoiced amount; (iv) import and export duties; (v) cash, trade and quantity discounts actually given or made; and (vi) rebates paid pursuant to government regulations. A sale of Product by TMC to an Affiliate or Distributor for resale of the Product by such Affiliate or Distributor shall not be considered a sale for the purpose of this provision, but the resale of such Product by the Affiliate or Distributor to a third party who is not an Affiliate or Distributor of TMC shall be a sale for purposes of this Agreement.

For purposes of this Agreement, "sale" shall mean any transfer or other distribution or disposition, but shall not include transfers or other distributions or dispositions of Product, at no charge, for pre-clinical, clinical or regulatory purposes or to physicians or hospitals for promotional purposes, provided such transfer, distribution or disposition is not made in exchange for lower prices on other TMC products or for other noncash consideration. In the event that consideration in addition to or in lieu of money is received for the sale of Product in an arms-length transaction, the fair market value of such consideration shall be included in the determination of Net Sales for such sale. To the extent that Product is sold in other than an arms-length transaction, Net Sales for such sale shall be the average sales price of Product if sold in an arms-length transaction during the applicable royalty reporting period in the country in which the non-arms-length transaction occurred.

In the event that Product is sold in the form of a combination Product containing one or more active ingredients or components in addition to Product, Net Sales for the combination Product shall be determined by multiplying actual Net Sales of the combination Product (determined by reference to the definition of Net Sales set forth above) during the royalty payment period by the fraction  $A/A+B$  where A is the average sale price of Product when sold separately in finished form and B is the average sale price of the other active ingredients or components when sold separately in finished form in each case during the applicable royalty reporting period in the country in which the sale of the combination Product was made, or if sales of both the Product and the other active ingredients or components did not occur in such period, then in the most recent royalty reporting period in which sales of both occurred. In the event that such average sale price cannot be determined for both Product and all other active ingredients or components included in the combination Product, Net Sales for purposes of determining payments under this Agreement shall be calculated by multiplying the Net Sales of the combination Product by the fraction  $C/C+D$  where C is the standard fully-absorbed cost of the Product portion of the combination and D is the sum of the standard fully-absorbed costs of all other active components or ingredients included in the combination Product, in each case, as determined by TMC using its standard accounting procedures consistently applied. In no event shall Net Sales of a combination Product be reduced to less than [REDACTED] of actual Net Sales of such combination Product (determined by reference to the definition of Net Sales set forth above) by reason of any adjustment provision set forth in this paragraph.

1.26 "New Non-Cardiology Indications" shall mean those indications that are neither Existing Non-Cardiology Indications nor Cardiology Indications.

1.27 "Peptide" shall mean one or more of the hirudin-based peptide analogs described in Appendix B to this Agreement.

1.28 "Product" shall mean the finished form of a product that comprises, contains or is Peptide and which or the manufacture, use or sale of which (i) is covered by a Valid Claim of any Biogen Patent Rights in the country where such Product is manufactured, used or sold and/or (ii) embodies any of the Biogen Technology.

1.29 "PTCA" shall mean percutaneous transluminal coronary angioplasty.

1.30 "Semilog Process" shall mean the joint biological/synthetic process for producing Peptide.

1.31 "Sublicensee" shall mean any third party expressly licensed by TMC to make, use and sell Product, but not including any Affiliate or Distributor of TMC.

1.32 "Technology" shall mean all information, data, concepts, formulas, methods, procedures, designs, compositions, plans, applications, specifications, techniques, processes, technical data, know-how, samples, biological materials, inventions, discoveries and the like which a party owns (in whole or in part) or Controls.

1.33 "Technology Transfer" shall mean the transfer of Biogen Technology to TMC, in accordance with Section 3.

1.34 "Technology Transfer Plan" shall have the meaning set forth in Section 3.1

1.35 "Territory" shall mean all countries of the world.

1.36 "TMC Patent Rights" shall mean all patents and patent applications throughout the Territory, covering or relating to TMC Technology, including any substitutions, extensions, reissues, reexaminations, renewals, continuations, continuations-in-part, divisionals and supplemental protection certificates, which TMC owns or Controls at any time.

1.37 "TMC Technology" shall mean all Technology which TMC owns or Controls as of the date of termination of this Agreement and which is reasonably useful in order to discover, research, develop, make, use, sell or seek approval to market Product.

1.38 "UCB Information" shall mean information related to the manufacturing of Peptide contained in the Chemistry, Manufacturing and Control (CMC) sections of Biogen's existing INDs for Peptide, and stability data generated by UCB on Peptide.

1.39 "Valid Claim" shall mean (i) a claim of a pending patent application which claim shall not have been canceled, withdrawn, abandoned or rejected by an administrative agency from which no appeal can be taken or which shall not have failed to issue as a patent within seven (7) years of the earliest claimed priority date or (ii) a claim of an issued and unexpired patent which has not lapsed or become abandoned or been declared invalid or unenforceable by a court of competent jurisdiction or an administrative agency from which no appeal can be or is taken.

## SECTION 2 - GRANT AND ASSIGNMENTS.

2.1 License Grant. Biogen hereby grants to TMC, and TMC hereby accepts from Biogen, a royalty-bearing right and license under Biogen Technology and Biogen Patent Rights to make, have made, import, use, offer to sell and sell Product in the Territory in the Field. The license granted to TMC under this Section 2.1 shall be exclusive subject only to the rights granted to CSL under the CSL Agreement and any rights retained by HRI under the HRI Agreement.

### 2.2 Sublicense Rights.

(a) TMC shall be entitled to extend the license granted to it under Section 2.1 to any of its Affiliates and to grant sublicenses to its rights for all indications in each country

of the Territory other than the United States and the countries of the European Union, provided that TMC shall obtain Biogen's consent prior to granting any sublicense in Canada or Japan which such consent Biogen agrees not to unreasonably withhold. TMC shall also be entitled to grant sublicenses to the rights granted to it under Section 2.1 in the United States and the countries of the European Union for New Non-Cardiology Indications, provided that TMC shall obtain Biogen's consent prior to granting any such sublicense which such consent Biogen agrees not to unreasonably withhold. All Affiliates and Sublicensees to whom TMC has extended or sublicensed its rights under Section 2.1 shall agree to be bound by all of the applicable terms and conditions of this Agreement. TMC shall advise Biogen of any extension of TMC's rights to its Affiliates and shall provide copies to Biogen of each sublicense promptly after such extension or sublicense becomes effective. TMC shall not have the right to grant sublicenses to its rights under Section 2.1 in the United States or any of the countries of the European Union with respect to Cardiology Indications or Existing Non-Cardiology Indications.

(b) TMC shall use commercially reasonable efforts to ensure that its Affiliates and Sublicensees to whom TMC has extended or sublicensed its rights under Section 2.1 shall comply with all applicable terms of this Agreement and shall make all payments of compensation due and make all reports due under this Agreement by reason of sales of Product by such Affiliates and/or Sublicensees.

(c) TMC shall use commercially reasonable efforts to ensure that all Sublicensees to whom TMC grants rights to make, use and sell Product in New Non-Cardiology Indications in the United States and the countries of the European Union market Product solely for use in New Non-Cardiology Indications.

2.3 Assignment of Agreements. Concurrently with execution of this Agreement, the parties shall execute (i) an Assignment of License in the form set forth in Appendix C hereto under which Biogen assigns to TMC, and TMC accepts assignment of, all of Biogen's rights and obligations under the HRI Agreement, and (ii) an Assignment of License and Supply Agreement in the form set forth as Appendix D hereto under which Biogen assigns to TMC, and TMC accepts assignment of, all of Biogen's rights and obligations under the CSL Agreement.

### SECTION 3 - TECHNOLOGY TRANSFER AND SUPPLY OF MATERIAL

3.1 Technology Transfer Plan. As soon as reasonably practical after the Effective Date, the parties shall meet to agree on a plan for Technology Transfer (the "Technology Transfer Plan"). The Technology Transfer Plan shall specify the Technology Transfer activities to be performed and the amount of time to be devoted to such activities. The parties shall review and update the Technology Transfer Plan, on a monthly basis, until the earlier to occur of (i) completion of Technology Transfer or (ii) the end of the Technology Transfer period, as set forth in Section 3.2. Biogen shall not be required to devote time or perform activities in connection with Technology Transfer beyond the time and activities shown on the Technology Transfer Plan unless both parties agree on an update to the Technology Transfer Plan.

3.2 Limitation on Technology Transfer. Notwithstanding anything in this Agreement to the contrary, Biogen shall not be obligated to devote more than the equivalent of 2.8 FTEs, in the aggregate, to Technology Transfer or, in the event that Biogen has devoted an equivalent of 2.8 FTEs to Technology Transfer, to perform Technology Transfer after the date which is four (4) months from the Effective Date. The

parties shall use their best efforts to complete Technology Transfer within four (4) months from the Effective Date. If Biogen has devoted an equivalent of 2.8 FTEs to Technology Transfer and Technology Transfer has not been completed by the end of such four (4) month period, the parties may extend the Technology Transfer period by mutual agreement.

3.3 Costs of Technology Transfer. TMC shall pay Biogen's fully-burdened costs associated with Technology Transfer, provided that the activities and the time spent performing the activities for which the costs are to be paid are reflected in the Technology Transfer Plan, as updated from time to time, or TMC has specifically requested the additional time or activities. Biogen shall bill TMC for Biogen's fully-burdened costs related to Technology Transfer on a monthly basis. TMC shall pay all Biogen invoices within thirty (30) days of receipt.

3.4 Assignment of Regulatory Filings and Other Product-Related Information.

Biogen hereby assigns to TMC all of Biogen's right, title and interest in (a) its existing INDs and equivalent regulatory filings in the Territory related to Product and (b) subject to Section 5, any and all regulatory and clinical information related to Product that Biogen owns or Controls as of the Effective Date. Biogen and TMC shall jointly manage the transition of Biogen's INDs or equivalent regulatory filings to TMC under this Section in such a way as not to harm the existing relationship of either party with the relevant regulatory authorities. Biogen may elect, or TMC may request, to have one or more of Biogen's employees participate in meetings between TMC and regulatory authorities regarding assignment of Biogen's INDs or equivalent regulatory filings to TMC, provided that Biogen's right to elect to participate in any such meeting shall terminate on December 31, 1997. TMC shall pay all of Biogen's costs associated with the assignment of INDs or

equivalent regulatory filings to TMC, including the costs incurred by Biogen in sending Biogen representatives to meetings with regulatory authorities at the request of TMC.

### 3.5 Supply of Material.

(a) As soon as reasonably practical after the Effective Date but in any event within ninety (90) days after the Effective Date, Biogen shall deliver to TMC Biogen's existing inventory of Peptide as described in Appendix F (the "Biogen Inventory"). In addition, Biogen shall, at TMC's request, provided such request is made prior to September 18, 1997 (the "Completion Option Period"), initiate completion of processing by UCB Bioproducts S.A. (collectively "UCB") of approximately 30kg of Peptide intermediates (expressed in equivalent bulk drug substance quantities) stored at UCB as of the Effective Date under the terms of a Supply Agreement between Biogen and UCB, dated as of March 21, 1997 (the "Supply Agreement") (a copy of which has been provided to TMC), and shall deliver to TMC the resulting material (the "UCB Material"). TMC understands and agrees that "processing", as the term is used in this Section, of the 30kg of Peptide intermediates by UCB shall mean completion of the manufacturing of such portion of the 30kg of Peptide intermediates as UCB, in consultation with Biogen and TMC, determines is viable for further production (the "Unfinished Peptide") using the manufacturing process specified in Biogen's most recent IND for Peptide on file with the FDA as of the Effective Date. In the event that UCB reports to Biogen that any portion of the 30kg of Peptide intermediates is not viable, Biogen shall use reasonable efforts to confirm UCB's determination. "Processing" shall also include delivery by UCB with each batch of Peptide of a release certificate and access for Biogen and/or TMC to review the relevant batch records. "Processing" shall specifically not include (i) any analytical process-related or other validation work, (ii) qualification of plant, equipment or utilities, (iii) work towards a

supplemental IND, NDA or any other regulatory filing, (iv) any other work requested by regulatory authorities in connection with a regulatory filing, (v) any work associated with filing or inspection of the documentation or facilities by TMC or the regulatory authorities, or (vi) any supporting activities including further development work (process-related and analytical-related), stability standard or reference standard establishment or requalification (collectively "Ancillary Services"). At or prior to initiation of the completion of processing of the Unfinished Peptide under the terms of this Agreement, TMC shall meet with UCB to negotiate the terms, if any, under which UCB would be willing to provide, and TMC would be willing to accept, Ancillary Services in connection with the Peptide manufactured by UCB. Biogen represents that UCB is obligated to complete processing of the Unfinished Peptide if the request is made during the Completion Option Period whether or not TMC accepts Ancillary Services from UCB at the end of the negotiation described in the preceding sentence. Upon delivery to Biogen by TMC during the Completion Option Period of a request to have UCB complete processing of the Unfinished Peptide, TMC, UCB and Biogen shall meet to agree upon a delivery schedule for the resulting Peptide. Biogen represents that UCB has agreed to deliver the Peptide resulting from processing of the Unfinished Peptide within at least eighteen (18) months of receipt of the processing request. Biogen shall use commercially reasonable efforts to enforce the Supply Agreement after consultation with TMC.

(b) As part of Technology Transfer, Biogen shall provide to TMC copies of quality control release test results existing as of the Effective Date related to the Biogen Inventory. Biogen shall perform additional HPLC tests on the Biogen Inventory only at TMC's request and expense in accordance with the Technology Transfer Plan.

(c) Biogen represents that Peptide delivered to TMC as part of the Biogen Inventory was stored under the conditions set forth in Appendix F.

(d) TMC shall reimburse Biogen for the amount due to UCB for delivery of the UCB Material, up to [REDACTED]. TMC shall also reimburse Biogen for any storage costs for the Biogen Inventory and the UCB Material incurred by Biogen after the Effective Date. In addition, TMC shall reimburse Biogen for all freight, storage, duties, taxes and insurance costs incurred in connection with delivery of the Biogen Inventory and the UCB Material to TMC, including but not limited to those costs incurred in shipping the Biogen Inventory to and from Europe and storing the Biogen Inventory in Europe. All payments to be made by TMC to Biogen under this paragraph shall be made within thirty (30) days of receipt of each invoice therefor from Biogen.

#### SECTION 4 - DUE DILIGENCE.

4.1 Investment. TMC shall use commercially reasonable efforts to expend at least \$20 million (not including amounts spent on or as part of the AMI Trial) in connection with pre-launch and post-launch commercialization activities related to Product for the PTCA and AMI indications within two (2) years of the later of the date of approval of a NDA for Product in the PTCA indication and the date of approval of a NDA for Product in the AMI indication. Commercialization activities may include Commercial Development Activities.

4.2 Diligence. TMC shall use commercially reasonable efforts (defined, for purposes of this Agreement, as those efforts consistent with the efforts that would be exerted by a mid-size biopharmaceutical company in the development and sale of its own products) to develop and commercialize Product in each of the Major Markets. TMC shall

develop Product for use in the treatment of PTCA and AMI. Without limiting the generality of the foregoing, TMC shall use commercially reasonable efforts to meet the following diligence milestones:

- (a) Commence a phase III clinical trial of Product in the AMI indication (the "AMI Trial") by December 31, 1998.
- (b) File an NDA for Product in the PTCA indication by December 31, 1998.
- (c) File an NDA for Product in AMI indication by December 31, 2001.
- (d) File an MAA for Product in AMI indication by December 31, 2001.
- (e) Commence marketing and sales of Product in the United States in each indication (i) within six (6) months of receipt of a license from the FDA to market and sell Product in such indication, if no approvable letter is issued with respect to such indication or (ii) within four (4) months of receipt of the applicable FDA license, if an approvable letter is issued with respect to such indication.

4.3 AMI Trial. TMC shall use a lead investigator for the AMI Trial who is a nationally recognized expert in cardiology. TMC shall provide to Biogen a draft of the protocol for the AMI Trial, and Biogen shall have the right to review and comment on such protocol. The parties acknowledge and agree that the phase III study design for the AMI Trial will be a mortality trial substantially based on Biogen's phase II results with Peptide and streptokinase. TMC shall be the sponsor of the AMI Trial for purposes of 21 C.F.R. section 312 et. seq. TMC shall review the protocol for the AMI Trial with the FDA, and shall use reasonable efforts to obtain the FDA's advice that the protocol is reasonable for obtaining marketing approval of Product in the AMI indication.

#### 4.4 Consequences of Failure to Satisfy Diligence Obligation

(a) If at any time Biogen believes that TMC has not satisfied its diligence obligations under Section 4.1 and 4.2, then Biogen shall so notify TMC. Within fifteen (15) days of the date of such notice, the parties shall meet to discuss TMC's performance. If TMC is able to demonstrate to Biogen's satisfaction that TMC used commercially reasonable efforts to meet its diligence obligations, the parties shall negotiate in good faith to set new milestones which are reasonable in light of any difficulties or any unforeseen events which TMC may have encountered. If TMC is unable to demonstrate to Biogen's satisfaction that TMC used commercially reasonable efforts to meet its diligence obligations and if TMC does not agree with Biogen's assessment, the parties shall enter into binding arbitration, under the terms of Section 14.7, within ten (10) days of the meeting between the parties held under this Section, provided, that the arbitrators selected by the parties pursuant to Section 14.7 to arbitrate any issue that arises under this Section 4.4(a) shall each be an expert in the field of drug development in the United States.

(b) In the event that TMC agrees with Biogen's determination that TMC failed to satisfy its diligence obligations under Section 4.1 or 4.2 or an arbitration panel convened under paragraph (a) of this Section 4.4 determines that TMC failed to satisfy its diligence obligations under Section 4.1 or 4.2, Biogen shall have the right and option to terminate this Agreement for material breach by TMC under Section 10.2.

4.5 Japan Diligence In the event that TMC informs Biogen in writing that it does not intend to develop, register, manufacture, market or sell, or sublicense a third party to develop, register, manufacture or sell, Product in Japan, and TMC's reasons for choosing not to enter Japan are not related to potential parallel import or pricing issues or regulatory or patent obstacles outside of TMC's control, Biogen shall have the right and

option to (i) terminate the license set forth in Section 2.1 as to Japan only on sixty (60) days prior written notice to TMC and (ii) to exercise its rights under Section 10.5.

4.6 Transdermal Product. No later than December 31, 1998, TMC shall submit to Biogen a development plan for the transdermal application of Product (the "Transdermal Plan"). The Transdermal Plan shall include commercially reasonable milestones for development and commercialization of a transdermal Product. In the event TMC does not use commercially reasonable efforts to meet the milestones set forth in the Transdermal Plan, Biogen shall have the right to terminate this Agreement as to the transdermal application of Product.

4.7 Status Reports. Within forty-five (45) days of the end of each calendar quarter, TMC shall provide to Biogen a written report describing in reasonable detail the status of development and commercialization activities related to Product, including the nature of the development and commercialization activities undertaken by TMC and its Sublicensees and Distributors, if any, during the preceding quarter, the results obtained and the goals and plans for the next quarter. After Product launch, the status report provided to Biogen under this Section shall include rolling four-quarter sales forecasts for Product. TMC shall furnish to Biogen copies of final study reports from clinical trials related to Product as soon as such reports are available. At Biogen's request from time to time, TMC shall provide to Biogen verbal updates on the status of development and commercialization efforts.

## SECTION 5 - CONFIDENTIALITY

5.1 Treatment of Confidential Information. Each party agrees that it shall maintain the Confidential Information of the other party in strict confidence and shall not

disclose any such Confidential Information to a third party or use such Confidential Information for any purpose other than as contemplated under this Agreement. Each party agrees to exercise reasonable precautions to prevent and restrain the unauthorized disclosure or use of the Confidential Information of the other party by any of the receiving party's directors, officers, agents or employees. TMC acknowledges and agrees that all regulatory and clinical information assigned to TMC under Section 3.4 (b) shall, except as provided in Section 5.2, continue to be Confidential Information of Biogen for purposes of this Section 5.

**5.2    Exceptions.**

The provisions of Section 5.1 shall not apply to Confidential Information which:

- (i)    was known to the receiving party prior to its disclosure by the disclosing party;
- (ii)   either before or after the date of disclosure to the receiving party becomes generally known to the public by some means other than a breach of this Agreement;
- (iii)   is subsequently disclosed to the receiving party by a third party having a lawful right to make such disclosure and who is not under an obligation of confidentiality to the disclosing party;
- (iv)   is independently developed by or for the receiving party without reference to or reliance upon the Confidential Information received from the disclosing party;
- (v)   is required by law, rule, regulation or bona fide legal process to be disclosed, provided that the receiving party takes all reasonable steps to restrict and

maintain the confidentiality of such disclosure and provides reasonable notice to the disclosing party; or

(vi) is approved for release by the parties.

The non-disclosure and non-use obligations under Section 5.1 shall terminate as to any Confidential Information twelve (12) years after receipt of such Confidential Information by the receiving party.

5.3 Permitted Disclosures. Notwithstanding anything to the contrary contained in Section 5.1, TMC may disclose the Confidential Information of Biogen licensed to TMC under Section 2.1 or assigned to TMC under Section 3.4, other than the UCB Information, to third parties who (i) need to know the same in order for TMC to secure regulatory approval for the sale of Product or (ii) need to know the same in order to work towards the commercial development of Product or to manufacture Product or (iii) need to know the same in order to determine whether to enter into a sublicense agreement with TMC with respect to the manufacture, use and/or sale of Product provided that such parties, other than regulatory authorities, are bound by obligations of confidentiality and non-use at least as stringent as those set forth in this Section 5. In addition, TMC may disclose Confidential Information of Biogen (other than UCB Information or any other Confidential Information of Biogen as to which Biogen would be required to obtain the consent of a third party with respect to further disclosure) to potential investors who have a need to know the same in order to assess the status of their investment in TMC or to determine whether to invest in TMC, provided that (i) the information to be disclosed is of a type customarily disclosed to investors and (ii) the investors to whom the information is disclosed are bound by obligations of confidentiality and non-use with respect to such information at least as stringent as those set forth in this Section 5.

5.4 UCB Information. Notwithstanding anything herein to the contrary, TMC shall not use the UCB Information for any purpose other than supporting the regulatory filings for Peptide assigned to TMC by Biogen under Section 3.4 ("Existing Regulatory Filings"), and shall not disclose the UCB Information to any third party other than regulatory authorities. TMC shall return to UCB all documents containing UCB Information in TMC's possession in the event that maintaining UCB Information is no longer required for purposes of supporting the Existing Regulatory Filings, and shall take all reasonable steps to return promptly to UCB any UCB Information in the possession of the FDA which might be returned to TMC (except as otherwise required by the FDA) and to inform the FDA that communication of such UCB Information to any third party requires UCB's express written consent.

#### SECTION 6 - PAYMENT OBLIGATIONS.

6.1 License Fee. In consideration of the rights granted by Biogen, TMC shall pay to Biogen a nonrefundable, noncreditable license fee of [REDACTED] on the Effective Date.

6.2 Milestone Payments. TMC shall make each of the following nonrefundable, noncreditable payments to Biogen within thirty (30) days of the first achievement of each of the following milestones:

| <u>Event</u>   | <u>Payment</u> |
|--|----------------|
| (a) First Commercial Sale of Product in the United States for treatment in AMI | [REDACTED]     |
| (b) First Commercial Sale of Product in Europe for treatment in AMI            | [REDACTED]     |

6.3 Royalties.

(a) TMC shall pay to Biogen earned royalties on Net Sales of Product sold by TMC and/or its Affiliates and/or its Distributors at the following rates:

| <u>Annualized Net Sales<br/>in a Calendar Year in Territory</u> | <u>Royalty Rate<br/>on Net Sales of Product</u> |
|---|---|
| Less than or equal to [REDACTED]                                | [REDACTED]                                      |
| Greater than [REDACTED] but less<br>than or equal to [REDACTED] | [REDACTED]                                      |
| Greater than [REDACTED] but less<br>than or equal to [REDACTED] | [REDACTED]                                      |
| Greater than [REDACTED] but less<br>than or equal to [REDACTED] | [REDACTED]                                      |
| Greater than \$ [REDACTED]                                      | [REDACTED]                                      |

(b) Notwithstanding anything in this Agreement to the contrary, sales by Sublicensees shall be included as TMC sales solely for purposes of determining the royalty rate applicable to sales by TMC and/or its Affiliates and/or its Distributors.

(c) The applicable royalty rate for a given calendar year shall be based on the rate determined by reference to total Net Sales during the year, and shall be applied retroactively to the first dollar of such Net Sales in such calendar year. Adjustment payments shall be made as necessary in accordance with Section 6.7.

(d) The obligation to pay royalties and a percentage of Sublicense Royalty Income (as defined in Section 6.5) shall continue, on a country-by-country basis, from the date of the First Commercial Sale of Product in a country until the later of (i) twelve (12) years after the date of the First Commercial Sale of such Product in such country or (ii) the date on which the Product or its manufacture, use or sale is no longer covered by a Valid Claim of any Biogen Patent Rights in such country.

#### 6.4 Royalty Offset.

(a) Subject to paragraph (d) of this Section 6.4, the royalty rates set forth in Section 6.3 shall be reduced, on a country-by-country basis, by [REDACTED] with respect to Net Sales of any Product in any calendar year if (i) neither such Product nor its use or sale is covered during any part of such year by a Valid Claim of a Biogen Patent Right in such country and (ii) third parties selling Comparable Products, as defined below, have, in the aggregate, during such year [REDACTED] or more of the volume-based market share in such country. For purposes of this Section, "Comparable Product" shall mean a product which, if sold on the Effective Date by a third party in the United States without a license from Biogen, would infringe a Valid Claim of Biogen Patent Rights related to Product existing as of the Effective Date.

(b) Subject to paragraph (c) and (d) of this Section 6.4, in the event that TMC, in order to manufacture, use or sell Product in a country in the Territory, reasonably determines that it must make a royalty payment to one or more third parties (a "TMC Third Party Payment") to obtain a license or similar right to manufacture, use or sell Product in such country, TMC may reduce the royalty payment due Biogen under Section 6.3 on sales of Product, on a country-by-country basis, by the amount of such TMC Third Party Payments paid on such sales up to a [REDACTED] percentage points reduction in the applicable royalty rate set forth in Section 6.3. The offset available under this paragraph (b) shall not apply to royalty payments made or due under the HRI Agreement.

(c) Subject to paragraph (d) of this Section 6.4, with respect to any sales as to which TMC is paying royalties at the [REDACTED] royalty rates under Section 6.3, TMC may, in addition to other offsets available under paragraphs (a) and (b) above, reduce the royalty payment due Biogen under Section 6.3 by the amount of any payments

made by TMC to HRI under the HRI Agreement on such sales, but not more than the amounts that would be payable to HRI at the rates in effect under the HRI Agreement on the Effective Date.

(d) Until the later of (i) the date of receipt of marketing approval for Product from the FDA for the AMI indication or (ii) the fourth anniversary of the date of the First Commercial Sale of Product in any country in the PTCA indication, TMC may offset against the royalty payment due to Biogen (A) any costs incurred by TMC after the First Commercial Sale of Product for PTCA in development or commercialization of Product for the AMI indication, provided that the costs are incurred as part of a development or commercialization plan approved by Biogen, which approval shall not be unreasonably withheld, and (B) any costs incurred by TMC in connection with Commercial Development Activities for the PTCA indication that are agreed to by the parties, which agreement shall not be unreasonably withheld, and provided further that in no event (1) shall the amount offset under this paragraph exceed \$ [REDACTED] in the aggregate or (2) shall the amount of royalties actually paid to Biogen under Section 6.3 for any royalty payment period be less than [REDACTED] of Net Sales. Notwithstanding anything in this Agreement to the contrary, TMC shall not be entitled to any offset under this paragraph (d) in any calendar year in which Net Sales calculated in the manner set forth in Section 6.3 are greater than [REDACTED]. TMC shall offset its costs under this paragraph against royalties due for the calendar year in which the costs are incurred and shall not carry over such costs to offset royalties for any other calendar year. Notwithstanding anything herein to the contrary, TMC shall not be entitled to apply the offsets available under any other paragraph of this Section 6.4 (and shall not carry-over any such offsets)

in any period in which TMC is applying its offset for development costs as set forth in this paragraph (d).

6.5 Sublicense Royalty Income. TMC shall pay to Biogen [REDACTED] percent ([REDACTED]) of all royalty income ("Sublicense Royalty Income") received by TMC from its Sublicensees with respect to sales of Products.

6.6 Off-label Sales by Sublicensees. In the event that Biogen can reasonably demonstrate a loss in earned royalties from TMC as a result of off-label sales by any of TMC's Sublicensees, TMC shall reimburse Biogen for Biogen's loss of earned royalties up to the amount actually received by TMC from such Sublicensee for such off-label sales.

6.7 Quarterly Payments and Reports. Royalty payments and payments on Sublicense Royalty Income shall be made quarterly (i) within ninety (90) days following the end of the first calendar quarter of Product sales with respect to Sublicense Royalty Income received and Net Sales on sales made during such quarter, (ii) within sixty (60) days following the end of each of the second, third and fourth calendar quarters of Product sales with respect to Sublicense Royalty Income received and Net Sales on sales made during such quarter, and (iii) within forty-five (45) days following the end of each calendar quarter thereafter with respect to Sublicense Royalty Income received and Net Sales on sales made during such quarter. Every payment shall be accompanied by a report setting forth for the relevant quarter the following information:

- (a) Net Sales by TMC and its Affiliates and Distributors, by country;
- (b) Sales by Sublicensees by country (for purposes of calculating the royalty rate);
- (c) Quantity of Product sold, by country, by TMC, its Affiliates, Distributors and Sublicensees;

- (d) Sublicense Royalty Income received by TMC; and
- (e) Total amount payable to Biogen.

Since Net Sales in each calendar year to be used to finally determine the applicable royalty rate for such year will not be known until the end of such year, in order to make the quarterly payments specified under this Section 6.7, TMC shall use a royalty rate which is determined by annualizing the year-to-date Net Sales. As changes in the royalty rate determined using annualized Net Sales occur from one calendar quarter to the next calendar quarter within the same calendar year, in addition to the payment for the calendar quarter, TMC shall make the necessary adjustment in such calendar quarter reflecting the change in the royalty rate applied to Net Sales in the preceding calendar quarter or quarters. Within thirty (30) days of the end of each calendar year, TMC shall calculate the actual royalty rate to which Biogen is entitled based on the actual Net Sales for the year. In the event Biogen has not received its full royalty amount for the year, TMC shall promptly make a balancing payment to Biogen in the amount of the deficit. In the event TMC has paid Biogen more than its full royalty amount for the year, Biogen shall promptly reimburse TMC in the amount of the excess.

6.8 Form of Payment. All payments to be made under this Agreement shall be made in United States dollars by check or wire transfer, at Biogen's option.

6.9 Foreign Exchange. For purposes of computing Net Sales for Product sold in currency other than United States Dollars, such currency shall be converted into United States Dollars using the spot purchase rate published in the Wall Street Journal (New York Edition) for the last day of the calendar quarter for which Net Sales are being calculated.

6.10 Taxes. Any taxes required to be withheld by TMC under the laws of any foreign country for the account of Biogen shall be promptly paid by TMC for and on

behalf of Biogen to the appropriate governmental authority, and TMC shall furnish Biogen with proof of payment of such tax within thirty (30) days following payment. Any such tax actually paid on Biogen's behalf shall be deducted from royalty payments due Biogen. TMC agrees to make all lawful and reasonable efforts to minimize such taxes to Biogen.

6.11 Interest on Payments Past Due. Any amounts due under this Agreement that are not paid when due shall bear interest at the lesser of (i) an annualized rate of two percent over the prime rate then in effect at BankBoston, or (ii) the highest rate permitted by applicable law.

6.12 Books and Records. For a period of three (3) years next following each calendar year, TMC shall keep, and shall use commercially reasonable efforts (which shall include obtaining and enforcing a contractual commitment) to cause each of its Affiliates, Distributors and Sublicensees to keep, full, true and accurate books and records containing all particulars relevant to its sales of Products during such year in sufficient detail to enable Biogen to verify the amounts payable to Biogen under this Agreement. Biogen shall have the right, not more than once during any calendar year, to have the books and records of TMC or any of its Distributors or Sublicensees related to the sales of Products audited by a qualified nationally-recognized, independent accounting firm of Biogen's choosing, during normal business hours upon reasonable notice, for the sole purpose of verifying the accuracy of the amounts paid to Biogen under this Agreement, provided, however, that Sublicensees or Distributors who refuse to submit to an audit on behalf of Biogen despite TMC's commercially reasonable efforts (which shall include enforcing a contractual commitment) to obtain their consent to such audit shall not be bound by the audit obligation set forth in this sentence. In the event that an audit shows that TMC has underpaid Biogen by five percent (5%) or more, then TMC shall pay for all costs of such

audit, otherwise the costs of such audit shall be borne by Biogen. In all cases, TMC shall pay to Biogen any underpaid compensation promptly and with interest at an annualized rate of the prime rate then in effect at BankBoston, plus two percent (2%), and Biogen shall promptly pay to TMC any overpaid compensation. All information and data reviewed in any audit conducted under this Section shall be used only for the purpose of verifying amounts due to Biogen under this Agreement and shall be treated as Confidential Information of TMC subject to the terms of this Agreement.

#### SECTION 7 - PATENTS.

7.1 Prosecution and Maintenance. During the term of this Agreement, TMC shall have responsibility for prosecuting, maintaining and defending the Biogen Patent Rights, and in doing so shall use a level of effort and professional representation consistent with the level of effort and professional representation a mid-size biotechnology company would use to prosecute, maintain and defend its own patent rights. Notwithstanding anything herein to the contrary, TMC shall obtain Biogen's written consent prior to (i) instituting any reissue or reexamination proceedings with respect to any Biogen Patent Rights that are issued patents as of the Effective Date, or (ii) making any strategic decision in any opposition, nullity, reissue or reexamination proceedings involving any Biogen Patent Rights that are issued patents as of the Effective Date, which Biogen consent shall not be unreasonably withheld. TMC shall bear all of the costs of prosecution, maintenance and defense of the Biogen Patent Rights incurred after the Effective Date. TMC shall keep Biogen regularly informed of the status of the Biogen Patent Rights. TMC shall provide copies to Biogen of all filings and correspondence with the patent offices, administrative boards or courts which TMC sends or receives in connection with prosecution,

maintenance and defense of the Biogen Patent Rights. As soon as practical after the Effective Date, Biogen shall provide to TMC a copy of Biogen's existing files on the Biogen Patent Rights. Biogen undertakes to promptly and fully cooperate in, and to provide all information and data and sign any documents reasonably necessary and requested by TMC for the prosecution, maintenance and defense of the Biogen Patents Rights. If TMC decides to abandon or to allow to lapse any Biogen Patent Right, TMC shall inform Biogen at least ninety (90) days prior to the effective date of such decision and Biogen shall be given the opportunity to prosecute such Biogen Patent Right which such Biogen Patent Right shall no longer be subject to this Agreement. Upon termination of TMC's responsibility for prosecuting and maintaining any Biogen Patent Rights, TMC shall promptly deliver to Biogen all files related to the Biogen Patent Rights, and shall take all action and execute all documents reasonably necessary for Biogen to resume prosecution.

## **7.2 Infringement.**

(a) TMC and Biogen shall each promptly inform the other in writing of any infringement of the Biogen Patent Rights of which such party has notice and provide the other with any available evidence of infringement.

(b) In the event TMC, alone or with an Affiliate or Sublicensee, wishes to take action in a suit to enforce any Biogen Patent Rights against infringement, TMC may take action and, at its option and expense, join Biogen as a plaintiff. In determining whether to bring an action to enforce any Biogen Patent Rights, TMC shall act in a commercially reasonable manner, giving due consideration to the threat represented by the infringement and the potential risk to the Biogen Patent Rights involved. If within six (6) months after having been notified by Biogen of any alleged infringement or providing notice to Biogen of an alleged infringement, TMC has been unsuccessful in persuading the

alleged infringer to desist and has not brought, and/or is not diligently prosecuting an infringement action, or if TMC notifies Biogen at any time prior thereto of its intention not to bring suit against any alleged infringer, Biogen may take action and, at its option, join TMC as a plaintiff in any suit.

(c) The party which institutes any suit to protect or enforce a Biogen Patent Right shall have sole control of that suit and shall bear the reasonable expenses of the other party, not including legal fees incurred by the other party, in providing any assistance and cooperation as is requested pursuant to this Section. The party initiating or carrying on such legal proceedings shall keep the other party informed of the progress of such proceedings and such other party shall be entitled to counsel in such proceedings but at its own expense.

(d) Any award paid by third parties (whether by way of settlement or otherwise) as the result of any proceedings initiated by TMC under this Section 7 shall first be applied to reimbursement of the unreimbursed legal fees and expenses incurred by either party and then the remainder shall be divided between the parties as follows:

(i) If the amount is based on lost profits, (x) TMC shall receive an amount equal to the damages the court determines it has suffered as a result of the infringement, less the amount of any royalties (and/or payments on Sublicense Royalty Income) that would have been due to Biogen on sales of Product lost by TMC and/or its Affiliates, Distributors and Sublicensees as a result of the infringement had they made such sales; and (y) Biogen shall receive an amount equal to the royalties (and/or payments on Sublicense Royalty Income) that it would have received if such sales had been made by TMC and/or its Affiliates, Distributors and Sublicensees; and

(ii) As to awards other than those based on lost profits,  $\frac{3}{4}$  to TMC and  $\frac{1}{4}$  to Biogen.

(e) Any award paid by third parties (whether by way of settlement or otherwise) as the result of any proceedings initiated by Biogen under this Section 7 shall first be applied to reimbursement of the unreimbursed legal fees and expenses incurred by either party and then shall be divided between the parties,  $\frac{1}{4}$  to TMC and  $\frac{3}{4}$  to Biogen.

7.3 Cooperation. In any suit as either party may institute or control to enforce the Biogen Patent Rights pursuant to this Agreement, the other party agrees, at the request and expense of the party initiating or controlling the suit, to cooperate in all respects, to have its employees testify when requested and to make available relevant records, papers, information, samples, specimens, and the like.

7.4 Third Party Claim. In the event that a third party at any time provides written notice of a claim to, or brings an action, suit or proceeding against a party or such party's Affiliates, Distributors or Sublicensees, claiming infringement of its patent rights or unauthorized use or misappropriation of its Technology based upon an assertion or claim arising out of the development, manufacture, use or sale of Products, such party shall promptly notify the other party of the claim or the commencement of such action, suit or proceeding, enclosing a copy of the claim and/or all papers served.

## SECTION 8 - REPRESENTATIONS, WARRANTIES AND COVENANTS.

8.1 Corporate Action. Each party represents and warrants to the other party that: (i) it is free to enter into this Agreement; (ii) in so doing, it will not violate any other agreement to which it is a party; and (iii) it has taken all corporate action necessary to

authorize the execution and delivery of this Agreement and the performance of its obligations under this Agreement.

8.2 Compliance with Law. Each party covenants and agrees that in conducting activities contemplated under this Agreement, it shall comply with all applicable laws and regulations. Without limiting the generality of the foregoing, TMC covenants and agrees that in conducting activities in connection with the manufacture, use or sale of Product, TMC shall comply with all applicable laws and regulations.

8.3 Right to License. Biogen represents and warrants to TMC that Biogen is the owner or licensee of the Biogen Technology and Biogen Patent Rights and has the right and ability to grant the licenses granted under this Agreement. In addition, Biogen covenants and agrees that it will not enter into any agreement or other arrangement with any third party following the Effective Date that would limit TMC's right and ability to exploit the rights granted by Biogen to TMC under this Agreement.

8.4 Disclaimers. THE REPRESENTATIONS AND WARRANTIES SET FORTH IN THIS SECTION AND IN SECTION 3.5 ARE IN LIEU OF ALL OTHER REPRESENTATIONS AND WARRANTIES NOT EXPRESSLY SET FORTH HEREIN. WITHOUT LIMITING THE GENERALITY OF THE FOREGOING STATEMENT, BIOGEN DISCLAIMS ALL WARRANTIES, WHETHER EXPRESS OR IMPLIED, WITH RESPECT TO BIOGEN TECHNOLOGY, BIOGEN PATENT RIGHTS, THE BIOGEN INVENTORY AND THE UCB MATERIAL, INCLUDING, WITHOUT LIMITATION, ANY REPRESENTATIONS OR WARRANTIES AS TO WHETHER PRODUCT CAN BE SUCCESSFULLY DEVELOPED OR MARKETING, REGARDING THE ACCURACY, PERFORMANCE, UTILITY, RELIABILITY, TECHNOLOGICAL OR COMMERCIAL VALUE, COMPREHENSIVENESS, MERCHANTABILITY OR FITNESS FOR ANY

PARTICULAR PURPOSE WHATSOEVER OF THE BIOGEN TECHNOLOGY, BIOGEN PATENT RIGHTS, BIOGEN INVENTORY OR UCB MATERIAL OR AS TO THE VALIDITY OF THE BIOGEN PATENT RIGHTS OR THAT THE MANUFACTURE, USE, MARKETING OR SALE OF PRODUCTS BY TMC OR ANY OF ITS AFFILIATES, DISTRIBUTORS OR SUBLICENSEES WILL NOT CONSTITUTE AN INFRINGEMENT OF THE INTELLECTUAL PROPERTY RIGHTS OF ANY THIRD PARTY. NEITHER BIOGEN NOR TMC SHALL BE LIABLE FOR SPECIAL, INDIRECT, INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF THIS AGREEMENT WHETHER BASED ON CONTRACT, TORT OR ANY OTHER LEGAL THEORY.

#### SECTION 9 - INDEMNIFICATION.

9.1 Indemnification by TMC. TMC shall defend, indemnify and hold harmless Biogen and its Affiliates and their respective employees, agents, officers, shareholders and directors and each of them (the "Biogen Indemnified Parties") from and against any and all liability, damage, loss, cost or expense of any nature (including reasonable attorneys fees and expenses of litigation) incurred or imposed upon the Biogen Indemnified Parties or any one of them in connection with any claims, suits, actions, demands, proceedings, causes of action or judgments resulting from or arising out of (i) the development, design, testing, production, manufacture, sale, use or promotion of Product by TMC or any of its Affiliates, Sublicensees or Distributors or any of their respective agents or employees; (ii) any other activities carried out by TMC or any of its Affiliates, Sublicensees or Distributors or any of their respective agents or employees, including any failure to comply in any material respect with applicable laws or regulations, or (iii) breach by TMC of any term of this Agreement, except to the extent any such claim results or arises from breach of this

Agreement by Biogen or the negligence or willful misconduct of Biogen or any its Affiliates or any of their respective employees, agents, officers or directors.

9.2 Indemnification by Biogen. Biogen shall defend, indemnify and hold harmless TMC and its Affiliates and their respective employees, agents, officers, shareholders and directors and each of them (the "TMC Indemnified Parties") from and against any and all liability, damage, loss, cost or expense of any nature (including reasonable attorneys fees and expenses of litigation) incurred or imposed upon the TMC Indemnified Parties or any one of them in connection with any claims, suits, actions, demands, proceedings, causes of action or judgments resulting from or arising out of the breach of this Agreement by Biogen or the negligence or willful misconduct of Biogen or any its Affiliates or any of their respective employees, agents, officers or directors.

9.3 Conditions to Indemnification. An indemnified party shall give prompt notice to the indemnifying party (either TMC or Biogen, as the case may be) of any claim for which the indemnified party may seek indemnification under Section 9.1 or 9.2 and, provided that the indemnifying party is not contesting the indemnity obligation, shall permit the indemnifying party to control any litigation relating to such claim and disposition of any such claim, provided that the indemnifying party shall act reasonably and in good faith with respect to all matters relating to the settlement or disposition of any claim as the settlement or disposition relates to the indemnified party, and the indemnifying party shall not settle or otherwise resolve any claim without prior notice to the indemnified party. The indemnified party shall cooperate with the indemnifying party in its defense of any claim for which indemnification is sought under this Section.

9.4 Insurance. At such time as Product is being marketed, TMC shall obtain and shall thereafter maintain, at TMC's sole cost and expense, product liability insurance

for Product naming Biogen as an additional insured. The amount of the insurance coverage obtained under this Section shall be at least [REDACTED], combined single limit, for each single occurrence of bodily injury and/or property damage and the like. TMC shall provide to Biogen copies of each insurance policy obtained under this Section and all renewals of such policies.

#### SECTION 10 - TERMINATION.

10.1 Term. Except as otherwise specifically provided herein and unless sooner terminated pursuant to Sections 10.2 or 10.3, this Agreement and the licenses and rights granted hereunder shall remain in full force and effect until TMC's obligations to pay compensation hereunder terminates in accordance with Sections 6.3 and 6.5. Upon expiration of TMC's obligation to pay royalties and/or a percentage of Sublicense Royalty Income under Sections 6.3 and 6.5 with respect to a specific country as to which TMC's license is then in effect, the license shall be deemed to be fully paid and TMC shall thereafter have a royalty-free right to use the Biogen Patent Rights and Biogen Technology to make, have made, use, import, offer to sell and sell Product in such country.

10.2 Termination for Breach. In addition to any other available remedies, either party shall have the right to terminate this Agreement in the event of a material breach of this Agreement by the other party, provided that the breach is not cured within ninety (90) days after written notice thereof is received from the non-breaching party.

10.3 Termination for Convenience. TMC shall have the right to terminate this Agreement for any reason upon ninety (90) days prior written notice to Biogen.

10.4 Survival of Rights and Obligations. Termination or expiration of the Agreement for any reason shall be without prejudice to any rights which shall have

accrued to the benefit of either party prior to such termination or expiration, including damages arising from any breach hereunder. In addition, Sections 5, 6.12, 9, 10.6, 13, 14 and the last sentence of Section 7.1 shall survive any such termination or expiration.

10.5 Consequence of Termination as to Japan Upon termination under Section 4.5 of the rights and licenses granted to TMC in Japan but not the entire Agreement, (i) TMC shall have no further right or license under this Agreement in Japan, and (ii) TMC shall grant to Biogen and its Affiliates and sublicensees a permanent and irrevocable right of access and reference to all regulatory submissions, including regulatory approvals, applicable to Product in Japan, and shall notify the applicable regulatory authorities of such right no later than thirty (30) days thereafter. If any right of access and reference granted under the preceding sentence is not sufficient to permit Biogen or its sublicensees to file an application for regulatory approval and receive regulatory approval for the sale of Product in Japan, TMC shall within sixty (60) days of receipt of notice from Biogen to that effect, provide Biogen with the complete data package that TMC used in such regulatory submissions, or if none, in regulatory submissions in United States in order to allow Biogen or its Affiliates or sublicensees to conduct clinical trials or file for regulatory approval for the sale of Product in Japan, provided that such data package shall be considered Confidential Information of TMC and shall be subject to Section 5. At the time of any termination of the license granted to TMC in Japan under Section 4.5, TMC and Biogen shall negotiate in good faith a commercially reasonable royalty to be paid to TMC for use of TMC-generated data and access to TMC's regulatory filings related to Product.

10.6 Consequences of Termination of Agreement. If TMC terminates this Agreement under Section 10.3 or if Biogen terminates this Agreement under Section 10.2,

TMC shall, at TMC's expense, return to Biogen all Biogen Technology furnished to TMC by Biogen, including any unused Biogen Inventory and UCB Material, and shall transfer to Biogen all TMC Technology generated in connection with the Product development and commercialization program. In the event Biogen terminates this Agreement under Section 10.2, TMC shall grant to Biogen an exclusive, royalty-free license, with the right to grant sublicenses, to all TMC Patent Rights and TMC Technology related to Product. If TMC terminates this Agreement under Section 10.3, TMC shall grant to Biogen an exclusive license, with the right to grant sublicenses, to TMC Technology and TMC Patent Rights in consideration for which Biogen shall, as its sole obligation to TMC, pay royalties to TMC on sales of Product (i) in indications other than Cardiology Indications if the manufacture, use or sale of the Product in such indication is covered by a claim of a TMC Patent Right other than a claim to an improvement to Peptide or the Semilog Process or (ii) in any indication if marketing approval for Product in such indication was based on phase III clinical data generated by TMC, at a royalty rate to be negotiated in good faith by the parties at the time of termination based on the parties' relative levels of investment in the Product and taking into consideration any damage or delay to the development and commercialization of Product caused by TMC's termination of this Agreement. Upon termination of this Agreement other than by TMC under Section 10.2, TMC shall, at TMC's expense, grant to Biogen an irrevocable right of reference or assign to Biogen, as requested by Biogen, TMC's rights in any regulatory filings related to Product and shall assign to Biogen any trademarks, together with all goodwill associated therewith, used in connection with Product. Upon termination of this Agreement for any reason, TMC shall assign to Biogen, at no cost to Biogen, (i) any regulatory filings and data and information originally assigned by Biogen to TMC, (ii) all of TMC's rights in the CSL Agreement and

the HRI Agreement, and (iii) all of TMC's rights to the HIRULOG trademark, together with all goodwill associated therewith, provided that if this Agreement has been terminated by TMC under Section 10.2, Biogen shall reimburse TMC for its out-of-pocket costs of assigning the trademark, together with all goodwill associated therewith, and regulatory filings to Biogen. Upon termination of this Agreement for any reason, the licenses granted to TMC under Section 2.1 of this Agreement shall terminate and the parties shall have no further rights or obligations under this Agreement except as set forth in Section 10.4. Any matter related to termination with respect to which the parties cannot agree will be referred to binding arbitration pursuant to Section 14.7. Notwithstanding anything in this Section 10.6 to the contrary, neither party shall be prevented from initiating a claim for damages due to a breach of this Agreement by the other party.

#### SECTION 11 - No Hire.

TMC shall not knowingly hire as an employee or employ directly as a consultant any person who is an employee of Biogen at the time of the employment offer from TMC or who has been an employee of Biogen within four (4) years of the date of the employment offer from TMC. Breach of this Section 11 by TMC shall be considered a material breach by TMC of this Agreement. In the event of any breach by TMC of this Section 11, Biogen shall have the right to terminate this Agreement for material breach under Section 10.2, or, in lieu of terminating this Agreement, may elect the following remedy as payment of liquidated damages: (i) immediate payment to Biogen by TMC of [REDACTED] and (ii) an increase of [REDACTED] in the royalty rates applicable to Net Sales of Product under Section 6.3 and the rate applicable to Sublicense Royalty Income under Section 6.5 of this Agreement. Election of the liquidated damages remedy by Biogen shall not be

deemed a waiver and shall not in any way limit Biogen's right to terminate this Agreement for any subsequent breach of this Section or any material breach of any other provision of this Agreement.

## SECTION 12 - TRADEMARKS, PATENT MARKING AND LITERATURE

### 12.1 HIRULOG Trademark.

(a) Biogen hereby assigns to TMC all of Biogen's rights, title and interest in and to the HIRULOG trademark in the Territory, together with all goodwill associated therewith. Biogen shall execute all documents reasonably requested by TMC to effect the foregoing assignment. TMC shall promptly reimburse Biogen for all costs and expenses incurred by Biogen in connection with assignment of the HIRULOG trademark, together with all goodwill associated therewith, to TMC.

(b) TMC shall maintain and prosecute the HIRULOG trademark in the Territory using efforts and professional representation consistent with the level of effort and professional representation as would be applied by a mid-size biopharmaceutical company in prosecuting and maintaining its own trademarks. TMC shall bear all of the costs of prosecution and maintenance of the HIRULOG trademark after the Effective Date. TMC shall provide copies to Biogen of all filings of trademark applications and all notices of grants which TMC sends or receives related to the HIRULOG trademark. If TMC decides to abandon the HIRULOG trademark or allow the HIRULOG trademark to lapse in any country, TMC shall inform Biogen at least ninety (90) days prior to the effective date of such decision and, at Biogen's request, shall take all reasonable action, at Biogen's expense, to assign the HIRULOG trademark, together with all goodwill associated therewith, back to Biogen in such country.

12.2 Patent Marking. At Biogen's request, TMC shall mark, and shall require its Affiliates or Sublicensees to mark, any and all forms of Product and Product packaging with an appropriate patent marking identifying the issued patents of the Biogen Patent Rights which cover the Product.

12.3 Promotional Literature. At Biogen's request, TMC shall describe its relationship with Biogen in TMC's promotional literature and advertising related to Product. Biogen shall have the right to review any such description prior to use.

### SECTION 13 - PUBLICITY

The parties agree that the public announcement of the execution of this Agreement shall be in the form of a press release mutually agreeable to the parties. Each party shall be entitled to make or publish any public statement concerning this Agreement consistent with the press release or as otherwise mutually agreed by the parties. The terms of this Agreement which are not divulged in the approved press release may not be disclosed except to a government agency as required by law. In any disclosure made to a government agency under the preceding sentence, the disclosing party shall request confidential treatment of the sensitive terms and conditions such as financial terms of this Agreement, and shall provide such confidential treatment request to the other party for review and comment.

### SECTION 14 - GENERAL PROVISIONS.

14.1 Assignment. Neither party shall have the right to assign this Agreement without the prior written consent of the other party, except that either party without the consent of the other party may assign this Agreement to an Affiliate or to a successor in

interest or transferee of all or substantially all of the assets of such party. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective successors in interest and permitted assignees. Any such successor or permitted assignee of a party's interest shall in writing expressly assume and agree to be bound by all of the terms and conditions of this Agreement. No assignment shall relieve the assignor of any of its obligations under this Agreement.

14.2 Force Majeure. Neither party shall be liable to the other party for any failure or delay in performance of any obligation under this Agreement if the failure is caused by fire, explosion, flood, earthquake, strike or lockout, embargo, civil commotions, riots, wars, or any similar cause beyond such party's reasonable control, provided that the party claiming this exception has exerted all reasonable efforts to avoid or remedy such event and provided such event does not extend for more than six (6) months.

14.3 Independent Parties. The relationship between Biogen and TMC is that of independent contractors. Biogen and TMC are not and shall not be deemed to be joint venturers, partners, principal and agent, master and servant, employer or employee, and have no relationship other than as independent contracting parties. Neither party shall have the authority to bind or obligate the other party in any manner except as may be expressly provided herein or authorized in writing.

14.4 Entire Agreement. This Agreement sets forth the entire agreement and understanding between the parties as to the subject matter hereof and all prior agreements negotiations, representations and understandings, including a certain letter of intent dated February 7, 1997, are superseded hereby. No amendments, modifications or supplements to this Agreement may be made, except by means of a written document which is signed by authorized representatives of both parties.

14.5 Severability. If any provision of this Agreement is found by a court to be void, invalid or unenforceable, the same shall either be reformed to comply with applicable law or stricken if not so conformable, so as not to affect the validity or enforceability of this Agreement, except if the principal intent of this Agreement is frustrated by such reformation or deletion in which case this Agreement shall terminate.

14.6 Governing Law. This Agreement shall be construed and enforced in accordance with the laws of the Commonwealth of Massachusetts without reference to its choice-of-law principles.

14.7 Dispute Resolution. Any dispute arising out of or relating to this Agreement or to a breach thereof, including its interpretation, performance or termination, may be submitted by a party for resolution by binding arbitration. The arbitration shall be conducted by three (3) arbitrators. Each party shall select one arbitrator to serve on an arbitration panel to decide the issue. The arbitrator selected by a party shall not be a past or present employee of or consultant to such party or of any Affiliate or Sublicensee of such party. The arbitrators selected by the parties shall, within ten (10) days of their selection, select a third member to serve on the panel. If the arbitrators selected by the parties cannot, within ten (10) days of their selection, agree on a third member, the parties shall request that the American Arbitration Association ("AAA") select the third member who shall not be a past or present employee of or consultant to either party or of any Affiliate or Sublicensee of either party. Each party shall then have thirty (30) days from the date the panel is complete to submit to the panel and to the other party a written statement presenting such party's position on the issue. The panel shall, within thirty (30) days after receipt of both parties statements, hold a joint meeting on the issue at which each party will have an opportunity to make a presentation and to respond to the other party's presentation. Within fifteen (15) days of the conclusion of the meeting, the panel

shall render its decision in writing. The decision of the panel shall be final and binding on the parties. Each party shall bear its own costs in connection with the arbitration proceedings, including the costs of the arbitrator selected by it. The costs of the third arbitrator will be shared equally. The arbitration shall be held in the Commonwealth of Massachusetts and conducted under the rules of the AAA, except as otherwise expressly provided in this Section.

14.8 Headings. The headings in this Agreement have been included for convenience only, and shall not be used to construe the meaning of this Agreement.

14.9 Waiver. Failure of a party to enforce its rights under this Agreement shall not constitute a waiver of that right or the ability to later assert that right relative to the particular situation involved or to terminate this Agreement as a result of any subsequent default or breach.

14.10 Notices. Any notices given pursuant to this Agreement shall be in writing and shall be deemed delivered upon the earlier of (i) when received at the address set forth below, or (ii) three (3) business days after mailed by certified or registered mail postage prepaid and properly addressed, with return receipt requested, (iii) one (1) business day after being sent by a reputable nationwide overnight courier service, or (iv) when sent, if sent, by facsimile, as confirmed by certified or registered mail or by overnight courier. Notices shall be delivered to the respective parties as indicated:

If to Biogen:

Biogen, Inc.  
14 Cambridge Center  
Cambridge, MA 02142  
Telephone: (617) 679-2000  
Fax: (617) 679-2617

with a copy to Vice President - General Counsel

If to TMC:

The Medicines Company  
One Cambridge Center  
Cambridge, MA 02142  
Telephone: (617) 225-9099  
Fax: (617) 225-2397

with a copy to President

14.11 Counterparts. This Agreement may be executed in any number of separate counterparts, each of which shall be deemed to be an original, but which together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date set forth above.

BIOGEN, INC.

By: \_\_\_\_\_

James R. Tobin  
President and  
Chief Executive Officer

THE MEDICINES COMPANY

By: \_\_\_\_\_

Clive A. Meanwell  
President and  
Chief Executive Officer

## **APPENDIX A**

### **Patent Rights**

## HIRULOG PATENTS AND APPLICATIONS

## REVISED APPENDIX

| DKT. NO. | REL. | COUNTRY     | APPLICATION NO. | APP. DATE | STA | PAT. NO. | PAT. DATE |
|----------|------|-------------|-----------------|-----------|-----|----------|-----------|
| B135     | DIV  | U. S. A.    | 08/439297       | 11MY1995  | F   |          |           |
| B135     | CIP  | U. S. A.    | 07/549388       | 06JL1990  | G   | 5196404  | 23MR1993  |
| B135     | CIP  | U. S. A.    | 07/834259       | 10FEL1992 | G   | 5433940  | 18JL1995  |
| B135     | CIP  | AUSTRALIA   | 62841/90        | 17AUI1990 | G   | 652125   | 06DE1994  |
| B135     | CIP  | AUSTRIA     | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | BELGIUM     | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | BULGARIA    | 98566           | 24FE1994  | F   |          |           |
| B135     | CIP  | CANADA      | 2065150         | 17AUI1990 | F   |          |           |
| B135     | CIP  | DENMARK     | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | EUROPEAN PA | 90912754.0      | 17AUI1990 | G   | 489070   | 24AP1996  |
| B135     | CIP  | FINLAND     | 920672          | 17AUI1990 | F   |          |           |
| B135     | CIP  | FRANCE      | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | GREAT BRITA | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | WEST GERMAN | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | HUNGARY     | 473/92          | 17AUI1990 | F   |          |           |
| B135     | CIP  | HUNGARY     | P/P00684        | 30JEL1995 | G   | 211158   | 30AU1995  |
| B135     | CIP  | ITALY       | 90912754.0      | 17AUI1990 | F   |          |           |
| B135     | CIP  | JAPAN       | 2-512078        | 17AUI1990 | F   |          |           |

## HIRULOG PATENTS AND APPLICATIONS

| DKT. NO.    | REL. | COUNTRY     | APPLICATION NO. | APP. DATE | STA | PAT. NO. | PAT. DATE |
|-------------|------|-------------|-----------------|-----------|-----|----------|-----------|
| B135        | CIP  | KOREA SOUTH | 92-700364       | 17AU1990  | F   |          |           |
| B135        | CIP  | LUXEMBOURG  | 90912754.0      | 17AU1990  | F   |          |           |
| B135        | CIP  | MEXICO      | 923196          | 24JEL1992 | G   | 183498   | 09DEL1996 |
| B135        | CIP  | NETHERLANDS | 90912754.0      | 17AU1990  | F   |          |           |
| B135        | CIP  | NORWAY      | 92.0616         | 17AU1990  | F   |          |           |
| B135        | CIP  | SINGAPORE   | 9603269-3       | 17AU1990  | F   |          |           |
| B135        | CIP  | SPAIN       | 90912754.0      | 17AU1990  | F   |          |           |
| B135        | CIP  | SWEDEN      | 90912754.0      | 17AU1990  | F   |          |           |
| B135        | CIP  | SWITZERLAND | 90912754.0      | 17AU1990  | F   |          |           |
| B135 REEXAM | X    | U. S. A.    | 90/003511       | 27JUL1994 | F   |          |           |
| B154        | ORG  | U. S. A.    | 07/623611       | 07DEL1990 | G   | 5242810  | 07SEL1993 |
| B159        | ORG  | U. S. A.    | 07/652929       | 08FEL1991 | G   | 5240913  | 31AU1993  |
| B159        | DIV  | U. S. A.    | 07/924549       | 31JUL1992 | G   | 5425936  | 20JEL1995 |
| B159        | DIV  | U. S. A.    | 08/431678       | 02MY1995  | G   | 5514409  | 07MY1996  |
| B159        | ORG  | AUSTRALIA   | 13621/92        | 03FEL1992 | G   | 659828   | 03FEL1995 |
| B159        | DIV  | BULGARIA    | 98558           | 24FEL1994 | F   |          |           |
| B159        | ORG  | BULGARIA    | 98559           | 24FEL1994 | F   |          |           |
| B159        | ORG  | CANADA      | 2079778         | 03FEL1992 | F   |          |           |

## HIRULOG PATENTS AND APPLICATIONS

| DKT. NO. | REL. | COUNTRY     | APPLICATION. NO. | APP. DATE | STA | PAT. NO. | PAT. DATE |
|----------|------|-------------|------------------|-----------|-----|----------|-----------|
| B159     | ORG  | EUROPEAN PA | 92905748.7       | 03FEB1992 | F   |          |           |
| B159     | ORG  | FINLAND     | 924503           | 03FEB1992 | F   |          |           |
| B159     | ORG  | HUNGARY     | P9203500         | 03FEB1992 | F   |          |           |
| B159     | ORG  | KOREA SOUTH | 92-702485        | 03FEB1992 | F   |          |           |
| B159     | ORG  | MEXICO      | 923194           | 24JEB1992 | F   |          |           |
| B159     | ORG  | NEW ZEALAND | 241557           | 07FEB1992 | G   | 241557   | 17MR1997  |
| B159     | ORG  | NORWAY      | 92.3889          | 03FEB1992 | F   |          |           |
| B168     | FWC  | U. S. A.    | 08/328388        | 24OCT1994 | G   | 5446131  | 29AUL1995 |

## LICENSE AGREEMENT

This Agreement, made and entered into this 6<sup>th</sup> day of June 1990, by and between Biogen, Inc. a Massachusetts corporation, of 14 Cambridge Center, Cambridge, MA 02142 ("BIOGEN") and Health Research, Inc., a not-for-profit corporation of 1683 Empire State Plaza, Albany, New York 12237 ("HRI").

WHEREAS, HRI is a co-owner with BIOGEN of the Licensed Patent Rights (as herein defined); and

WHEREAS, BIOGEN desires to become exclusively licensed to HRI's rights in the Licensed Patent Rights.

NOW, THEREFORE, for good and valuable consideration and upon the mutual covenants and promises hereinafter set forth, the parties agree as follows:

### 1. DEFINITIONS

1.1 "Affiliates" shall mean any corporation, partnership, or other business organization which directly or indirectly controls, is controlled by, or is under common control with BIOGEN. For purposes of this Agreement, "control" shall mean the holding directly or indirectly of fifty percent (50%) or more of the voting stock or other ownership interest of the corporation or business entity involved.

1.2 "Licensed Patent Rights" shall mean the co-owned BIOGEN and HRI patent application listed in Exhibit A, attached hereto, and any foreign counterpart patent applications and any patents which issue therefrom, together with any extensions, reissues, renewals, divisions, continuations or continuations-in-part thereof, and any other co-owned BIOGEN and HRI patent applications or patents describing or arising out of the inventions covered by the patent application listed in Exhibit A.

1.3 "Licensed Product(s)" shall mean any product which falls within the scope of a claim of the Licensed Patent Rights or is made in whole or in part in accordance with a process which falls within the scope of a claim of the Licensed Patent Rights.

1.4 "Net Sales" shall mean the gross invoice price of Licensed Product(s) sold in any country by BIOGEN or its Affiliates

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to any party other than sublicensees, less deductions for (i) any sales taxes, excise taxes and duties, (ii) packaging, shipping, handling and insurance charges, (iii) allowances and adjustments for spoiled, damages, outdated or returned Licensed Product(s), and (iv) trade discounts, to the extent such deductions are actually billed or credited to the customer. Sales or transfers of Licensed Product(s) between BIOGEN and its Affiliates shall not be deemed Net Sales unless BIOGEN or its Affiliates are the end users of the Licensed Product(s).

1.5 "Sublicense Income" shall mean the royalty income actually received by BIOGEN or its Affiliates from the sale of Licensed Product(s) by BIOGEN's sublicensees, less deductions for any withholding or other taxes. It is agreed that royalty income shall not include payments BIOGEN or its Affiliates receive from the supply of material, equipment, know-how, technical information and the like to BIOGEN's sublicensees, and shall not include the sales or transfers of Licensed Product(s) between BIOGEN or its Affiliates and the sublicensees unless the sublicensees are the end users of the Licensed Product(s).

## 2. LICENSE GRANT

2.1 Subject to the terms and conditions hereinafter set forth, HRI hereby grants to BIOGEN and its Affiliates a worldwide license, with the right to grant sublicenses, under the Licensed Patent Rights to make, have made, use, sell, and have sold Licensed Product(s). Such license shall be exclusive except that HRI reserves the right to use the Licensed Patent Rights for noncommercial research and educational purposes.

## 3. PAYMENTS

3.1 In consideration for the contribution to the scientific and technical developments relating to Licensed Products by the HRI, BIOGEN shall pay the following non-refundable fees to HRI:

- (a) [REDACTED] within thirty (30) days of execution of this Agreement.
- (b) [REDACTED] within thirty (30) days of BIOGEN's submission of the first Investigational New Drug application to the United States Food and Drug Administration ("FDA") for a Licensed Product.
- (c) [REDACTED] within thirty (30) days of Biogen's submission of the first New Drug Application to the FDA for a Licensed Product.

- (d) [REDACTED] within thirty (30) days of the FDA's approval of Biogen's first New Drug Application for a Licensed Product.

3.2 In consideration for the rights granted by HRI to BIOGEN hereunder, BIOGEN shall pay to HRI:

- (a) A minimum annual royalty of [REDACTED] beginning with the calendar year following the first sale of Licensed Product.
- (b) A royalty on the cumulative annual Net Sales of Licensed Product according to the following schedule:

| <u>Cumulative Annual Net Sales</u> | <u>Royalty Percentage</u> |
|------------------------------------|---------------------------|
| [REDACTED]                         | [REDACTED]                |
| [REDACTED]                         | [REDACTED]                |
| [REDACTED]                         | [REDACTED]                |

- (c) A royalty of [REDACTED] of the Sublicense Income received by BIOGEN and its Affiliates.

3.3 BIOGEN shall be entitled to credit any minimum annual royalty paid to HRI in a calendar year pursuant to Section 3.2(a) against any royalties earned in that same calendar year and due to HRI pursuant to Section 3.2(b).

3.4 Unless this Agreement is terminated pursuant to Article 9, BIOGEN shall, within sixty (60) days after the last days of June and December in each year during the term of this Agreement, provide HRI with an accounting of Net Sales and/or Sublicense Income for the immediately preceding six (6) month period (the Royalty Period). Further, BIOGEN shall provide HRI with an accounting of the royalties due with respect to the preceding six (6) month period and shall, at the time when it delivers such account, make payment of the amount of royalty payment due under this Article 3.

3.5 BIOGEN shall keep (or cause to be kept) and maintain complete and accurate records of its Net Sales and Sublicense Income in accordance with generally accepted accounting procedures. Such records shall be accessible for review by HRI or by an independent certified public accountant selected and paid for by HRI and acceptable to BIOGEN (which acceptance shall not be unreasonably withheld), not more than once a year at any reasonable time during business hours within one (1) year after the end of the royalty period to which such records relate, for the purpose of verifying any royalty due thereon. The individual conducting such

review shall disclose to HRI only information relating to the accuracy of the records kept and the payments made, shall be under a duty to keep confidential any other information gleaned from such records.

3.6 All monies to be paid to HRI shall be made and computed in United States Dollars, and BIOGEN shall use its reasonable efforts to convert royalty payments payable on Net Sales and Sublicense Income in any country foreign to United States Dollars; provided, however, that if conversion to and transfer of Dollars cannot be made by BIOGEN in any country for any reason, BIOGEN may pay such sums in the currency of the country in which such sales are made, deposited in HRI's name in a bank designated by HRI in any such country. The rate of exchange of local currencies to United States Dollars shall be at the rate of exchange in force on the last business day of the Royalty Period as reported by The Wall Street Journal.

3.7 If BIOGEN or its Affiliates or their sublicensees, in order to operate under or exploit the licenses granted under Article 2 of this Agreement in any country, is required to make any payment (including, but not limited to, royalties, up-front payments, option fees or license fees) to one or more third parties to obtain a license or similar right in the absence of which the Licensed Products could not be used, manufactured or sold in such country without violating the property, patent or other right of a third party, BIOGEN may deduct from royalties otherwise payable to HRI an amount equal to such payments made during the same Royalty Period to such third party, provided that (a) the royalties paid to HRI for such Royalty Period shall not be reduced on any payment date by more than fifty percent (50%) and (b) BIOGEN provides HRI with evidence, reasonably satisfactory to HRI, of such third-party payments.

#### 4. COMMERCIALIZATION

4.1 BIOGEN agrees to use reasonable commercial efforts to research and develop, obtain regulatory approval and commercialize Licensed Product(s) in the United States and agrees to provide HRI with written annual reports on such efforts.

4.2 BIOGEN shall not use the name of HRI, the New York State Department of Health or New York State in any advertising or promotional sales literature without the prior written consent of HRI, except that BIOGEN may state that it is licensed by HRI under the Licensed Patents Rights.

#### 5. WARRANTIES

5.1 Each party represents and warrants that it has the full power and authority to enter into this Agreement and that entering

into this Agreement does not breach any existing agreements already signed by that party.

5.2 Nothing herein contained shall be construed by either party hereto as a representation or warranty that the exercise of the licensed rights will not constitute an infringement of the intellectual property rights of third parties.

5.3 BIOGEN agrees that HRI shall have no responsibility, or liability with respect to any Licensed Product and agrees to hold HRI, the New York State Department of Health and New York State harmless from any and all damages, losses, costs and expenses which they incur as a result of any action, claim or demand as a result of activities by BIOGEN, its Affiliates and sublicensees arising out of or relating to Licensed Product.

5.4 BIOGEN, its Affiliates and its sublicensee(s) agree to comply with all regulations and safety standards of government agencies such as the Consumer Product Safety Act and the Food and Drug Act.

## 6. PATENTS

6.1 BIOGEN shall be responsible for, and bear the costs of, the filing, prosecution, issuance, enforcement, defense and maintenance of the Licensed Patent Rights, *except as provided for in section 6.2 below.* *AT*

6.2 BIOGEN and HRI shall promptly notify the other in writing of any actual or threatened infringement of any Licensed Patent Rights, and shall at the same time provide the other with any available evidence of infringement. The parties shall then discuss what action, if any, each parties believes should be taken in the matter.

(a) In the event BIOGEN, alone or with an Affiliate or sublicensee, wishes to take action in a suit to enforce or defend any Licensed Patent Rights, BIOGEN may take action and, at its option, join HRI as a plaintiff. BIOGEN, alone or with its Affiliates and sublicensees, shall exercise control over such action and shall bear all costs thereof, including, but not limited to attorney's fees; provided that HRI may, if it so desires, be represented by counsel of its own selection, the fees for which counsel shall be paid by HRI. Any recovery from such action shall be retained by BIOGEN or shared by BIOGEN, its Affiliates or sublicensees.

(b) In the event BIOGEN does not take action in a suit to enforce or defend any Licensed Patent Rights and HRI wishes to take action, HRI may take action and, at its option, join BIOGEN as a plaintiff. HRI shall exercise control over such action and shall bear all costs thereof, including, but not limited to

attorney's fees; provided that BIOGEN may, if it so desires, be represented by counsel of its own selection, the fees for which counsel shall be paid by BIOGEN. Any recovery from such action shall be retained by HRI.

6.3 In any suit as either party may institute or control to enforce or defend the Licensed Patent Rights pursuant to this Agreement, the other party hereto agrees, at the request and expense of the party initiating or controlling the suit, to cooperate in all respects, to have its employees testify when requested and to make available relevant records, papers, information, samples, specimens, and the like.

6.4 Neither party may enter into a settlement or consent judgment or other voluntary and final disposition of any suit effecting the Licensed Patent Rights without the consent of the other party, which consent shall not be unreasonably withheld. Notwithstanding the foregoing, the party instituting or controlling (as the case may be) any suit referred to in this Article 6 shall have the right to settle any claims for infringement upon such terms and conditions as it, in its sole discretion, shall determine (including through the granting of a sublicense by BIOGEN).

6.5 In the event an infringement or infringements by third parties of the Licensed Patent Rights significantly affects BIOGEN's sales of Licensed Product(s) by capturing [REDACTED] of Biogen's market share, and neither HRI nor BIOGEN elect to bring an infringement suit against such infringer, the royalties hereunder payable by BIOGEN pursuant to Article 2 shall be reduced by [REDACTED] of the sums otherwise payable; provided, however, that BIOGEN presents information to HRI showing the loss of market share and that such infringer has refused to enter into a royalty bearing, sublicensing agreement with BIOGEN on terms reasonably acceptable to BIOGEN.

6.6 In the event that one or more patents, or particular claims therein (which read on the Licensed Product) within the Licensed Patent Rights expire, or are abandoned, or are declared invalid or by a court of last resort, or by lower court from whose decree no appeal is taken, or certiorari is not granted within the period allowed therefor, then such patents or particular claims shall, as of the date of expiration or abandonment or final decree of invalidity as the case may be, cease to be included within the License Patent Rights for the purpose of this Agreement. HRI agrees to renegotiate in good faith with BIOGEN a reasonable royalty rate under the remaining Licensed Patent Rights which are unexpired and in effect, and under which BIOGEN desires to retain a license if BIOGEN can demonstrate that subsequent to such

expiration, invalidity or abandonment of patents or particular claims (but not all of the Licensed Patent Rights) BIOGEN's market share of Licensed Products has been reduced by more than [REDACTED]

## 7. DURATION AND TERMINATION

7.1 The license granted hereunder shall continue until expiration of the last remaining patent granted from the Licensed Patent Rights, unless earlier terminated in accordance with this Article.

7.2 The royalty obligations in each country shall end on a country-by-country basis upon expiration of the patent granted from the Licensed Patent Rights in such country.

7.3 BIOGEN shall have the right to terminate this Agreement upon ninety (90) days prior written notice to HRI and upon payment of a termination fee equal to the minimum annual royalty set forth in Section 3.2(a) of this Agreement.

7.4 HRI shall have the right to terminate this Agreement: (a) in the event BIOGEN materially breaches this Agreement or fails to account for or pay royalties or minimum royalties as herein provided, provided, however, that if BIOGEN cures the said breach or default within ninety (90) days of notice, this license shall continue in full force and effect; and (b) immediately upon written notice to BIOGEN in the event of bankruptcy, liquidation or insolvency of BIOGEN.

7.5 Upon any termination of this Agreement nothing herein shall be construed to release either party of any obligation matured prior to the effective date of such termination, and BIOGEN may after the effective date of such termination sell all Licensed Product(s) that it may have on hand at the date of termination provided that it pays the royalties as provided in this Agreement.

## 8. NOTICES

8.1 Any notice required or permitted to be given hereunder shall be sent in writing by registered or certified airmail, postage prepaid, return receipt requested, or by telecopier, air courier or hand delivery, addressed to the party to whom it is to be given as follows:

If to BIOGEN:

BIOGEN, INC.  
14 Cambridge Center  
Cambridge, MA 02142  
Telecopier: 617 491 1228  
Attention: Vice President -  
Marketing and Business

With a copy to:

BIOGEN, INC.  
14 Cambridge Center  
Cambridge, MA 02142  
Telecopier: 617 491 1228  
Attention: Vice President-  
General Counsel

If to HRI:

HEALTH RESEARCH INC.  
1683 Empire State Plaza  
Albany, NY 12237  
Telecopier: (518)474-4434  
Attention: Director of Operations

or to such other address or addresses as may from time to time be given in writing by either party to the other pursuant to the terms hereof.

8.2 Any notice sent pursuant to this Article shall be deemed delivered within 5 days if sent by airmail and within 24 hours if sent by air courier or hand delivery.

## 9. ARBITRATION

9.1 The parties desire to avoid and settle without litigation future disputes which may arise between them relative to this Agreement. Accordingly, the parties agree to engage in good faith negotiations to resolve any such disputes. In the event they are unable to resolve any such dispute through negotiation, then such dispute shall be submitted to arbitration in accordance with the Rules of the American Arbitration Association (hereinafter "Rules") then in effect and the award rendered by the arbitrators shall be binding as between the parties and judgment on such award may be entered in any court having jurisdiction thereof, provided, however, that with respect to any matter in dispute concerning royalties due and payable by one party, such party shall have previously exercised

its rights to have an auditor examine the records of the other party pursuant to Article 3 herein before proceeding, and further provided that a dispute relating to the payments set forth in Paragraphs 3.1 and 3.2 of this Agreement which arises out of a contention regarding the interpretation or validity of the Licensed Patent Rights shall not be submitted to arbitration .

9.2 Three neutral arbitrators shall be appointed by the American Arbitration Association in accordance with ~~Section 12~~ of such Rules, and at least one of such arbitrators shall be an attorney-at-law, and all decisions and awards shall be made by majority of them except for decisions relating to discovery and disclosures as set forth in Paragraph 9.3 hereof.

9.3 Notice of a demand for arbitration of any dispute subject to arbitration by one party shall be filed in writing with the other party and with the American Arbitration Association. The parties agree that after any such notice has been filed, they shall, before the hearing thereof, make discovery and disclosure of all matters relevant to such dispute. Discovery and disclosure shall be completed no later than ninety (90) days after filing of such notice of arbitration unless extended upon a showing of good cause by either party to the arbitration. The arbitrators may consider any material which is relevant to the subject matter of such dispute even if such material might also be relevant to an issue or issues not subject to arbitration hearing.

9.4 In the event a patent which is the subject matter of an award rendered by the arbitrators is subsequently determined to be invalid or unenforceable in a judgment rendered by a court of competent jurisdiction from which no appeal can or has been taken, such award may be modified by a court of competent jurisdiction upon application by any party to the arbitration. Any such modification shall govern the rights and obligations between the parties from the date of such modification.

#### 10. MISCELLANEOUS PROVISIONS

10.1 Neither party shall assign this Agreement without the written consent of the other party which consent shall not be unreasonably withheld; provided, however, that either party, without such consent, may assign or sell the same to an affiliate or in connection with the transfer or sale of all or substantially all of its business or in the event of its merger, consolidation, or joint venture with another company. Each assignee shall assume all obligations of its assignor under this Agreement. No assignment shall relieve either party of responsibility for the performance of any accrued obligations which such party then has hereunder.

10.2 This Agreement constitutes the entire understanding between the parties and may not be varied except by a written document signed by both parties.

10.3 This Agreement shall be construed, governed, interpreted, and applied in accordance with the laws of the Commonwealth of Massachusetts, except that questions affecting the validity, construction, and effect of any foreign patent shall be determined by the laws of the country in which the patents were granted.

10.4 The provisions of this Agreement are severable, and in the event that any of the provisions of this Agreement are determined to be invalid or unenforceable under any controlling

body of law, such invalidity or unenforceability shall not in any way affect the validity or enforceability of the remaining provisions hereof.

IN WITNESS WHEREOF, the parties hereto have hereunder set their hands and seals and duly executed this License Agreement the day and year first written above.

BIOGEN, INC.

HEALTH RESEARCH INC.

By: Alan Tuck

By: [Signature]

Name: Alan Tuck

Name: Lee J. VanDeCarr

Title: Vice President - Marketing & Business Development

Title: Sec/Treasurer

Date: 28 May 1990

Date: JUN 4 1990

EXHIBIT A

Licensed Patent Rights

1. United States patent application Serial No. 395,482 filed August 18, 1989, entitled "Novel Inhibitors of Thrombin"

AMENDMENT NO. 1 TO LICENSE AGREEMENT

This Amendment No. 1 to License Agreement is made as of this 1st day of April, 1996 by and between Biogen, Inc., a Massachusetts corporation with its principal offices located at 14 Cambridge Center, Cambridge, Massachusetts, 02142 ("Biogen") and Health Research, Inc., a not-for-profit corporation with offices located at 66 Hackett Boulevard, Albany, New York 12209 ("HRI").

Biogen and HRI are parties to a certain License Agreement dated as of June 4, 1990 ("License Agreement") under which HRI has granted to Biogen a license to HRI's rights in certain jointly-owned patents. Biogen and HRI would like to amend Section 10.1 of the License Agreement regarding assignment and sublicensing. Therefore, they agree as follows:

Section 1. Section 10.1 of the License Agreement shall be amended to read in its entirety as follows:

10.1 Neither party shall assign this Agreement without the written consent of the other party, which consent shall not be unreasonably withheld; provided, however, that either party without such consent, may assign or sell the same to an affiliate or in connection with the transfer or sale of all or substantially all of its business or in the event of its merger, consolidation or joint venture with another company. Except as provided below, each assignee shall assume all obligations of its assignor.

Each party shall give the other party prior written notice of any assignment for which consent is required hereunder. If a party providing notice of a proposed assignment has not received written objection to the assignment from the other party within ten (10) days after receipt of the notice, the other party shall be deemed to have consented to the assignment for purposes of this Section.

Biogen is co-owner of the Licensed Patent Rights, and Biogen may decide to retain its rights and obligations under Sections 6.1 through 6.4 of this Agreement notwithstanding the assignment of its other rights and obligations to a third party. However, whether or not Biogen decides to retain such rights and obligations, Biogen's assignee, as a condition to such assignment, shall be obligated to pay (1) the applicable non-refundable fees set forth in Section 3.1 (if they

have not previously been paid by Biogen), and (2) the royalties set forth in Section 3.2 of this Agreement.

If, in connection with an assignment, Biogen is a sublicensee of its assignee, HRI shall be entitled to receive (i) royalties on its assignee's Net Sales pursuant to Sections 3.2(a) and (b), and (ii) pursuant to Section 3.2(c), royalties on the Sublicense Income received by the assignee from sales by Biogen.

Each party shall properly notify the other party in writing of any completed assignment. Except as expressly provided above, upon assumption by assignee of all or part of this Agreement in accordance with this Section 10.1, the obligations assumed by the assignee shall cease in their entirety to be obligations of the assignor, except that no assignment shall relieve either party of its responsibility to the other party for the performance of any obligations accrued prior to the date of the assignment.

Section 2. The reference in the sixth line of Section 6.5 of the License Agreement to "Article 2" shall be changed to "Article 3."

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date and year first above written.

BIOGEN, INC.

By: Kenneth M. Bates

Name: Kenneth M. Bates

Title: VP Marketing & Sales

HEALTH RESEARCH, INC.

By: Lee J. VanDeCarr

Name: Lee J. VanDeCarr, Sec/Treas, HRI

Title: Lee J. VanDeCarr, Sec/Treas, HRI



US005196404A

# United States Patent [19]

Maraganore et al.

[11] Patent Number: 5,196,404  
[45] Date of Patent: Mar. 23, 1993

## [54] INHIBITORS OF THROMBIN

- [75] Inventors: John M. Maraganore, Concord, Mass.; John W. Fenton, II, Malden Bridge; Toni Kline, New York, both of N.Y.
- [73] Assignees: Biogen, Inc., Cambridge, Mass.; Health Research, Inc., Albany, N.Y.
- [21] Appl. No.: 549,388
- [22] Filed: Jul. 6, 1990

## Related U.S. Application Data

- [63] Continuation-in-part of Ser. No. 395,482, Aug. 18, 1989, abandoned.
- [51] Int. Cl.<sup>3</sup> ..... A61K 37/02; C07K 7/08; C07K 7/10
- [52] U.S. Cl. .... 514/13; 514/12; 514/14; 530/326; 530/327; 530/325; 530/324; 623/11
- [58] Field of Search ..... 514/12, 13, 14; 530/326, 324, 325, 327; 623/11

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(List continued on next page.)

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[57]

## ABSTRACT

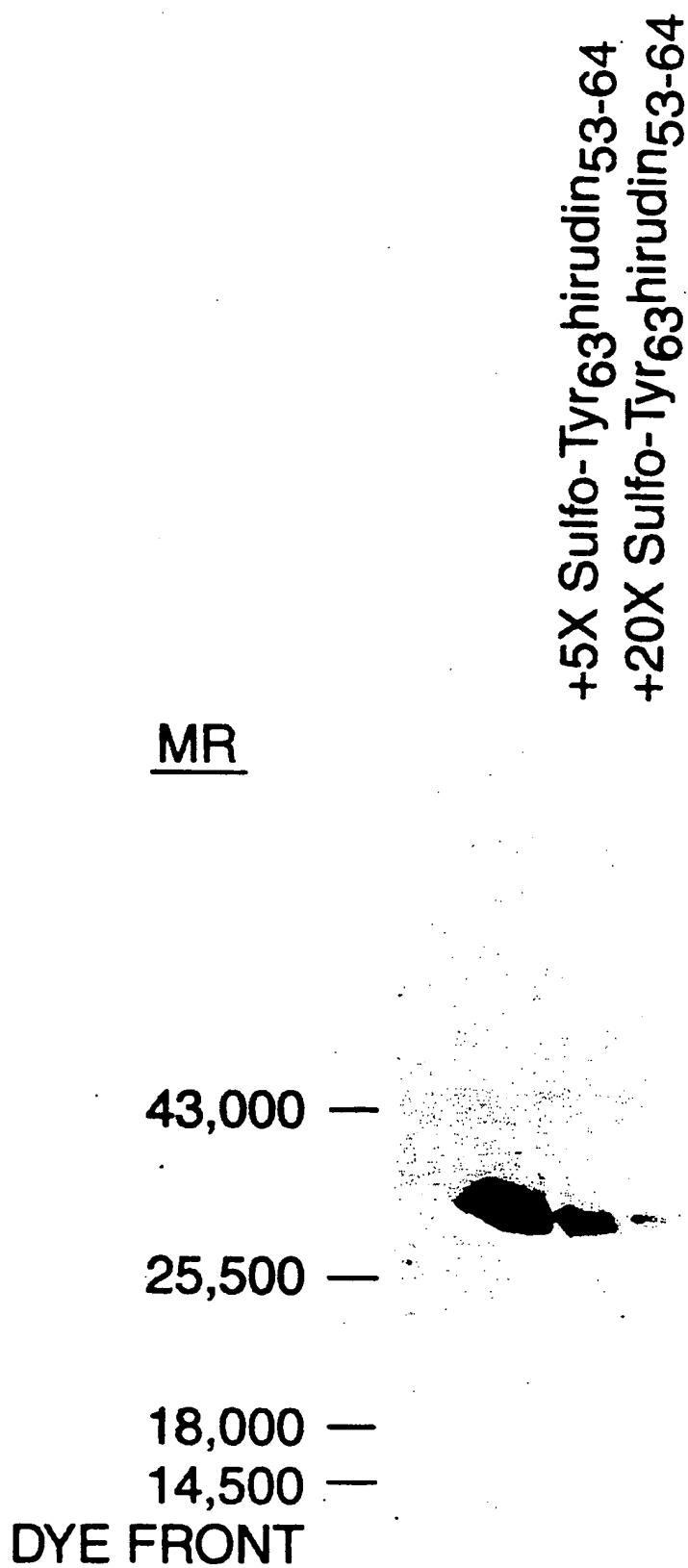
This invention relates to novel biologically active molecules which bind to and inhibit thrombin. Specifically, these molecules are characterized by a thrombin anion-binding exosite association moiety (ABEAM); a linker portion of at least 18 Å in length; and a thrombin catalytic site-directed moiety (CSDM). This invention also relates to compositions, combinations and methods which employ these molecules for therapeutic, prophylactic and diagnostic purposes.

37 Claims, 13 Drawing Sheets

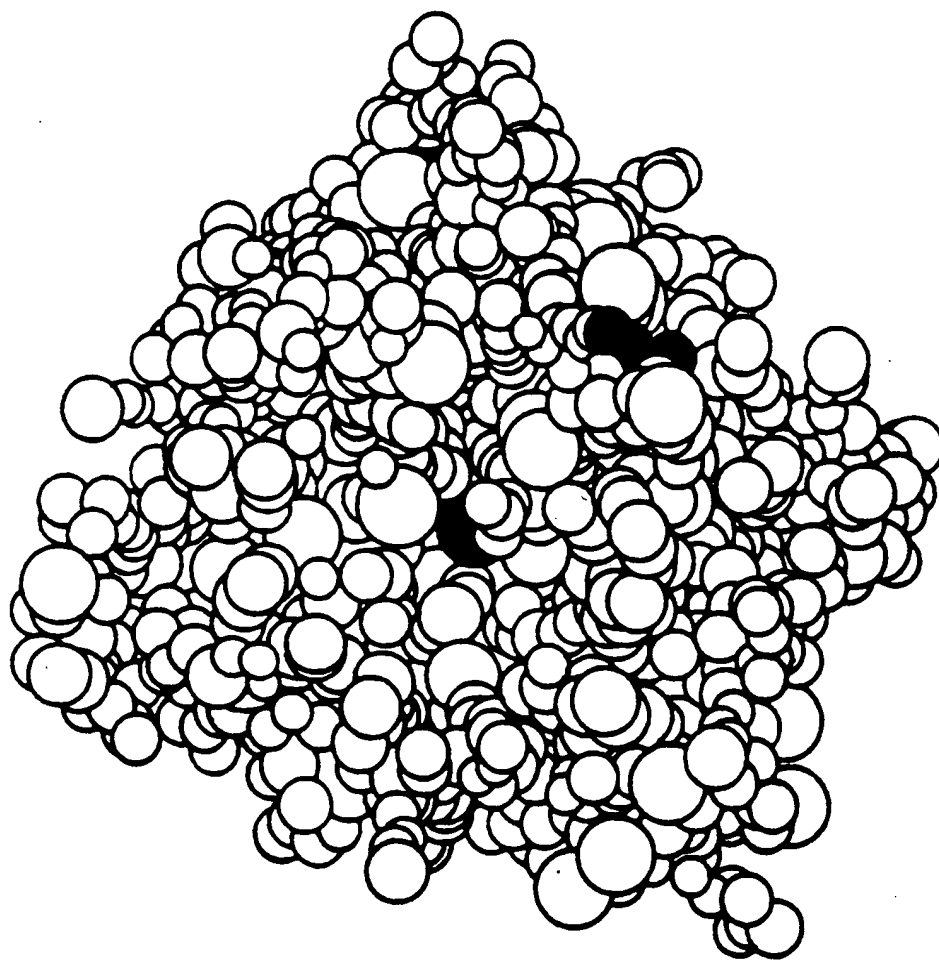
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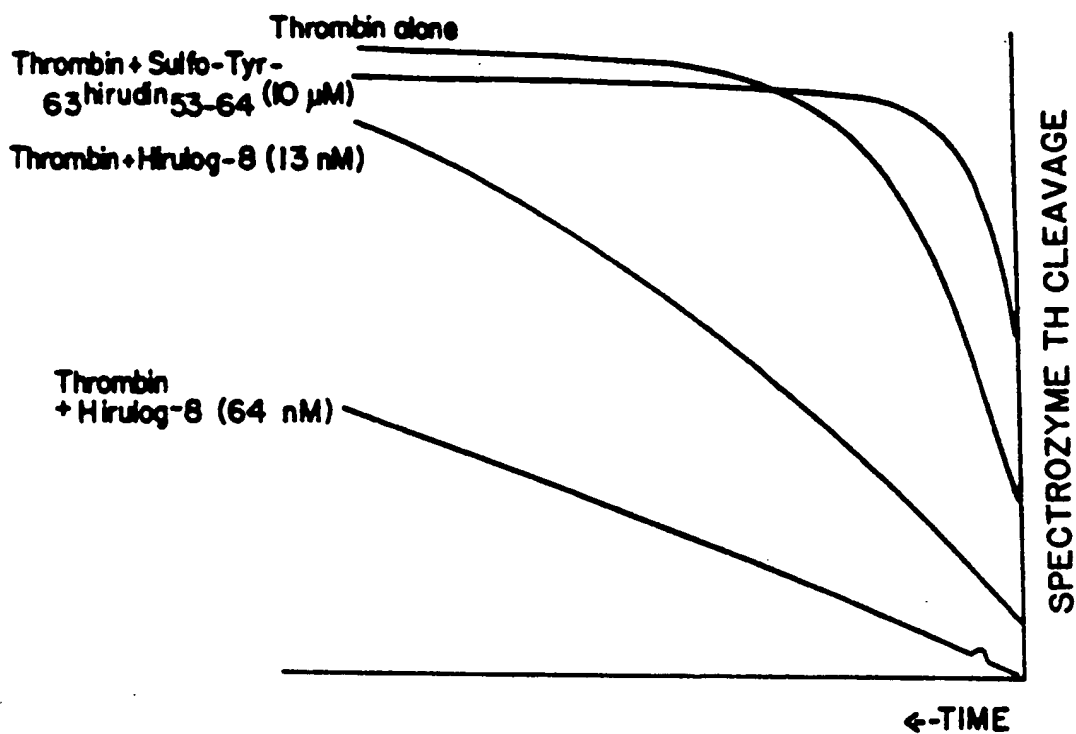
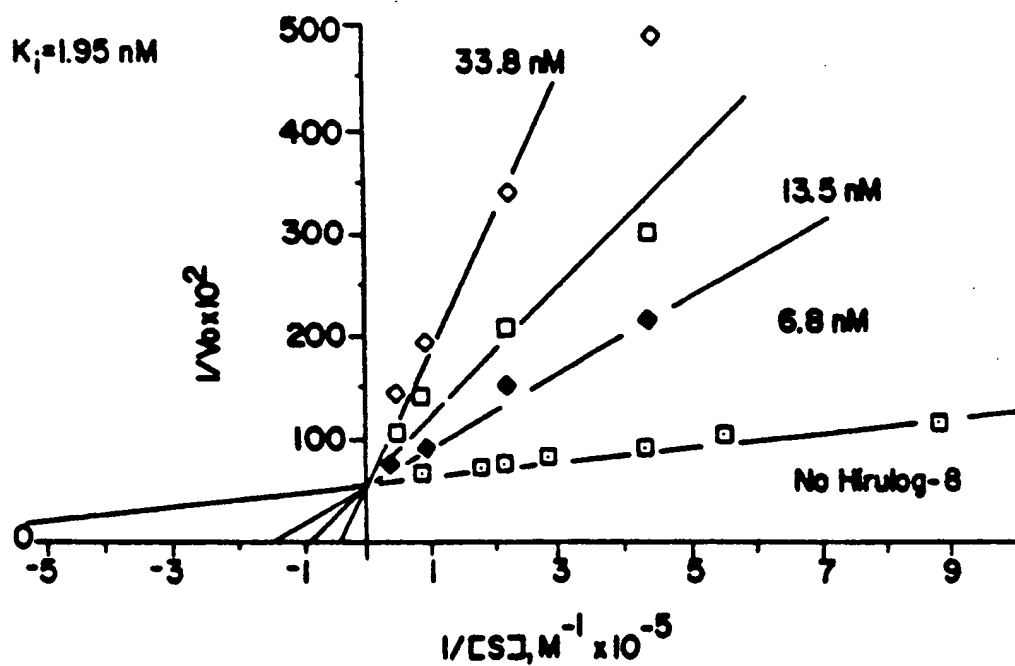
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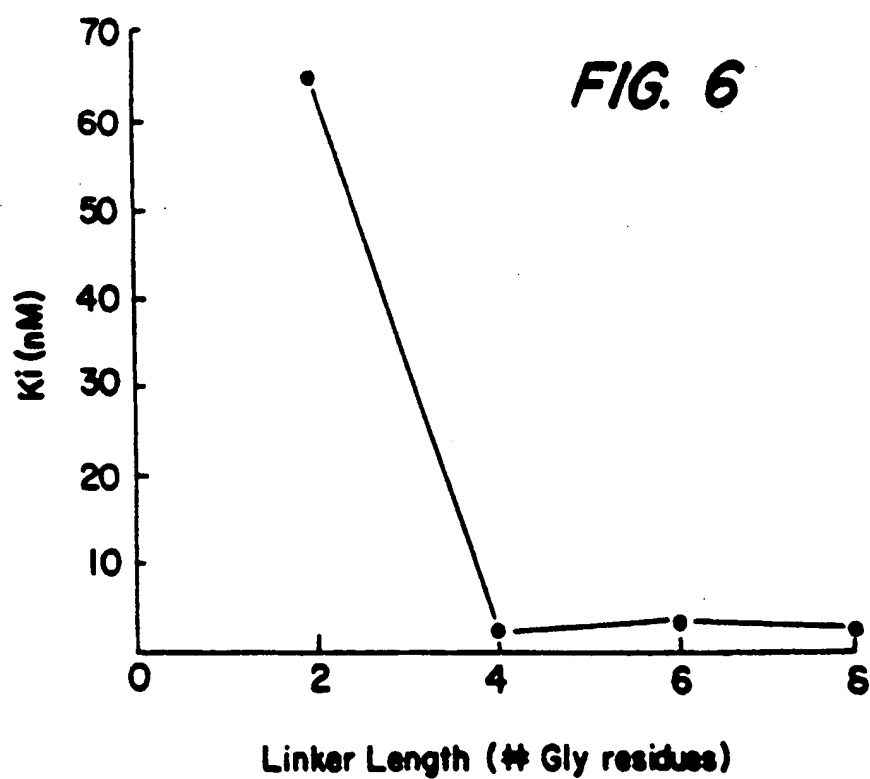
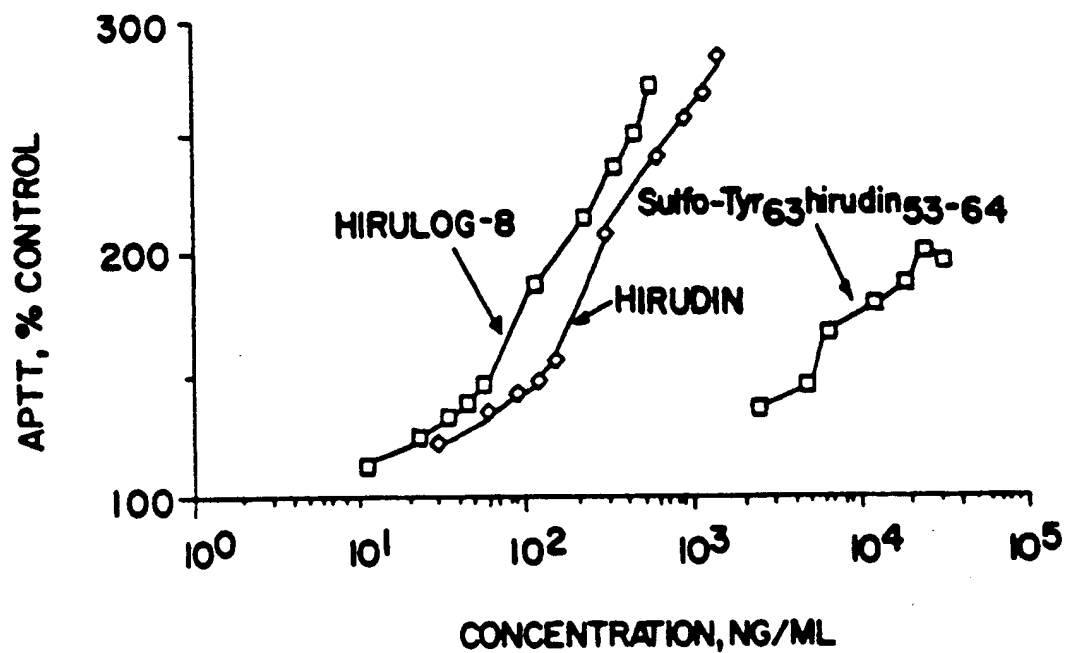
FIG. 1



**FIG. 2**



**FIG. 3A****FIG. 3B**

**FIG. 4**

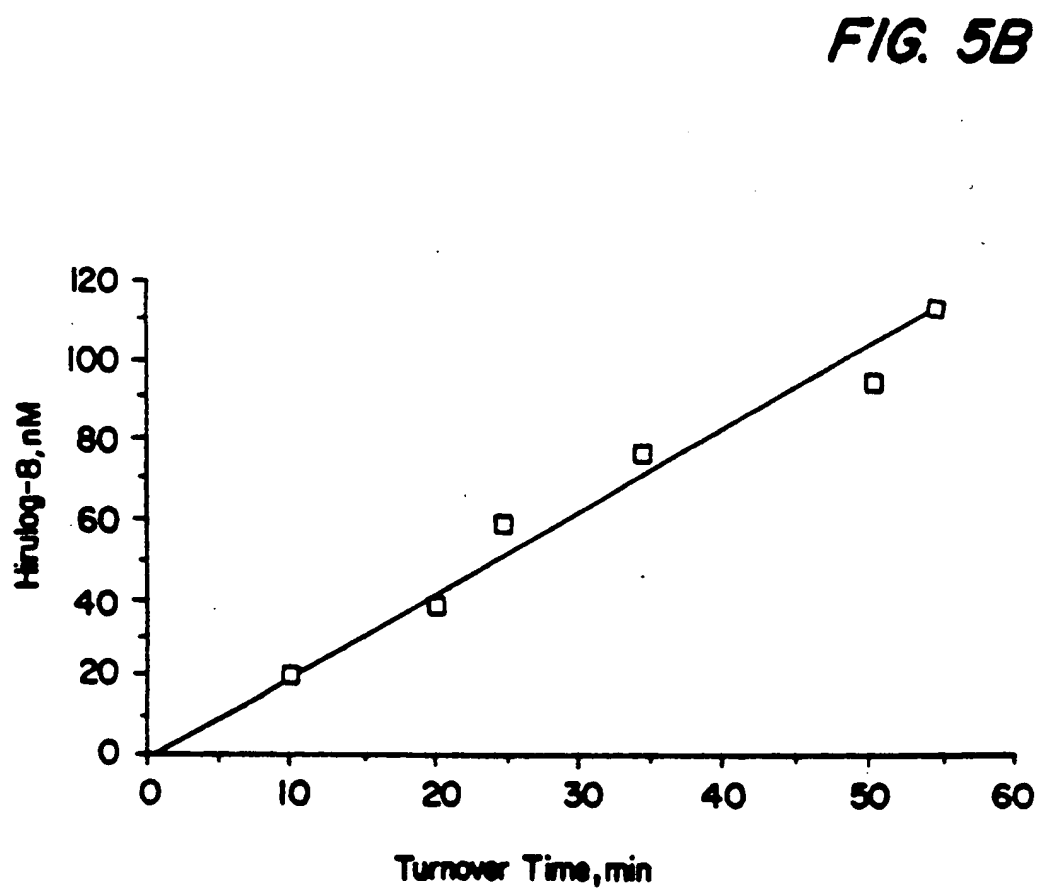
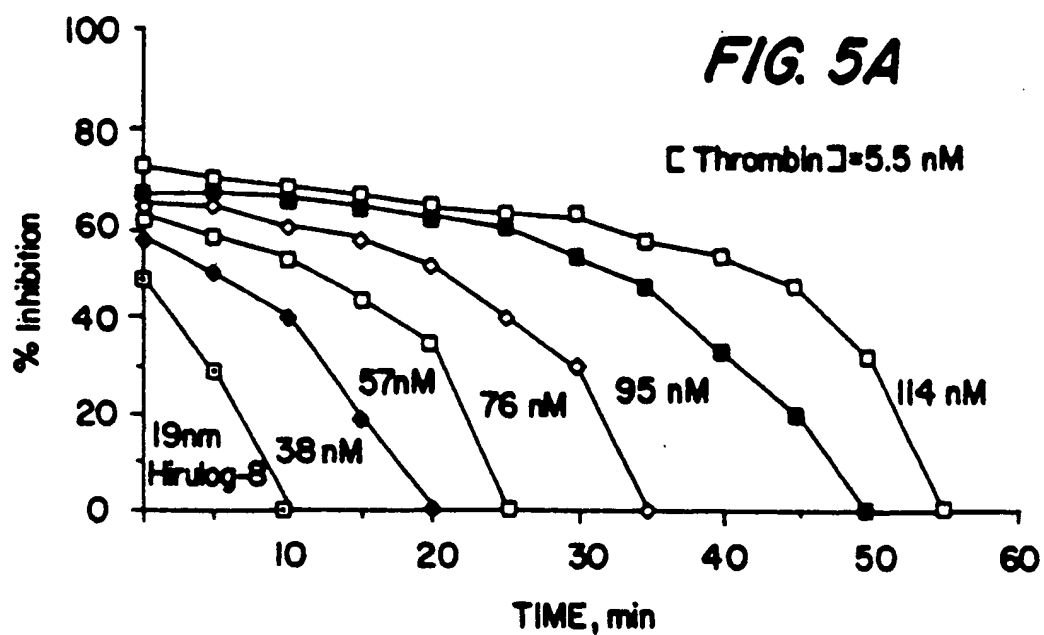
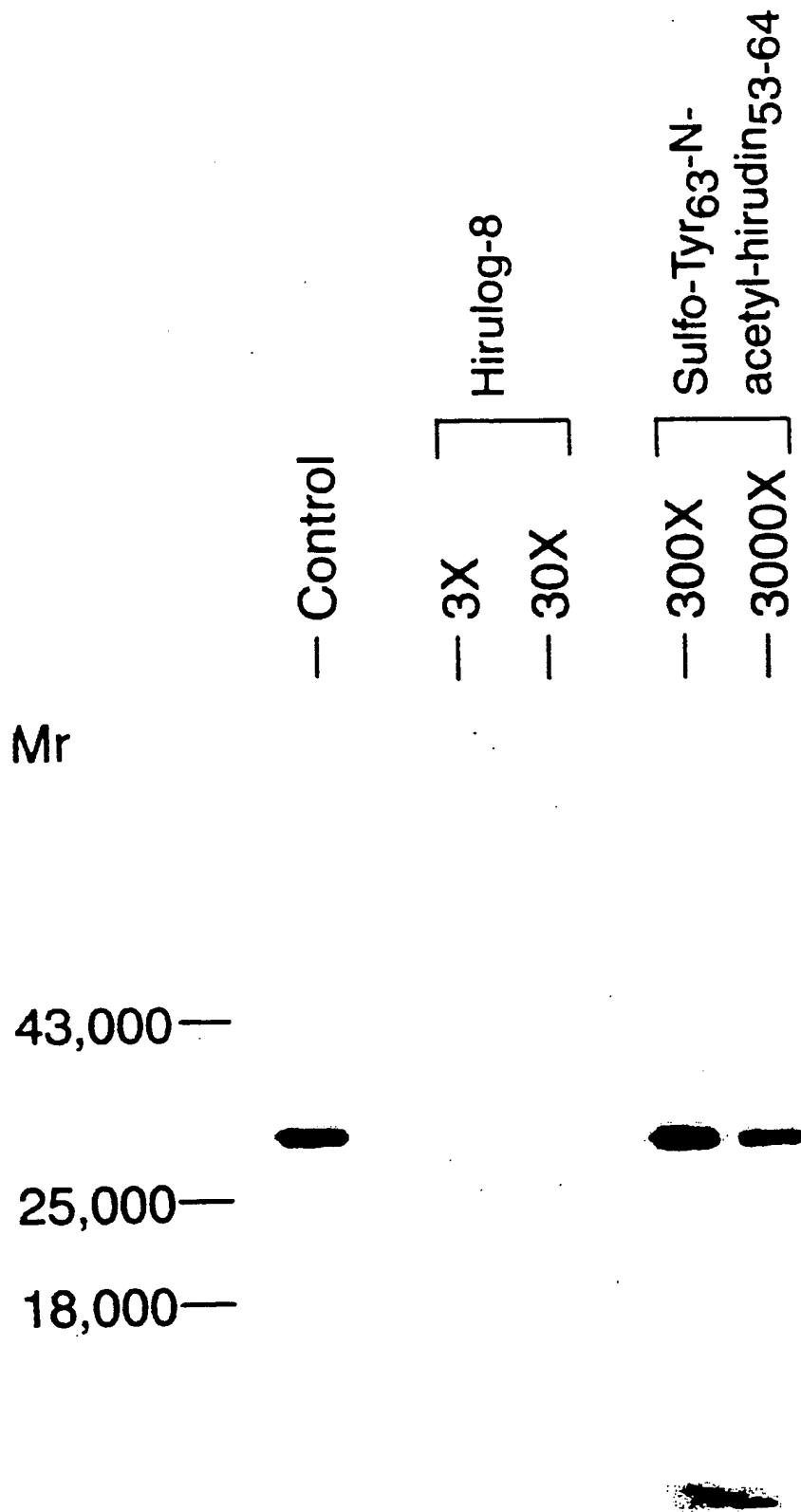
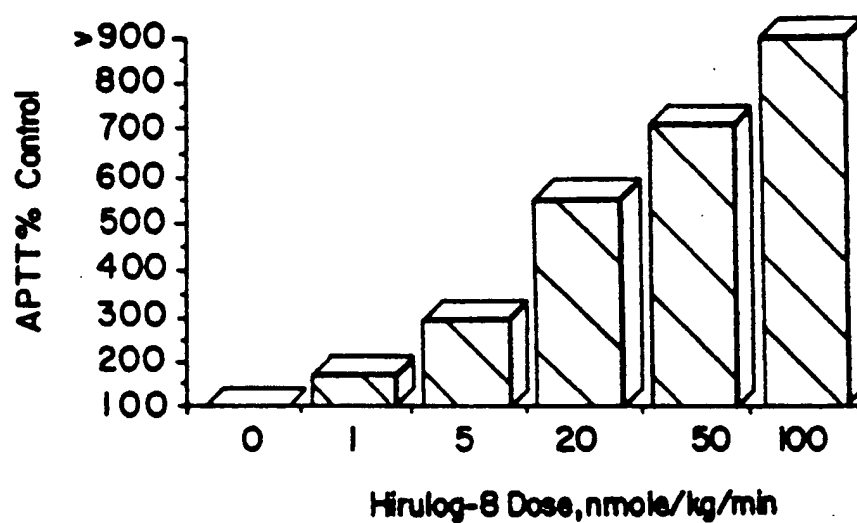
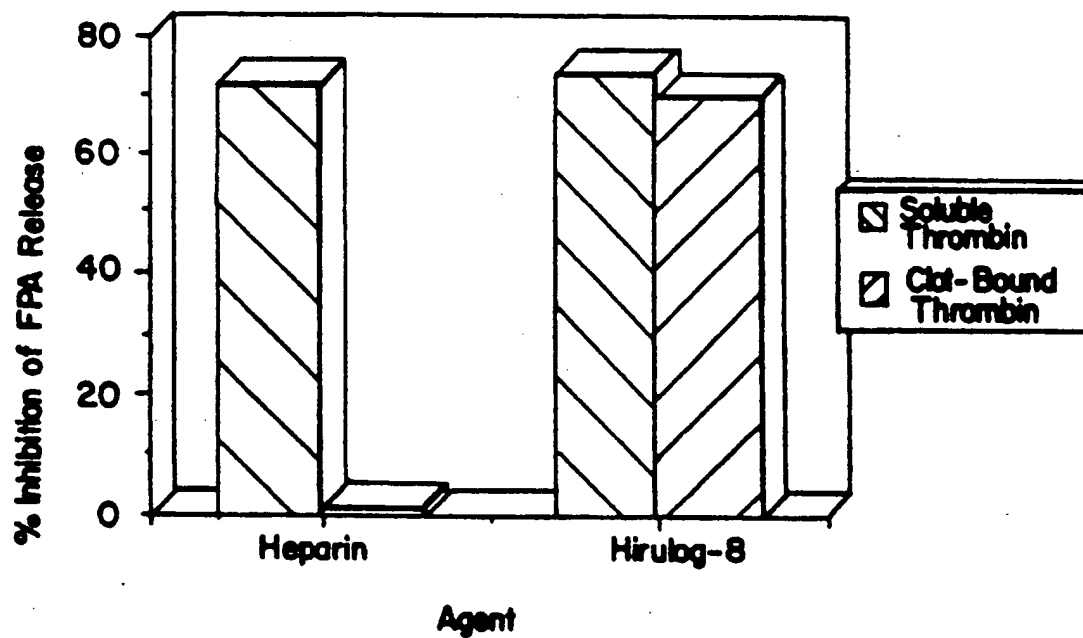
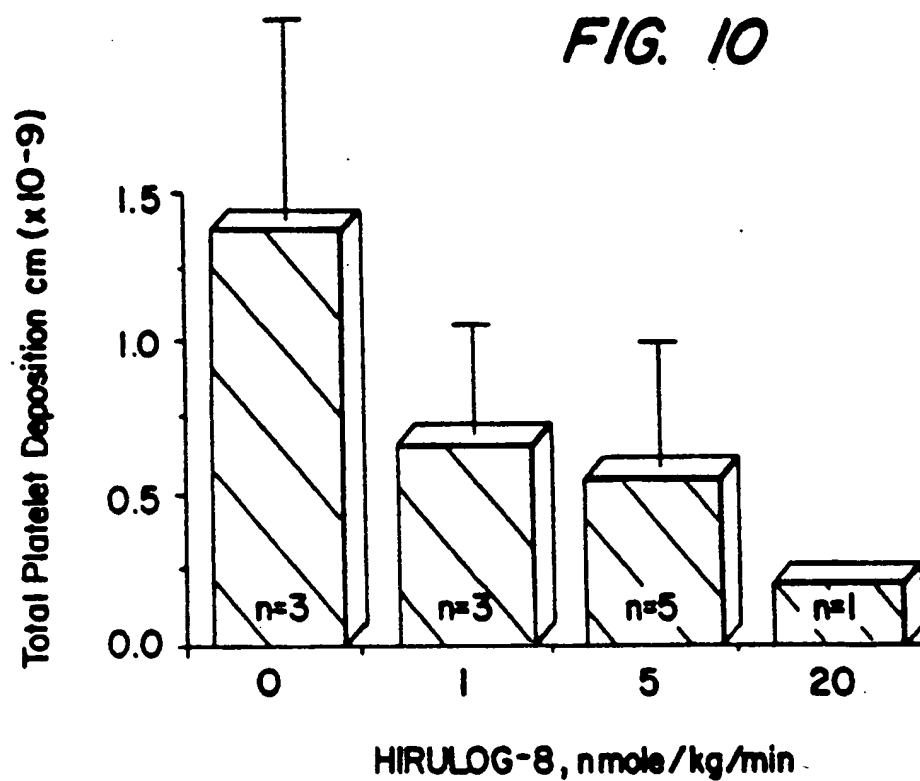
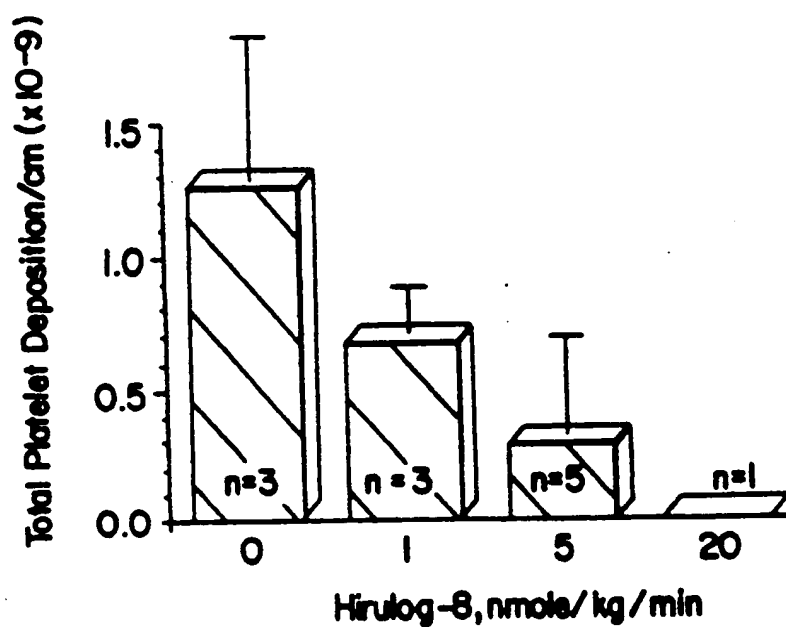
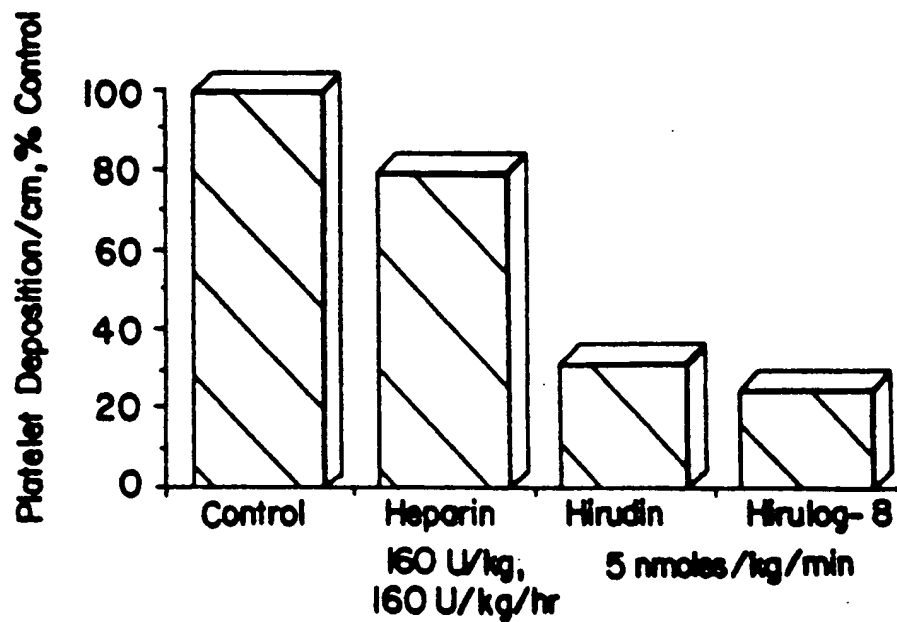
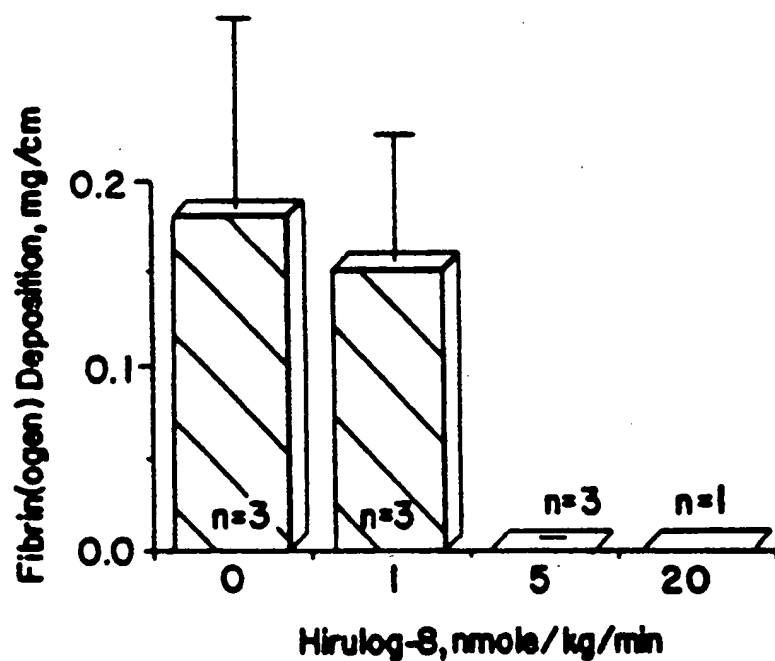


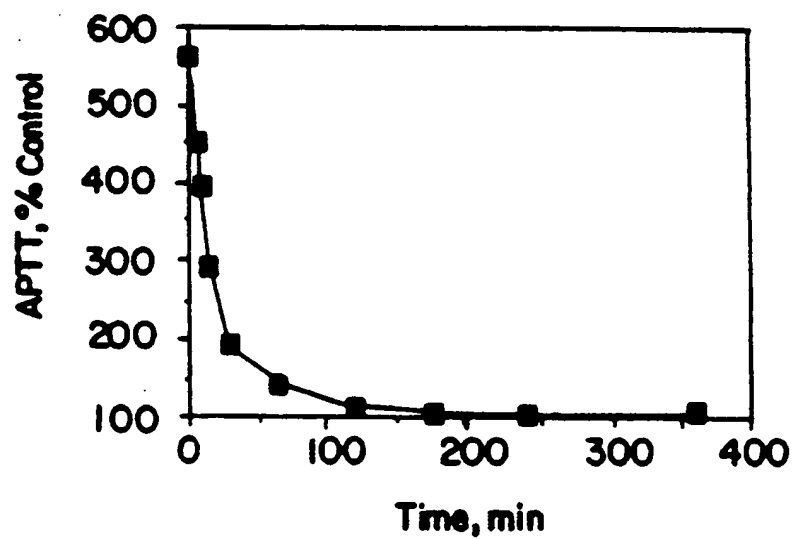
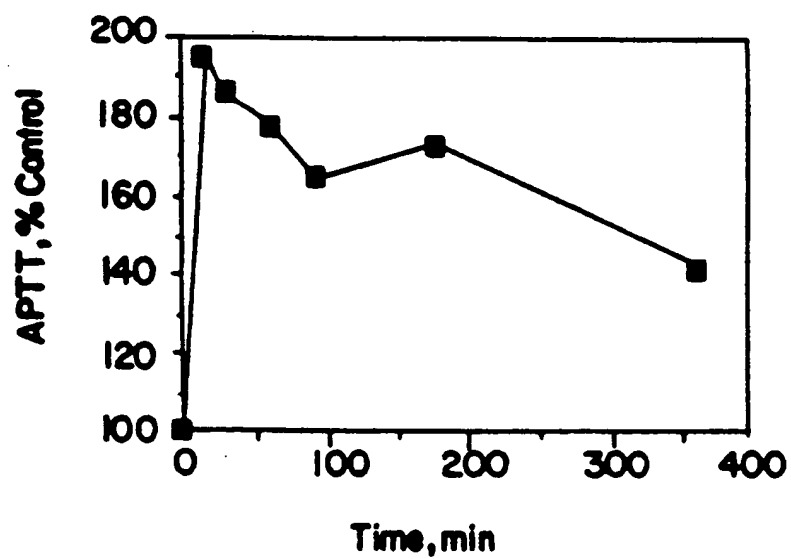
FIG. 7

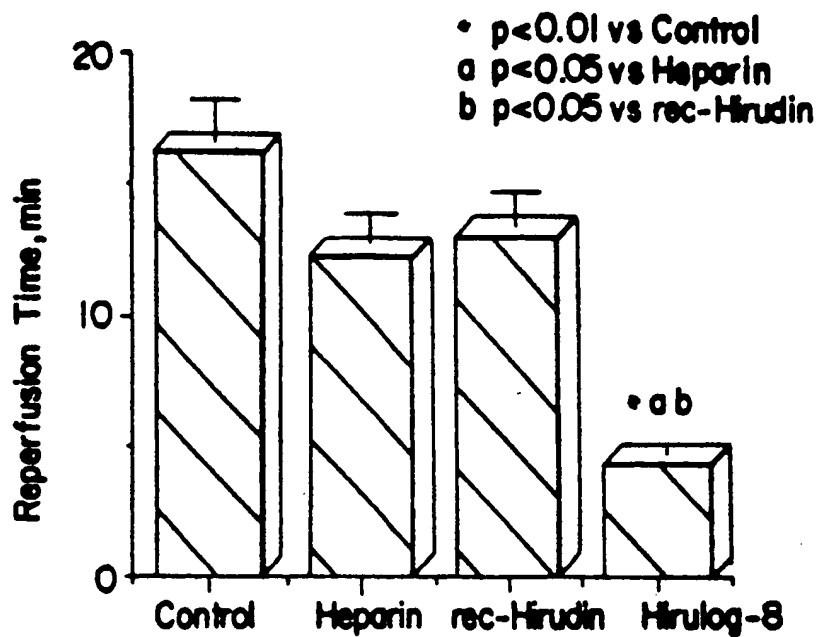
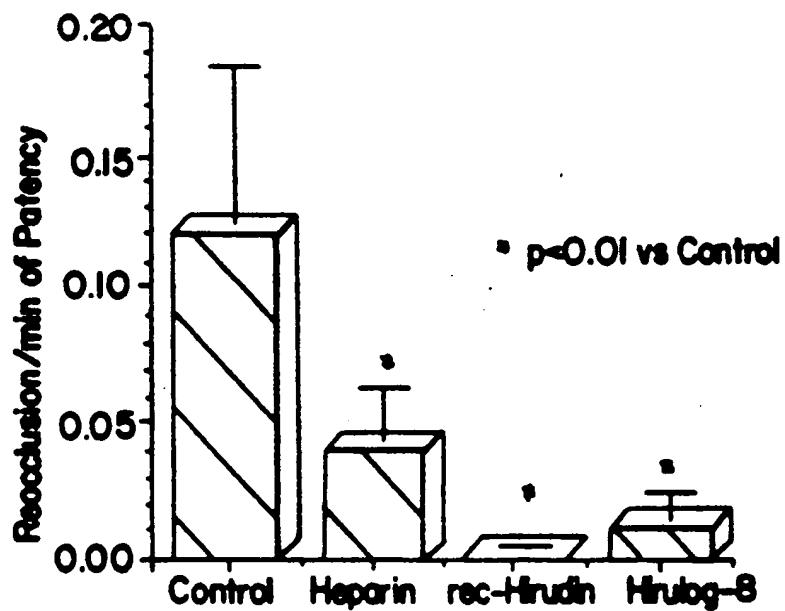


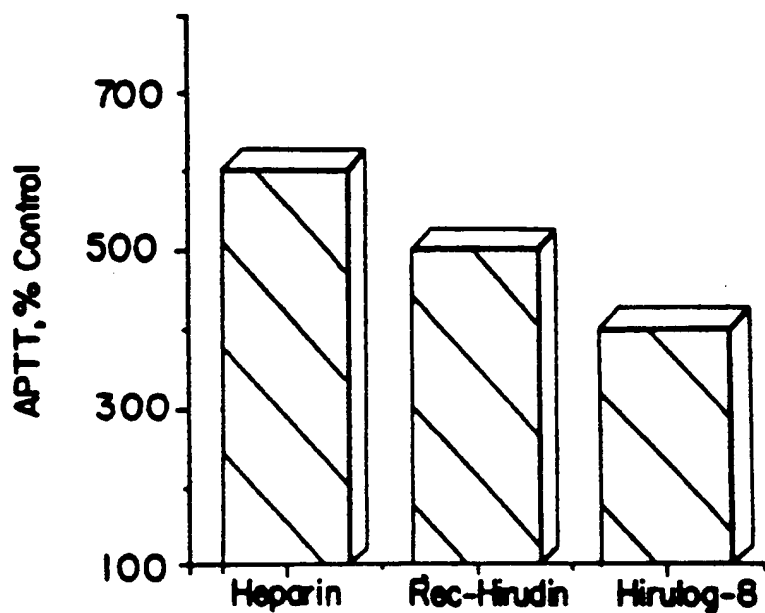
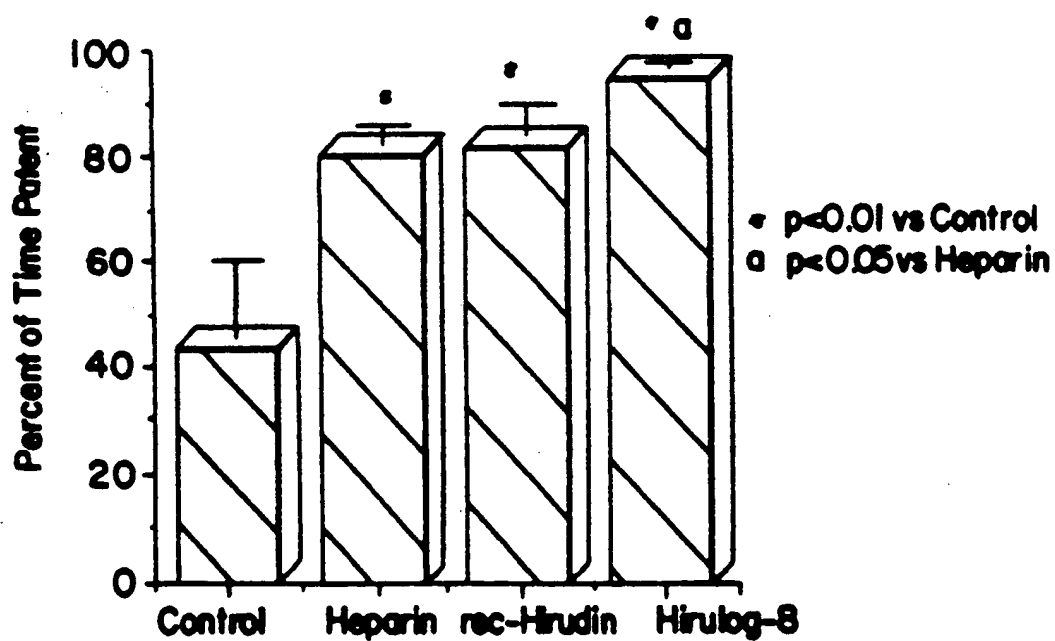
**FIG. 8****FIG. 9**

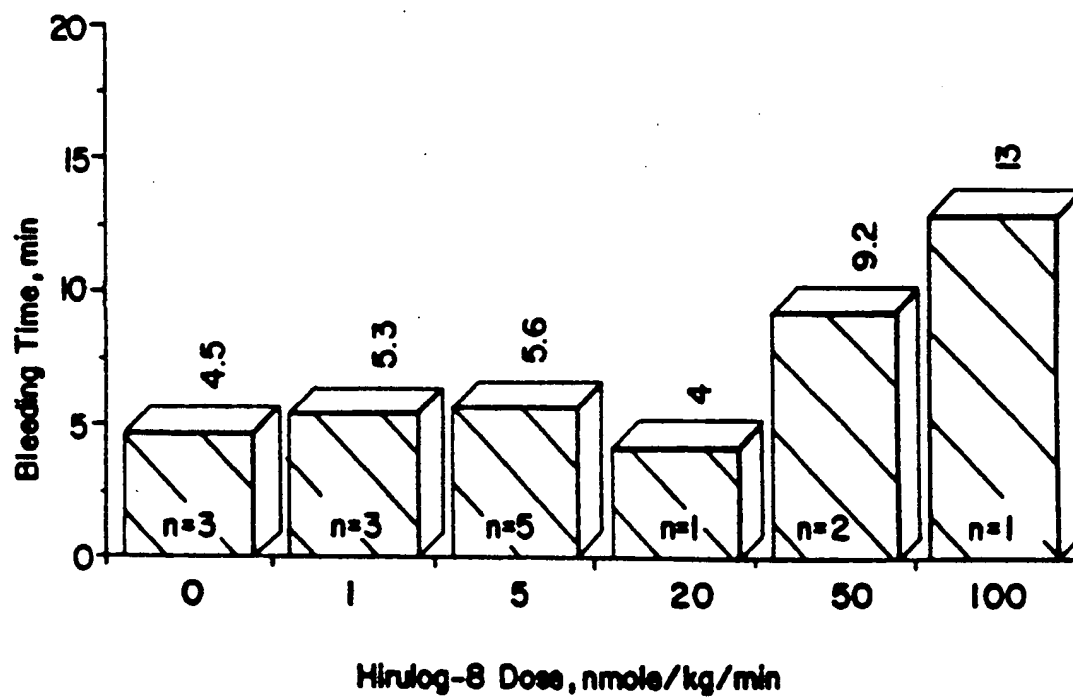
**FIG. 10****FIG. 11**

**FIG. 12****FIG. 13**

**FIG. 14****FIG. 15**

**FIG. 16****FIG. 17**

**FIG. 18****FIG. 19**

*FIG. 20*

## INHIBITORS OF THROMBIN

## CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation-in-part of copending United States patent application Ser. No. 395,482 filed August 18, 1989, now abandoned.

## TECHNICAL FIELD OF INVENTION

This invention relates to novel biologically active molecules which bind to and inhibit thrombin. Specifically, these molecules are characterized by a thrombin anion-binding exosite associating moiety (ABEAM); a linker portion of at least 18 Å in length; and a thrombin catalytic site-directed moiety (CSDM). This invention also relates to compositions, combinations and methods which employ these molecules for therapeutic, prophylactic and diagnostic purposes.

## BACKGROUND ART

Acute vascular diseases, such as myocardial infarction, stroke, pulmonary embolism, deep vein thrombosis, peripheral arterial occlusion, and other blood system thromboses constitute major health risks. Such diseases are caused by either partial or total occlusion of a blood vessel by a blood clot, which contains fibrin and platelets.

Current methods for the treatment and prophylaxis of thrombotic diseases involve therapeutics which act in one of two different ways. The first type of therapeutic inhibits thrombin activity or thrombin formation, thus preventing clot formation. These drugs also inhibit platelet activation and aggregation. The second category of therapeutic accelerates thrombolysis and dissolves the blood clot, thereby removing it from the blood vessel and unblocking the flow of blood [J. P. Cazenave et al., *Agents Action*, 15, Suppl., pp. 24-49 (1984)].

Heparin, a compound of the former class, has been widely used to treat conditions, such as venous thromboembolism, in which thrombin activity is responsible for the development or expansion of a thrombus. Although effective, heparin produces many undesirable side effects, including hemorrhaging and thrombocytopenia. This has led to a search for a more specific and less toxic anticoagulant.

Hirudin is a naturally occurring polypeptide which is produced by the blood sucking leech *Hirudo medicinalis*. This compound, which is synthesized in the salivary gland of the leech, is the most potent natural inhibitor of coagulation known. Hirudin prevents blood from coagulating by binding tightly to thrombin ( $K_d = 2 \times 10^{-11} M$ ) in a 1:1 stoichiometric complex [S. R. Stone and J. Hofsteenge, "Kinetics of the Inhibition of Thrombin by Hirudin", *Biochemistry*, 25, pp. 4622-28 (1986)]. This, in turn, inhibits thrombin from catalyzing the conversion of fibrinogen to fibrin (clot), as well as inhibiting all other thrombin-mediated processes [J. W. Fenton, II, "Regulation of Thrombin Generation and Functions", *Semin. Thromb. Hemost.*, 14, pp. 234-40 (1988)].

The actual binding between hirudin and thrombin is a two-step process. Initially, hirudin binds to a "low" affinity site on the thrombin molecule ( $K_d = 1 \times 10^{-8} M$ ) which is separate from the catalytic site. This binding involves interaction of structure from the C-terminus of hirudin with an "anion-binding exosite" (ABE) in

thrombin [J. W. Fenton, II et al., "Thrombin Anion Binding Exosite Interactions with Heparin and Various Polyanions", *Ann. New York Acad. Sci.*, 556, pp. 158-65 (1989)]. Following the low affinity binding, the hirudin-thrombin complex undergoes a conformational change and hirudin then binds to the "high" affinity site on thrombin [S. Kono et al., "Analysis of Secondary Structure of Hirudin and the Conformational Change Upon Interaction with Thrombin", *Arch. Biochem. Biophys.*, 267, pp. 158-66 (1988)]. This latter site corresponds to the active site of thrombin.

The isolation, purification and chemical composition of hirudin are known in the art [P. Walsmann and F. Markwardt, "Biochemical and Pharmacological Aspects of the Thrombin Inhibitor Hirudin", *Pharmazie*, 36, pp. 653-60 (1981)]. More recently, the complete amino acid sequence of the polypeptide has been elucidated [J. Dodt et al., "The Complete Covalent Structure of Hirudin: Localization of the Disulfide Bonds", *Biol. Chem. Hoppe-Seyler*, 366, pp. 379-85 (1985); S. J. T. Mao et al., "Rapid Purification and Revised Amino Terminal Sequence of Hirudin: A Specific Thrombin Inhibitor of the Blood-Sucking Leech", *Anal. Biochem.*, 161, pp. 514-18 (1987); and R. P. Harvey et al., "Cloning and Expression of a cDNA Coding for the Anti-Coagulant Hirudin from the Bloodsucking Leech, *Hirudo medicinalis*", *Proc. Natl. Acad. Sci. USA*, 83, pp. 1084-88 (1986)].

At least ten different isomorphous forms of hirudin have been sequenced and have been shown to differ slightly in amino acid sequence [D. Tripiet, "Hirudin: A Family of Iso-Proteins. Isolation and Sequence Determination of New Hirudins", *Folia Haematol.*, 115, pp. 30-35 (1988)]. All forms of hirudin comprise a single polypeptide chain protein containing 65 or 66 amino acids in which the amino terminus primarily comprises hydrophobic amino acids and the carboxy terminus typically comprises polar amino acids. More specifically, all forms of hirudin are characterized by an N-terminal domain (residues 1-39) stabilized by three disulfide bridges in a 1-2, 3-5, and 4-6 half-cysteiny pattern and a highly acidic C-terminal segment (residues 40-65). In addition, the C-terminal segment of hirudin is characterized by the presence of a tyrosine residue at amino acid position 63 which is sulfated

In animal studies, hirudin, purified from leeches, has demonstrated efficacy in preventing venous thrombosis, vascular shunt occlusion and thrombin-induced disseminated intravascular coagulation. In addition, hirudin exhibits low toxicity, little antigenicity and a very short clearance time from circulation [F. Markwardt et al., "Pharmacological Studies on the Antithrombotic Action of Hirudin in Experimental Animals", *Thromb. Haemost.*, 47, pp. 226-29 (1982)].

In an effort to create a greater supply of hirudin, attempts have been made to produce the polypeptide through recombinant DNA techniques. The presence of an O-sulfated tyrosine residue on native hirudin and the inability of microorganisms to perform a similar protein modification made the prospect of recombinant production of biologically active hirudin highly speculative. The observation that desulfatohirudins were almost as active as their sulfated counterparts [U.S. Pat. No. 4,654,302], however, led the way to the cloning and expression of hirudin in *E. coli* [European patent applications 158,564, 168,342 and 171,024] and yeast [European patent application 200,655]. Despite these advances,

hirudin is still moderately expensive to produce and it is not widely available commercially.

Recently, efforts have been made to identify peptide fragments of native hirudin which are also effective in prolonging clotting times. An unsulfated 21 amino acid C-terminal fragment of hirudin, N-acetylhirudin<sub>45-65</sub>, inhibits clot formation in vitro. In addition, several other smaller, unsulfated peptides corresponding to the C-terminal 11 or 12 amino acids of hirudin (residues 55-65 and 54-65) have also demonstrated efficacy in inhibiting clot formation in vitro [J. L. Krstenansky et al., "Antithrombin Properties of C-terminus of Hirudin Using Synthetic Unsulfated N-acetyl-hirudin<sub>45-65</sub>", *FEBS Lett.*, 211, pp. 10-16 (1987)]. Such peptide fragments, however, may not be fully satisfactory to dissolve blood clots in on-going therapy regimens because of low activity. For example, N-acetyl-hirudin<sub>45-65</sub> has a specific activity four orders of magnitude lower than native hirudin.

In addition to catalyzing the formation of a fibrin clot, thrombin has several other bioregulatory roles [J. W. Fenton, II, "Thrombin Bioregulatory Functions", *Adv. Clin. Enzymol.*, 6, pp. 186-93 (1988)]. For example, thrombin directly activates platelet aggregation and release reactions. This means that thrombin plays a central role in acute platelet-dependent thrombosis [S. R. Hanson and L. A. Harker, "Interruption of Acute Platelet-Dependent Thrombosis by the Synthetic Antithrombin D-Phenylalanyl-L-Prolyl-L-Arginyl-chloromethylketone", *Proc. Natl. Acad. Sci. USA*, 85, pp. 3184-88 (1988)]. Thrombin can also directly activate an inflammatory response by stimulating the synthesis of platelet activating factor (PAF) in endothelial cells [S. Prescott et al., "Human Endothelial Cells in Culture Produce Platelet-Activating Factor (1-alkyl-2-acetyl-sn-glycero-3-phosphocholine) When Stimulated With Thrombin", *Proc. Natl. Acad. Sci. USA*, 81, pp. 3534-38 (1984)]. PAF is exposed on the surface of endothelial cells and serves as a ligand for neutrophil adhesion and subsequent degranulation [G. M. Vercolletti et al., "Platelet-Activating Factor Primes Neutrophil Responses to Agonists: Role in Promoting Neutrophil-Mediated Endothelial Damage", *Blood*, 71, pp. 1100-07 (1988)]. Alternatively, thrombin may promote inflammation by increasing vascular permeability which can lead to edema [P. J. Del Vecchio et al., "Endothelial Monolayer Permeability To Macromolecules", *Fed. Proc.*, 46, pp. 2511-15 (1987)]. Reagents which block the active site of thrombin, such as hirudin, interrupt the activation of platelets and endothelial cells [C. L. Knupp, "Effect of Thrombin Inhibitors on Thrombin-Induced Release and Aggregation", *Thrombosis Res.*, 49, pp. 23-36 (1988)].

Thrombin has also been implicated in promoting cancer, based on the ability of its native digestion product, fibrin, to serve as a substrate for tumor growth [A. Falanga et al., "Isolation and Characterization of Cancer Procoagulant: A Cysteine Proteinase from Malignant Tissue", *Biochemistry*, 24, pp. 5558-67 (1985); S. G. Gordon et al., "Cysteine Proteinase Procoagulant From Amnion-Chorion", *Blood*, 66, pp. 1261-65 (1985); and A. Falanga et al., "A New Procoagulant in Acute Leukemia", *Blood*, 71, pp. 870-75 (1988)]. And thrombin has been implicated in neurodegenerative diseases based on its ability to cause neurite retraction [D. Gurwitz et al., "Thrombin Modulates and Reverses Neuroblastoma Neurite Outgrowth", *Proc. Natl. Acad. Sci. USA*, 85, pp. 3440-44 (1988)]. Therefore, the ability to regulate the in

vivo activity of thrombin has many important clinical implications.

Despite the developments to date, the need still exists for a molecule that effectively inhibits thrombin function in clot formation, platelet activation and various other thrombin-mediated processes and which can be produced inexpensively and in commercially feasible quantities.

## SUMMARY OF THE INVENTION

The present invention solves the problems enumerated above by providing molecules which mimic the action of hirudin by binding to both the low affinity anion-binding exosite (ABE) and the catalytic site of  $\alpha$ -thrombin. These molecules are more potent than hirudin and, therefore, they may be administered to patients in dosages which are comparatively lower than those required in hirudin-based therapy regimens. The molecules of this invention may be utilized in compositions and methods for inhibiting any thrombin-mediated or thrombin-associated function or process. Pharmaceutical compositions containing these molecules, as well as methods of treatment or prophylaxis of vascular diseases, inflammatory responses, carcinomas, and neurodegenerative diseases using them are also part of the present invention. These molecules may also be employed in compositions and methods for ex vivo imaging, for storing and treating extracorporeal blood and for coating invasive devices. And the molecules of this invention may be administered to a patient in combination with a fibrinolytic agent to increase the efficacy of a given dose of that agent or to lower the dose of that agent required for a given effect, such as dissolving a blood clot.

Due to their high potency and the fact that they may be prepared by chemical synthesis techniques, the molecules of the present invention may be prepared inexpensively, in commercially feasible amounts. Moreover, because the molecules of the present invention are significantly smaller than hirudin, they are less likely to stimulate an undesirable immune response in patients treated with them. Accordingly, the use of these thrombin inhibitors is not limited to the treatment of acute disease. These molecules may also be utilized in therapy for chronic thromboembolic diseases, such as atherosclerosis and restenosis following angioplasty. The molecules of the present invention may also be utilized in a variety of other applications in place of natural or recombinant hirudin.

As will be appreciated from the disclosure to follow, the molecules, compositions and methods of this invention are useful in the treatment and prevention of various diseases attributed to the undesirable effects of thrombin, as well as for diagnostic purposes.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 depicts an autoradiograph of an SDS-polyacrylamide gel demonstrating the binding of DNFB-[<sup>35</sup>S]-Sulfo-Tyr<sub>63</sub>hirudin<sub>54-64</sub> to human  $\alpha$ -thrombin in the presence or absence of Sulfo-Tyr<sub>63</sub>-N-acetylhirudin<sub>53-64</sub>.

FIG. 2 depicts a three-dimensional model of human  $\alpha$ -thrombin.

FIG. 3, panel A, depicts the effects of Hirulog-8 and Sulfo-Tyr<sub>63</sub>hirudin<sub>53-64</sub> on the cleavage of Spectrozyme TH by human  $\alpha$ -thrombin.

FIG. 3, panel B, depicts a Lineweaver-Burke plot of the cleavage of Spectrozyme TH by human  $\alpha$ -thrombin

in the presence or absence of either Hirulog-8 or Sulfo-Tyr<sub>63</sub>hirudin<sub>53-64</sub>.

FIG. 4 depicts the effect of varying concentrations of Hirulog-8, hirudin, or Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub> on the activated partial thromboplastin time of normal human serum.

FIG. 5, panel A, depicts the time course for cleavage of varying concentrations of Hirulog-8 by human  $\alpha$ -thrombin.

FIG. 5, panel B, depicts the relationship between Hirulog-8 concentration and the duration of inhibition of Spectrozyme TH hydrolysis by human  $\alpha$ -thrombin.

FIG. 6 depicts the effect of linker length of the thrombin inhibitors of this invention on the inhibition of thrombin-catalyzed hydrolysis of Spectrozyme TH.

FIG. 7 depicts the inhibitory effects of varying concentrations of Hirulog-8 or Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub> on the modification of thrombin by <sup>14</sup>C-DFP.

FIG. 8 depicts the in vivo effect of varying doses of Hirulog-8 on APTT in baboons.

FIG. 9 depicts the comparative inhibitory effects of Hirulog-8 or heparin on the hydrolysis of fibrinogen by soluble or clot-bound thrombin.

FIG. 10 depicts the in vivo effects of varying doses of Hirulog-8 on platelet deposition on an endarterectomized segment of baboon aorta.

FIG. 11 depicts the in vivo effects of varying doses of Hirulog-8 on platelet deposition on a segment of collagen-coated tubing inserted into a baboon.

FIG. 12 depicts the comparative in vivo effects of heparin, hirudin and Hirulog-8 on platelet deposition on a segment of collagen-coated tubing inserted into a baboon AV shunt.

FIG. 13 depicts the in vivo effects of varying doses of Hirulog-8 on fibrin deposition on a segment of collagen-coated tubing inserted into a baboon AV shunt.

FIG. 14 depicts the change in APTT over time following intravenous bolus injection of baboons with Hirulog-8.

FIG. 15 depicts the change in APTT over time following subcutaneous injection of baboons with Hirulog-8.

FIG. 16 depicts the comparative in vivo effects of tissue plasminogen activator together with either saline, heparin, hirudin or Hirulog-8 on reperfusion time in a rat model.

FIG. 17 depicts the comparative in vivo effects of tissue plasminogen activator together with either saline, heparin, hirudin or Hirulog-8 on reocclusion time in a rat model.

FIG. 18 depicts the comparative in vivo effects of tissue plasminogen activator together with either saline, heparin, hirudin or Hirulog-8 on APTT in a rat model.

FIG. 19 depicts the comparative in vivo effects of tissue plasminogen activator together with either saline, heparin, hirudin or Hirulog-8 on vessel patency in a rat model.

FIG. 20 depicts the effect of varying doses of Hirulog-8 on bleeding times in a baboon model.

#### DETAILED DESCRIPTION OF THE INVENTION

The following common abbreviations of the amino acids are used throughout the specification and in the claims:

|  |   |
|--|---|
| Orn - ornithine                            | Gly - glycine                               |
| Ala - alanine                              | Val - valine                                |
| Leu - leucine                              | Ile - isoleucine                            |
| Pro - proline                              | Phe - phenylalanine                         |
| Trp - tryptophan                           | Met - methionine                            |
| Ser - serine                               | Thr - threonine                             |
| Cys - cysteine                             | Tyr - tyrosine                              |
| Asn - asparagine                           | Gln - glutamine                             |
| Asp - aspartic acid                        | Glu - glutamic acid                         |
| Lys - lysine                               | Arg - arginine                              |
| His - histidine                            | Nle - norleucine                            |
| Hyp - hydroxyproline                       | Pgl - phenylglycine                         |
| Ac - acetyl                                | Suc - succinyl                              |
| BOC - tertButoxycarbonyl                   | Tos - paraToluenesulfonyl                   |
| Cbz - Carbobenzoyloxy                      | D-Ala - D-alanine                           |
| 3,4,-dehydroPro - 3,4,-dehydroproline      | Sar - sarcosine                             |
| Tyr(OSO <sub>3</sub> H) - tyrosine sulfate | (N-methylglycine)                           |
| 3-, 5-diiodoTyr - 3-,5-diiodotyrosine      | Tyr(SO <sub>3</sub> H) - tyrosine sulfonate |

The term "any amino acid" as used herein includes the L-isomers of the naturally occurring amino acids, as well as other "non-protein"  $\alpha$ -amino acids commonly utilized by those in the peptide chemistry arts when preparing synthetic analogs of naturally occurring amino peptides. The naturally occurring amino acids are glycine, alanine, valine, leucine, isoleucine, serine, methionine, threonine, phenylalanine, tyrosine, tryptophan, cysteine, proline, histidine, aspartic acid, asparagine, glutamic acid, glutamine,  $\gamma$ -carboxyglutamic acid, arginine, ornithine and lysine. Examples of "non-protein"  $\alpha$ -amino acids include norleucine, norvaline, alloisoleucine, homoarginine, thiaproline, dehydroproline, hydroxyproline (Hyp), homoserine, cyclohexylglycine (Chg),  $\alpha$ -amino-n-butyric acid (Aba), cyclohexylalanine (Cha), aminophenylbutyric acid (Pba), phenylalanines substituted at the ortho, meta, or para position of the phenyl moiety with one or two of the following: a (C<sub>1</sub>-C<sub>4</sub>) alkyl, a (C<sub>1</sub>-C<sub>4</sub>) alkoxy, halogen or nitro groups or substituted with a methylenedioxy group;  $\beta$ -2- and 3-thienylal-alanine,  $\beta$ -2- and 3-furylalanine,  $\beta$ -2-, 3- and 4-pyridylalanine, B-(benzothienyl-2- and 3-yl)alanine, B-(1- and 2-naphthyl)alanine, O-alkylated derivatives of serine, threonine or tyrosine, S-alkylated cysteine, S-alkylated homocysteine, O-sulfate, O-phosphate and O-carboxylate esters of tyrosine, 3- and 5-sulfonyl tyrosine, 3- and 5-carbonyl tyrosine, 3- and 5-phosphonyl tyrosine, 4-methylsulfonyl tyrosine, 4-methylphosphonyl tyrosine, 4-phenylacetic acid, 3,5-diiodotyrosine, 3- and 5-nitrotyrosine,  $\epsilon$ -alkyl lysine, delta-alkyl ornithine, and the D-isomers of the naturally occurring amino acids.

The term "patient" as used in this application refers to any mammal, especially humans.

The term "anionic amino acid" as used herein means a meta, para or ortho, mono- or di-substituted phenylalanine, cyclohexylalanine or tyrosine containing a carboxyl, phosphoryl or sulfonyl moiety, as well as S-alkylated cysteine, S-alkylated homocysteine,  $\gamma$ -carboxyglutamic acid,  $\epsilon$ -alkyl lysine, delta-alkyl ornithine, glutamic acid, and aspartic acid. Examples of anionic amino acids are phosphothreonine, phosphoserine, phosphotyrosine, 3-, 4-, or 5-sulfotyrosine, 3-methyl phosphonyltyrosine and 3-methyl sulfonyltyrosine.

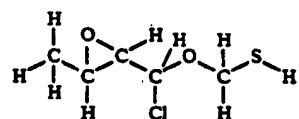
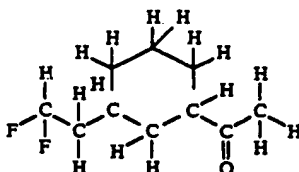
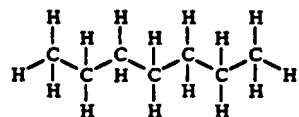
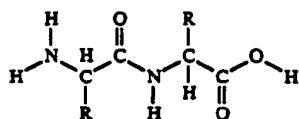
The terms "catalytic site", "active site" and "active site pocket" as used herein, each refer to any or all of the following sites in thrombin: the substrate binding or "S<sub>1</sub>" site; the hydrophobic binding or "oily" site; and

the site where cleavage of a substrate is actually carried out ("charge relay site").

The term "N<sup>orn</sup>" as used herein, refers to the side chain nitrogen of ornithine. The term "N<sup>arg</sup>" refers to any of the side chain nitrogens of arginine. The term "N<sup>α</sup>" refers to the α-amino group of an amino acid. And the term "psi" as used in the specification and claims, refers to the replacement of an amide bond with the atoms designated in brackets, according to the nomenclature described in J. Rudinger, In *Drug Design*, Vol. II, E. J. Ariens, ed., Academic Press, New York, p. 319 (1971).

The term "backbone chain" as used herein, refers to the portion of a chemical structure that defines the smallest number of consecutive bonds that can be traced from one end of that chemical structure to the other. The atomic components that make up a backbone chain may comprise any atoms that are capable of forming bonds with at least two other atoms.

For example, each of the following chemical structures is characterized by a backbone chain of 7 atoms (the atoms which comprise the backbone chain are indicated in boldface):



The term "calculated length" as used in this application, refers to a predicted measurement derived by summing up the bond lengths between the atoms which comprise the backbone chain. Bond lengths between any two given atoms are well known in the art [see, for example, *CRC Handbook of Chemistry and Physics*, 65th Edition, R. C. Weist, ed., CRC Press, Inc., Boca Raton, Fla., pp. F-166-70 (1984)].

The present invention relates to molecules which bind to and inhibit thrombin. These molecules are characterized by three domains: a catalytic site-directed moiety ("CSDM"), a linker region, and an anion binding exosite associating moiety ("ABEAM").

According to the present invention, the first domain, CSDM, binds to the catalytic site of thrombin located at or near about Ser-195 and inhibits or retards the amidolytic or estereolytic activity of thrombin. Preferably, CSDMs of the present invention are selected from one of three general groups: those which bind reversibly to thrombin and are slowly cleaved; those which bind reversibly to thrombin and cannot be cleaved; and those which bind irreversibly to thrombin. Reversible inhibi-

tors bind to the active site of thrombin through non-covalent interactions, such as ionic bonds, hydrophobic interactions or hydrogen bonding. Irreversible CSDMs form covalent bonds with thrombin.

According to a preferred embodiment, the CSDM which binds reversibly to thrombin and is slowly cleaved has the formula:



wherein X is hydrogen or is characterized by a backbone chain consisting of from 1 to 35 atoms; A<sub>1</sub> is Arg, Lys or Orn; A<sub>2</sub> is a non-amide bond; A<sub>3</sub> is characterized by a backbone chain consisting of from 1 to 9 atoms; and Y is a bond.

The non-amide bond component according to this embodiment may be formed by chemically modifying an amide bond. This may be achieved by methods well known in the art [M. Szelke et al., "Potent New Inhibitors of Human Renin", *Nature*, 299, pp. 555-57 (1982); D. H. Coy et al., "Facile Solid Phase Preparation of Proteins Containing the CH<sub>2</sub>-NH Peptide Bond Isostere and Application to the Synthesis of Somatostatin (SRIF) Octapeptide Analogues", *Peptides* 1986, D. Theodoropoulos, Ed., Walter DeGruyter & Co., Berlin, pp. 143-46 (1987)]. When a non-amide bond is formed in this manner, it is preferable that the chemical modification be performed prior to the addition of the dipeptide containing this bond to the other components of CSDM or to the rest of the thrombin inhibitor molecule. In this manner, the dipeptide A<sub>1</sub>-A<sub>2</sub>-A<sub>3</sub> is added en bloc, in a single synthesis step, to the rest of the molecule.

According to a more preferred embodiment, A<sub>1</sub> is Arg and A<sub>3</sub> is Pro, D-Pro or Sar. In this embodiment A<sub>2</sub> is a naturally occurring imide bond, which is slowly cleaved by thrombin. This avoids having the necessity of pre-forming the non-amide bond and allows A<sub>1</sub> and A<sub>3</sub> to be added to the rest of the molecule sequentially rather than en bloc.

As set forth above, CSDMs according to this invention may bind irreversibly to thrombin. Examples of irreversible CSDMs include, but are not limited to, general serine proteinase inhibitors, such as phenylmethylsulfonylfluoride (PMSF), diisopropylfluorophosphate (DFP), tosylprolylchloromethylketone (TPCK) and tosyllysylchloromethylketone (TLCK); heterocyclic protease inhibitors, such as isocoumarins; thrombin-specific inhibitors, such as D-Phe-Pro-Arg-CHCl<sub>2</sub> (PPACK); and transition state analogues, such as difluoroketomethylene.

According to another preferred embodiment of the present invention, non-cleavable, reversible CSDMs consist of the formula:



wherein C<sub>1</sub> is a derivative of Arg, Lys or Orn characterized by a reduced carboxylate moiety or a carboxylate moiety that is displaced from the α-carbon by a chemical structure characterized by a backbone chain of from 1 to 10 atoms; C<sub>2</sub> is a non-cleavable bond; and X, Y and A, are as defined previously. Examples of C<sub>1</sub> components are β-homoarginine; arginine containing a reduced carboxylate moiety, such as Arg[psiCH<sub>2</sub>NH]; β-homolysine and β-homoornithine.

Other non-cleavable, reversible CSDMs that may be employed in the thrombin inhibitors of this invention

are benzamidine, DAPA, NAPAP and argatroban (argipidine).

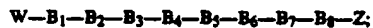
For those thrombin inhibitors of this invention which have CSDM regions characterized by an A<sub>2</sub> or C<sub>2</sub> bond, the term "P<sub>1</sub>—P<sub>1</sub>'" sequence as used herein, refers to the two chemical structures joined by said bond.

The X component of CSDM, which does not participate in actually binding to the catalytic site, can be of unlimited length and variable make-up. However, for practical purposes and reduced cost of synthesis, X is preferably characterized by a backbone chain consisting of from 1 to 35 atoms and does not exceed a calculated length of 36 Å. It is preferred that X be a peptide, most preferably, D-Phe-Pro. This most preferable embodiment allows the X component to fit into a groove in thrombin that is adjacent to the active site [S. Bajusz et al., "Inhibition of Thrombin and Trypsin by Tripeptide Aldehydes", *Int. J. Peptide Protein Res.*, 12, pp. 217-21 (1978); C. Kettner et al., "D-Phe-Pro-Arg-CH<sub>2</sub>Cl—A Selective Affinity Label for Thrombin", *Thromb. Res.*, 14, pp. 969-73 (1979)]. This allows the CSDM component and therefore the molecules of the present invention, to bind to thrombin with an advantageously high degree of affinity and optimal specificity.

According to the present invention, the second component of the thrombin inhibitors of this invention is a linker region. Because the role of this portion of the molecule is to provide a bridge between the CSDM and the ABEAM, it is the length of the linker, rather than its structure, that is of prime importance. The calculated length of the backbone chain which characterizes the linker must be at least about 18 Å—the distance between the catalytic site and the anion binding exosite of thrombin—and less than about 42 Å.

The backbone chain of the linker may comprise any atoms which are capable of bonding to at least two other atoms. Preferably, the backbone chain consists of any chemically feasible combination of atoms selected from oxygen, carbon, nitrogen and sulfur. Those of skill in the art are aware of what combination of the above backbone chain atoms falls within the required length based on known distances between various bonds [see, for example, R. T. Morrison and R. N. Boyd, *Organic Chemistry*, 3rd Edition, Allyn and Bacon, Inc., Boston, Mass. (1977)]. According to a preferred embodiment, the linker is a peptide which comprises the amino acid sequence Gly-Gly-Gly-Asn-Gly-Asp-Phe. Preferably, the amino acid bound to the ABEAM component is Phe.

The third domain of the thrombin inhibitors of this invention is the ABEAM which binds to the anion binding exosite of thrombin. Preferably the ABEAM has the formula:



wherein W is a bond; B<sub>1</sub> is an anionic amino acid; B<sub>2</sub> is any amino acid; B<sub>3</sub> is Ile, Val, Leu, Nle or Phe; B<sub>4</sub> is Pro, Hyp, 3,4-dehydroPro, thiazolidine-4-carboxylate, amino acid; B<sub>5</sub> is an anionic amino acid; B<sub>6</sub> is a lipophilic amino acid selected from the group consisting of Tyr, Trp, Phe, Leu, Nle, Ile, Val, Cha, Pro, or a dipeptide consisting of one of these lipophilic amino acids and any amino acid; B<sub>7</sub> is a bond or a peptide containing from one to five residues of any amino acid; and Z is a carboxy terminal residue selected from OH, C<sub>1</sub>—C<sub>6</sub> alkoxy, amino, mono- or di-(C<sub>1</sub>—C<sub>4</sub>) alkyl substituted amino or benzylamino.

Peptides which are homologous to the carboxy terminal portion of hirudin have been shown to bind to the anion binding exosite on thrombin [copending U.S. patent application Ser. No. 314,756 and J. M. Maragano et al., "Anticoagulant Activity of Synthetic Hirudin Peptides", *J. Biol. Chem.*, 264, pp. 8692-98 (1989); both of which are herein incorporated by reference].

According to a preferred embodiment of this invention, ABEAM is homologous to amino acids 56-64 of hirudin, i.e., B<sub>1</sub> is Glu; B<sub>2</sub> is Glu; B<sub>3</sub> is Ile; B<sub>4</sub> is Pro; B<sub>5</sub> is Glu; B<sub>6</sub> is Glu; B<sub>7</sub> is Tyr-Leu, Tyr(SO<sub>3</sub>H)-Leu or Tyr(OSO<sub>3</sub>H)-Leu, or (3,5-diiodoTyr)-Leu; B<sub>8</sub> is a bond; and Z is OH. It should be noted that native hirudin contains Tyr(OSO<sub>3</sub>H) at position 63. However, carboxy terminal hirudin peptides which contain Tyr(SO<sub>3</sub>H) have identical anticoagulant activity as those which contain the native Tyr(OSO<sub>3</sub>H) [see copending U.S. patent application Ser. No. 314,756].

Other ABEAM components within the scope of this invention may comprise those portions of any molecule known to bind to the anion binding site of thrombin.

These include amino acids 1675-1686 of Factor V, amino acids 272-285 of platelet glycoprotein Ib, amino acids 426-444 of thrombomodulin, amino acids 245-259 of prothrombin 2 and amino acids 30 to 44 of fibrinogen A $\alpha$  chain. In addition, the ABEAM component may be selected from any of the hirudin peptide analogues described by J. L. Krstenansky et al., "Development of MDL-28,050, A Small Stable Antithrombin Agent Based On A Functional Domain of the Leech Protein, Hirudin", *Thromb. Haemostas.*, 63, pp. 208-14 (1990).

The preferred thrombin inhibitors of this invention are termed Hirulogs, and are described in the subsequent examples. The most preferred Hirulogs are Hirulog-8, Hirulog-12, Hirulog-18a, Hirulog-18b and Hirulog-33. Hirulog-8, -12 and -33 are reversible thrombin inhibitors that are slowly cleaved. Hirulog-18a and -18b are reversible inhibitors which are not cleaved.

The thrombin inhibitors of the present invention may be synthesized by various techniques which are well known in the art. These include enzymatic cleavage of natural or recombinant hirudin, recombinant DNA techniques, solid-phase peptide synthesis, solution-phase peptide synthesis, organic chemical synthesis techniques, or a combination of these techniques. The choice of synthesis technique will, of course, depend upon the composition of the particular inhibitor. In a preferred embodiment of this invention, the thrombin inhibitor is entirely peptidic and is synthesized by solid-phase peptide synthesis techniques, solution-phase peptide synthesis techniques or a combination thereof which constitute the most cost-efficient procedures for producing commercial quantities of these molecules.

When "non-protein" amino acids are contained in the thrombin inhibitor molecule, they may be either added directly to the growing chain during peptide synthesis or prepared by chemical modification of the complete synthesized peptide, depending on the nature of the desired "non-protein" amino acid. Those of skill in the chemical synthesis art are well aware of which "non-protein" amino acids may be added directly and which must be synthesized by chemically modifying the complete peptide chain following peptide synthesis.

The synthesis of those thrombin inhibitors of this invention which contain both non-amino acid and peptidic portions is preferably achieved by a mixed heterologous/solid phase technique. This technique involves the solid-phase synthesis of all or most of the

peptide portion of the molecule, followed by the addition of the non-amino acid components which are synthesized by solution phase techniques. The non-amino acid may be coupled to the peptidic portion via solid-phase or solution-phase methods. Similarly, any remaining peptidic portions may also be added via solid-phase or solution phase methods.

The molecules of the present invention display potent anticoagulant activity. This activity may be assayed *in vitro* using any conventional technique. Preferably, an assay for anticoagulant activity involves direct determination of the thrombin-inhibitory activity of the molecule. Such techniques measure the inhibition of thrombin-catalyzed cleavage of colorimetric substrates or, more preferably, the increase in thrombin times or increase in activated partial thromboplastin times of human plasma. The latter assay measures factors in the "intrinsic" pathway of coagulation. Alternatively, the assay employed may use purified thrombin and fibrinogen to measure the inhibition of release of fibrinopeptides A or B by radioimmunoassay or ELISA.

The antiplatelet activity of the molecules of this invention may also be measured by any of a number of conventional platelet assays. Preferably, the assay will measure a change in the degree of aggregation of platelets or a change in the release of a platelet secretory component in the presence of thrombin. The former may be measured in an aggregometer. The latter may be measured using RIA or ELISA techniques specific for the secreted component.

The molecules of the present invention are useful in compositions, combinations and methods for the treatment and prophylaxis of various diseases attributed to thrombin-mediated and thrombin-associated functions and processes. These include myocardial infarction, stroke, pulmonary embolism, deep vein thrombosis, peripheral arterial occlusion, restenosis following arterial injury or invasive cardiologic procedures, acute or chronic atherosclerosis, edema and inflammation, various cell regulatory processes (e.g. secretion, shape changes, proliferation), cancer and metastasis, and neurodegenerative diseases.

The thrombin inhibitors of the present invention may be formulated using conventional methods to prepare pharmaceutically useful compositions, such as the addition of a pharmaceutically acceptable carrier. These compositions and the methods employing them may be used for treating or preventing thrombotic diseases in a patient.

According to an alternate embodiment of the present invention, the thrombin inhibitors may be employed in combinations, compositions, and methods for treating thrombotic disease, and for decreasing the dosage of a thrombolytic agent required to establish reperfusion or prevent reocclusion in a patient. Additionally, the thrombin inhibitors of this invention may be used in combinations, compositions, and methods for decreasing reperfusion time or increasing reocclusion time in a patient treated with a thrombolytic agent. These combinations and compositions comprise a pharmaceutically effective amount of a thrombin inhibitor of the present invention and a pharmaceutically effective amount of a thrombolytic agent.

In these combinations and compositions, the thrombin inhibitor and the thrombolytic agent work in a complementary fashion to dissolve blood clots, resulting in decreased reperfusion times and increased reocclusion times in patients treated with them. Specifically, the

thrombolytic agent dissolves the clot, while the thrombin inhibitor prevents newly exposed, clot-entrapped or clot-bound thrombin from regenerating the clot. The use of the thrombin inhibitor in the combinations and compositions of this invention advantageously allows the administration of a thrombolytic reagent in dosages previously considered too low to result in thrombolytic effects if given alone. This avoids some of the undesirable side effects associated with the use of thrombolytic agents, such as bleeding complications.

Thrombolytic agents which may be employed in the combinations and compositions of the present invention are those known in the art. Such agents include, but are not limited to, tissue plasminogen activator purified from natural sources, recombinant tissue plasminogen activator, streptokinase, urokinase, prourokinase, anisolated streptokinase plasminogen activator complex (AS-PAC), animal salivary gland plasminogen activators and known, biologically active derivatives of any of the above.

The term "combination" as used herein, includes a single dosage form containing at least one thrombin inhibitor of this invention and at least one thrombolytic agent; a multiple dosage form, wherein the thrombin inhibitor and the thrombolytic agent are administered separately, but concurrently; or a multiple dosage form wherein the two components are administered separately, but sequentially. In sequential administration, the thrombin inhibitor may be given to the patient during the time period ranging from about 5 hours prior to about 5 hours after administration of the thrombolytic agent. Preferably, the thrombin inhibitor is administered to the patient during the period ranging from 2 hours prior to 2 hours following administration of the thrombolytic agent.

Alternatively, the thrombin inhibitor and the thrombolytic agent may be in the form of a single, conjugated molecule. Conjugation of the two components may be achieved by standard cross-linking techniques well known in the art. The single molecule may also take the form of a recombinant fusion protein, if both the thrombin inhibitor and the thrombolytic agent are peptidic.

Various dosage forms may be employed to administer the compositions and combinations of this invention. These include, but are not limited to, parenteral administration, oral administration and topical application. The compositions and combinations of this invention may be administered to the patient in any pharmaceutically acceptable dosage form, including those which may be administered to a patient intravenously as bolus or by continued infusion, intramuscularly—including paravertebrally and periarticularly—subcutaneously, intracutaneously, intra-articularly, intrasynovially, intrathecally, intra-lesionally, periostally or by oral, nasal, or topical routes. Such compositions and combinations are preferably adapted for topical, nasal, oral and parenteral administration, but, most preferably, are formulated for parenteral administration.

Parenteral compositions are most preferably administered intravenously either in a bolus form or as a constant infusion. If the thrombin inhibitor is being used as an antiplatelet compound, constant infusion is preferred. If the thrombin inhibitor is being used as an anticoagulant, a subcutaneous or intravenous bolus injection is preferred. For parenteral administration, fluid unit dose forms are prepared which contain a thrombin inhibitor of the present invention and a sterile vehicle. The thrombin inhibitor may be either suspended or

dissolved, depending on the nature of the vehicle and the nature of the particular thrombin inhibitor. Parenteral compositions are normally prepared by dissolving the thrombin inhibitor in a vehicle, optionally together with other components, and filter sterilizing before filling into a suitable vial or ampule and sealing. Preferably, adjuvants such as a local anesthetic, preservatives and buffering agents are also dissolved in the vehicle. The composition may then be frozen and lyophilized to enhance stability.

Parenteral suspensions are prepared in substantially the same manner, except that the active component is suspended rather than dissolved in the vehicle. Sterilization of the compositions is preferably achieved by exposure to ethylene oxide before suspension in the sterile vehicle. Advantageously, a surfactant or wetting agent is included in the composition to facilitate uniform distribution of its components.

Tablets and capsules for oral administration may contain conventional excipients, such as binding agents, fillers, diluents, tableting agents, lubricants, disintegrants, and wetting agents. The tablet may be coated according to methods well known in the art. Suitable fillers which may be employed include cellulose, mannitol, lactose and other similar agents. Suitable disintegrants include, but are not limited to, starch, polyvinylpyrrolidone and starch derivatives, such as sodium starch glycolate. Suitable lubricants include, for example, magnesium stearate. Suitable wetting agents include sodium lauryl sulfate.

Oral liquid preparations may be in the form of aqueous or oily suspensions, solutions, emulsions, syrups or elixirs, or may be presented as a dry product for reconstitution with water or another suitable vehicle before use. Such liquid preparations may contain conventional additives. These include suspending agents; such as sorbitol, syrup, methyl cellulose, gelatin, hydroxyethylcellulose, carboxymethylcellulose, aluminum stearate gel or hydrogenated edible fats; emulsifying agents which include lecithin, sorbitan monooleate, polyethylene glycols, or acacia; non-aqueous vehicles, such as almond oil, fractionated coconut oil, and oily esters; and preservatives, such as methyl or propyl p-hydroxybenzoate or sorbic acid.

Compositions formulated for topical administration may, for example, be in aqueous jelly, oily suspension or emulsified ointment form.

The dosage and dose rate of the thrombin inhibitor will depend on a variety of factors, such as the size of the patient, the specific pharmaceutical composition used, the object of the treatment, i.e., therapy or prophylaxis, the nature of the thrombotic disease to be treated, and the judgment of the treating physician.

According to the present invention, a preferred pharmaceutically effective daily dose of the thrombin inhibitor of this invention is between about 1  $\mu\text{g/kg}$  body weight of the patient to be treated ("body weight") and about 5 mg/kg body weight. In combinations containing a thrombolytic agent, a pharmaceutically effective daily dose of the thrombolytic is between about 10% and 80% of the conventional dosage range. The "conventional dosage range" of a thrombolytic agent is the daily dosage used when that agent is employed in a monotherapy. [*Physician's Desk Reference* 1989, 43rd Edition, Edward R. Barnhart, publisher]. That conventional dosage range will, of course, vary depending on the thrombolytic agent employed. Examples of conventional dosage ranges are as follows: urokinase—500,000

to 6,250,000 units/patient; streptokinase—140,000 to 2,500,000 units/patient; tPA—0.5 to 5.0 mg/kg body weight; ASPAC—0.1 to 10 units/kg body weight.

Most preferably, the therapeutic and prophylactic compositions of the present invention comprise a dosage of between about 10  $\mu\text{g/kg}$  body weight and about 500  $\mu\text{g/kg}$  body weight of the thrombin inhibitor. Most preferred combinations comprise the same amount of the thrombin inhibitor and between about 10% and about 70% of the conventional dosage range of a thrombolytic agent. It should also be understood that a daily pharmaceutically effective dose of either the thrombin inhibitors of this invention or the thrombolytic agent present in combinations of the invention, may be less than or greater than the specific ranges cited above.

Once improvement in the patient's condition has occurred, a maintenance dose of a combination or composition of this invention is administered, if necessary. Subsequently, the dosage or the frequency of administration, or both, may be reduced, as a function of the symptoms, to a level at which the improved condition is retained. When the symptoms have been alleviated to the desired level, treatment should cease. Patients may, however, require intermittent treatment upon any recurrence of disease symptoms.

According to an alternate embodiment of this invention, thrombin inhibitors may be used in compositions and methods for coating the surfaces of invasive devices, resulting in a lower risk of clot formation or platelet activation in patients receiving such devices. Surfaces that may be coated with the compositions of this invention include, for example, prostheses, artificial valves, vascular grafts, stents and catheters. Methods and compositions for coating these devices are known to those of skill in the art. These include chemical cross-linking or physical adsorption of the thrombin inhibitor-containing compositions to the surfaces of the devices.

According to a further embodiment of the present invention, thrombin inhibitors may be used for ex vivo thrombus imaging in a patient. In this embodiment, the thrombin inhibitor is labelled with a radioisotope. The choice of radioisotope is based upon a number of well-known factors, for example, toxicity, biological half-life and detectability. Preferred radioisotopes include, but are not limited to,  $^{125}\text{I}$ ,  $^{123}\text{I}$  and  $^{111}\text{In}$ . Techniques for labelling the thrombin inhibitor are well known in the art. Most preferably, the radioisotope is  $^{123}\text{I}$  and the labelling is achieved using  $^{123}\text{I}$ -Bolton-Hunter Reagent. The labelled thrombin inhibitor is administered to a patient and allowed to bind to the thrombin contained in a clot. The clot is then observed by utilizing well-known detecting means, such as a camera capable of detecting radioactivity coupled to a computer imaging system. This technique also yields images of platelet-bound thrombin and meizothrombin.

This invention also relates to compositions containing the thrombin inhibitors of this invention and methods for using such compositions in the treatment of tumor metastases. The efficacy of the thrombin inhibitors of this invention for the treatment of tumor metastases is manifested by the inhibition of metastatic growth. This is based upon the presence of a procoagulant enzyme in certain cancer cells. This enzyme activates the conversion of Factor X to Factor Xa in the coagulation cascade, resulting in fibrin deposition which, in turn, serves as a substrate for tumor growth. By inhibiting fibrin deposition through the inhibition of thrombin, the molecules of the present invention serve as effective anti-

metastatic tumor agents. Examples of metastatic tumors which may be treated by the thrombin inhibitors of this invention include, but are not limited to, carcinoma of the brain, carcinoma of the liver, carcinoma of the lung, osteocarcinoma and neoplastic plasma cell carcinoma.

The invention also relates to methods and compositions employing the above-described thrombin inhibitors to inhibit thrombin-induced endothelial cell activation. This inhibition includes the repression of platelet activation factor (PAF) synthesis by endothelial cells. These compositions and methods have important applications in the treatment of diseases characterized by thrombin-induced inflammation and edema, which is thought to be mediated by PAF. Such diseases include, but are not limited to, adult respiratory distress syndrome, septic shock, septicemia and reperfusion damage.

Early stages of septic shock include discrete, acute inflammatory and coagulopathic responses. It has previously been shown that injection of baboons with a lethal dose of live *E. coli* leads to marked declines in neutrophil count, blood pressure and hematocrit. Changes in blood pressure and hematocrit are due in part to the generation of a disseminated intravascular coagulopathy (DIC) and have been shown to parallel consumption of fibrinogen [F. B. Taylor et al., "Protein C Prevents the Coagulopathic and Lethal Effects of *Escherichia coli* infusion in the Baboon", *J. Clin. Invest.*, 79, pp. 918-25 (1987)]. Neutropenia is due to the severe inflammatory response caused by septic shock which results in marked increases in tumor necrosis factor levels. The thrombin inhibitors of this invention may be utilized in compositions and methods for treating or preventing DIC in septicemia and other diseases.

This invention also relates to the use of the above-described thrombin inhibitors, or compositions comprising them, as anticoagulants for extracorporeal blood. As used herein, the term "extracorporeal blood" includes blood removed in line from a patient, subjected to extracorporeal treatment, and then returned to the patient in such processes as dialysis procedures, blood filtration, or blood bypass during surgery. The term also includes blood products which are stored extracorporeally for eventual administration to a patient and blood collected from a patient to be used for various assays. Such products include whole blood, plasma, or any blood fraction in which inhibition of coagulation is desired.

The amount or concentration of thrombin inhibitor in these types of compositions is based on the volume of blood to be treated or, more preferably, its thrombin content. Preferably, an effective amount of a thrombin inhibitor of this invention for preventing coagulation in extracorporeal blood is from about 1  $\mu\text{g}/60\text{ ml}$  of extracorporeal blood to about 5 mg/60 ml of extracorporeal blood.

The thrombin inhibitors of this invention may also be used to inhibit clot-bound thrombin, which is believed to contribute to clot accretion. This is particularly important because commonly used anti-thrombin agents, such as heparin and low molecular weight heparin, are ineffective against clot-bound thrombin.

Finally, the thrombin inhibitors of this invention may be employed in compositions and methods for treating neurodegenerative diseases. Thrombin is known to cause neurite retraction, a process suggestive of the rounding in shape changes of brain cells and implicated

in neurodegenerative diseases, such as Alzheimer's disease and Parkinson's disease.

In order that the invention described herein may be more fully understood, the following examples are set forth. It should be understood that these examples are for illustrative purposes only and are not to be construed as limiting this invention in any manner.

#### EXAMPLE 1

##### Synthesis Of Sulfo-Tyr<sub>63</sub>hirudin<sub>54-64</sub>

Sulfo-Tyr<sub>63</sub>hirudin<sub>54-64</sub> has the amino acid formula: H-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr(OSO<sub>3</sub>)-Leu-OH. We prepared this peptide by solid-phase peptide synthesis employing an Applied Biosystems 430 A Peptide Synthesizer (Applied Biosystems, Foster City, Calif.).

Specifically, we reacted 0.259 meq of BOC-O-Leu resin (1% DVB resin) sequentially with 2 mmoles of protected amino acids. Following 10 cycles of synthesis, we deprotected the peptide and uncoupled it from the DVB resin by treatment with anhydrous HF: p-cresol: ethyl methyl sulfate (10:1:1, v/v/v). The peptide was further purified on a Vydac C<sub>18</sub> HPLC reverse phase column (22 mm×25 cm) which had previously been equilibrated in 0.1% TFA in water. Prior to applying the peptide to the column, we dissolved it in 2.0 ml of 0.1% TFA in water. If necessary, an additional 1 ml of 6M guanidinium chloride was added to the sample to increase solubility. After we applied the sample, the column was developed with a linear gradient of increasing acetonitrile (0-80%) in 0.1% TFA over 45 minutes at a flow rate of 4.0 ml/min. The effluent stream was monitored at 229 nm and fractions were collected manually.

We sulfated the resulting purified peptide at the single tyrosine residue using standard methodology [T. Nakahara et al., "Preparation of Tyrosine-O-[<sup>35</sup>S]Sulfated Cholecystokinin Octapeptide From A Non-Sulfated Precursor Peptide", *Anal. Biochem.*, 154, pp. 194-99 (1986)]. Sulfo-Tyr<sub>63</sub>hirudin<sub>54-64</sub> was then purified away from other peptides and reaction components by reverse-phase HPLC employing a Vydac C<sub>18</sub> column (4.6×25 cm) and an Applied Biosystems liquid chromatographic system. The column was equilibrated in a 0.1% TFA/water solvent and developed with a linear gradient of increasing acetonitrile concentration from 0 to 35% over 90 minutes at a flow rate of 0.8 ml/min with a 0.085% TFA-containing solvent. Fractions were assayed for absorbance at 214 nm.

#### EXAMPLE 2

##### Crosslinking Of Human Thrombin With Sulfo-Tyr<sub>63</sub>-Dinitrofluorobenzyl-hirudin<sub>54-64</sub>

We prepared Sulfo-Tyr<sub>63</sub>-dinitrofluorobenzyl-hirudin<sub>54-64</sub> (Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>54-64</sub>) by reacting Sulfo-Tyr<sub>63</sub>hirudin<sub>54-64</sub> (2.0 mg; prepared as in Example 1) with a stoichiometric quantity of difluorodinitrobenzene (Pierce Chemical Co., Rockford, Ill.) in dimethylformamide (DMF) for 18 hours at room temperature. We then subjected the sample to analytical HPLC separation employing an Applied Biosystems 150 A Liquid Chromatographic System and a Brownlee RP-300 C<sub>8</sub> column (0.46×10 cm) to determine the extent of derivatization. The column was equilibrated in 0.1% TFA in water (solvent A) and developed with a 0-50% linear gradient of 0.085% TFA/70% acetonitrile (solvent B) over 45 min and then a 50-100% linear

gradient of solvent B over 15 min. We used a constant flow rate of 1.0 ml/minute.

The effluent stream was monitored at 214 nm and 310 nm for absorbance. Peptide derivatized with the di-fluorodinitrobenzene reagent absorbs at 310 nm. We found that the above-described reaction produced Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> at 15-30% yield. Following synthesis, Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> was stored in the same dimethylformamide solvent at -20° C. for up to 1 month.

We reacted a 10-fold molar excess of Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> with human  $\alpha$ -thrombin (12.5 mg) for 18 hr at room temperature in a phosphate-buffered saline. We determined the extent of cross-linking by analyzing the reaction mixture on an SDS-polyacrylamide gel. SDS-PAGE showed a decrease in the relative mobility of the  $\alpha$ -thrombin band reflective of an increase in molecular weight of 1000-2000 daltons (Da). This shift is consistent with cross-linking of thrombin with Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> at a single site.

We confirmed that formation of a covalent complex between Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> and human thrombin is specific by using [<sup>35</sup>S]-Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub>. [<sup>35</sup>S]-Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> was prepared essentially as described above using H<sub>2</sub>[<sup>35</sup>S]O<sub>4</sub> instead of H<sub>2</sub>SO<sub>4</sub> in the Nakahara sulfation procedure [see also, copending U.S. patent application Ser. Nos. 164,178, 251,150, 280,618, and 314,756, and J. M. Maraganore et al., "Anticoagulant Activity of Synthetic Hirudin Peptides", *J. Biol. Chem.*, 264, pp. 8692-98 (1989) all of which are herein incorporated by reference].

We reacted [<sup>35</sup>S]-Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> with human  $\alpha$ -thrombin, either in the presence or absence of a 5- or 20-fold molar excess (over the concentration of thrombin) of Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>3-64</sub> (prepared as in Example 1 with the addition of N-acetyl asparagine as a final step in peptide synthesis). Following incubation at room temperature for 18 hrs, we subjected the mixture to SDS-PAGE and autoradiography. The results (FIG. 1) showed that [<sup>35</sup>S]-labeled peptide was incorporated into the band which represents thrombin and that the presence of cold, unlabeled hirudin peptide attenuated the magnitude of covalent complex formation to <10%. Thus, reaction of Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> with thrombin results in the 1:1 stoichiometric binding of the hirudin peptide at a specific binding site.

In order to identify the site on thrombin where Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> binds, thrombin/Sulfo-Tyr<sub>63</sub>-dinitrobenzyl(DNB)-hirudin<sub>53-64</sub> complex (1.0 mg) was applied to a Sephadex G-50 column (1.5×45 cm) which was equilibrated and developed with 7M urea, 20 mM Tris, pH 7.5. This chromatography removed any unreacted Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub>. A peak containing thrombin/Sulfo-Tyr<sub>63</sub>-DNB-hirudin<sub>53-64</sub> was isolated in the void volume fractions, pooled and reduced by the addition of 10  $\mu$ l of  $\beta$ -mercaptoethanol.

Following reduction, we S-carboxymethylated the complex using iodoacetic acid as previously described [J. M. Maraganore et al., "A New Class of Phospholipases A<sub>2</sub> with Lysine in Place of Aspartate-49", *J. Biol. Chem.* 259, pp. 13839-43 (1984)]. The reduced, S-alkylated protein was then dialyzed extensively against 3% acetic acid at room temperature. Following dialysis, we digested the complex with pepsin (2% w/v) for 4 hr at 37° C. Peptic fragments of reduced, S-carboxyme-

thylated thrombin/Sulfo-Tyr<sub>63</sub>-DNB-hirudin<sub>53-64</sub> were purified by reverse-phase HPLC using an Aquapore Rp-300 C<sub>1</sub> column (0.46×10 cm). The column was equilibrated in 0.1% TFA in water and developed with a gradient of increasing 0.085% TFA/70% acetonitrile (0-60%) over 80 minutes at a flow rate of 1.0 ml/min. The effluent stream was monitored for absorbance at both 214 and 310 nm. Fractions of 10 ml were collected automatically. HPLC separation of peptic fragments allowed resolution of a single major peak of both 214 and 310 nm-absorbing material. Because of its far UV absorbance, this fragment contained the bound Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub>.

We then subjected the fragment to automated Edman degradation with an Applied Biosystems 470A gas-phase sequencer equipped with a 900A data system. Phenylthiohydantoin (PTH) amino acids were analyzed on-line using an Applied Biosystems 120A PTH analyzer and a PTH-C<sub>18</sub> column (2.1×220 mm). Shown below is a table of repetitive yields from the sequence analysis:

| Cycle | Amino Acid | pmoles |
|-------|------------|--------|
| 1     | Lys        | 858.5  |
| 2     | Glu        | 629.2  |
| 3     | Thr        | 357.6  |
| 4     | Trp        | 276.3  |
| 5     | Thr        | 289.0  |
| 6     | Ala        | 474.4  |
| 7     | Asn        | 369.0  |
| 8     | Val        | 490.7  |
| 9     | Gly        | 296.1  |
| 10    | (x)        | (—)    |
| 11    | Gly        | 267.2  |
| 12    | Gln        | 208.8  |
| 13    | Pro        | 103.5  |
| 14    | Ser        | 21.6   |
| 15    | Val        | 23.3   |

The peptide sequence was found to correspond to residues 144-154 of human  $\alpha$ -thrombin [J. W. Fenton, II., "Thrombin Active Site Regions" *Semin. Thromb. Hemostasis*, 12, pp. 200-08 (1986)]. Peptic cleavages occurred at a Leu-Lys and Val-Leu bond, consistent with the specificity of this enzyme.

In the course of sequence analysis, the amino acid corresponding to Lys-149 (cycle 10) could not be identified or quantitated. This probably resulted from derivatization of the  $\epsilon$ -NH<sub>2</sub> group of this amino acid with the dinitrofluorobenzyl moiety of Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub>. Thus, Lys-149 is the major site where Sulfo-Tyr<sub>63</sub>-DNFB-hirudin<sub>53-64</sub> reacts with  $\alpha$ -thrombin.

### EXAMPLE 3

Design Of A Thrombin Inhibitor Capable Of Blocking The Catalytic Site And Binding To The Anion Binding Exosite

Carboxy terminal hirudin peptides effectively block thrombin-catalyzed fibrinogen hydrolysis, but not chromogenic substrate hydrolysis [J. M. Maraganore et al., *J. Biol. Chem.*, 264, pp. 8692-98 (1989)]. In addition, hirudin peptides do not neutralize thrombin-catalyzed activation of Factors V and VIII [J. W. Fenton, II, et al., "Hirudin Inhibition by Thrombin", *Angio. Archiv. Biol.*, 18, p. 27 (1989)].

Hirudin peptides, such as Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub>, exhibit potent inhibitory effects toward thrombin-induced platelet activation in vitro [J. A. Jakubowski and J. M. Maraganore, "Inhibition of

Thrombin-Induced Platelet Activities By A Synthetic 12 Amino Acid Residue Sulfated Peptide (Hirugen)", *Blood*, p. 1213 (1989)]. Nevertheless, a thrombin inhibitor capable of blocking the active site may be required for inhibition of platelet thrombosis in vivo, if activation of Factors V and VIII are rate-limiting steps. This conclusion is warranted from results obtained with the irreversible thrombin inhibitor (D-Phe)-Pro-Arg-CH<sub>2</sub>Cl [S. R. Hanson and L. A. Harker, "Interruption of Acute Platelet-Dependent Thrombosis by the Synthetic Antithrombin D-Phenylalanyl-L-Prolyl-L-Arginyl Chloromethyl Ketone", *Proc. Natl. Acad. Sci. USA*, 85, pp. 3184-88 (1988)] and other reversible thrombin inhibitors [J. F. Eidt et al., "Thrombin is an Important Mediator of Platelet Aggregation in Stenosed Canine Coronary Arteries with Endothelial Injury", *J. Clin. Invest.*, 84, pp. 18-27 (1989)].

Using the above knowledge that the NH<sub>2</sub>-terminus of hirudin peptides is proximal to Lys-149, we employed a three-dimensional model of thrombin (FIG. 2) [B. Furie, et al., "Computer-Generated Models of Blood Coagulation Factor Xa, Factor IXa, and Thrombin Based Upon Structural Homology with Other Serine Proteases", *J. Biol. Chem.*, 257, pp. 3875-82 (1982)] to design an agent which: 1) binds to the anion binding exosite of thrombin; and, 2) is capable of blocking the active site pocket of thrombin and inhibiting the function of catalytic residues contained therein.

We determined that the minimal distance from the ε-NH<sub>2</sub> of Lys-149 to the β-hydroxylate of Ser-195 is 18-20 Å. Based on a 3 Å/amino acid residue length, we calculated that at least about 4-7 amino acids would be required to link a hirudin peptide, such as Sulfo-Tyr<sub>63</sub> hirudin<sub>53-64</sub>, to a domain comprising an active-site inhibitor structure. The composition of the linker was designed to be glycine. Glycine was chosen in order to engineer the greatest flexibility of a linker for these preliminary investigations. It should be understood, however, that other, more rigid biopolymer linkers may also be employed.

We chose the sequence (D-Phe)-Pro-Arg-Pro as the active site inhibitor because thrombin exhibits specificity for Arg as the P<sub>1</sub> amino acid in the cleavage of substrates. A Pro following the Arg yields a bond that is cleaved very slowly by thrombin. We designed alternate peptides by replacing Pro (following the P<sub>1</sub> Arg) with a sarcosyl- or N-methyl-alanine amino acid or by chemical reduction of an Arg-Gly scissile bond.

#### EXAMPLE 4

##### Synthesis Of Hirulog-8

Hirulog-8 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. We synthesized Hirulog-8 by conventional solid-phase peptide synthesis employing an Applied Biosystems 430 A Peptide Synthesizer. This peptide was synthesized using BOC-L-Leucine-O-divinylbenzene resin. Additional t-BOC-amino acids (Peninsula Laboratories, Belmont, Calif.) used included BOC-O-2,6-dichlorobenzyl tyrosine, BOC-L-glutamic acid (7-benzyl ester), BOC-L-proline, BOC-L-isoleucine, BOC-L-phenylalanine, BOC-L-aspartic acid (B-benzyl ester), BOC-glycine, BOC-L-asparagine, BOC-L-phenylalanine, and BOC-L-arginine. In order to achieve higher yields in synthesis, the (Gly)<sub>4</sub> linker segment was attached in two cycles of manual addition of BOC-glycylglycine (Beckman Biosciences, Inc., Philadelphia, Pa.). After completion of synthesis, the

peptide was fully deprotected and uncoupled from the divinylbenzene resin by treatment with anhydrous HF: p-cresol: ethylmethyl sulfate (10:1:1, v/v/v). Following removal from the resin, the peptide was lyophilized to dryness.

Crude Hirulog-8 was purified by reverse-phase HPLC employing an Applied Biosystems 151A liquid chromatographic system and a Vydac C<sub>18</sub> column (2.2×25 cm). The column was equilibrated in 0.1% TFA/water and developed with a linear gradient of increasing acetonitrile concentration from 0 to 80% over 45 minutes in the 0.1% TFA at a flow-rate of 4.0 ml/min. The effluent stream was monitored for absorbance at 229 nm and fractions were collected manually. We purified 25-30 mg of crude Hirulog-8 by HPLC and recovered 15-20 mg of pure peptide.

We confirmed the structure of purified Hirulog-8 by amino acid and sequence analyses. Amino acid hydrolysates were prepared by treating the peptide with 6N HCl, in vacuo, at 110° C. for 24 hrs. We then analyzed the hydrolysates by ion-exchange chromatography and subsequent ninhydrin derivatization/detection using a Beckman 6300 automated analyzer. We performed sequence analysis using automated Edman degradation on an Applied Biosystems 470A gas-phase sequencer equipped with a Model 900A data system. Phenylthiohydantoin (PTH) amino acids were analyzed on-line using an Applied Biosystems 120A pTH-analyzer and a PTH-C<sub>18</sub> column (2.1×220 mm).

#### EXAMPLE 5

##### Synthesis Of Hirulog-9

Hirulog-9 has the formula: H-(D-Phe)-Pro-Arg-L-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. We synthesized this peptide in the same manner as that described in Example 4 using BOC-D-proline (Peninsula Laboratories) at cycle 15 in lieu of BOC-L-proline. Purification and characterization were performed as described in Example 4.

#### EXAMPLE 6

##### Synthesis Of Hirulog-10

Hirulog-10 has the formula: H-(D-Phe)-Pro-Arg-Sar-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The peptide was synthesized as in Example 4 using BOC-sarcosine (Sigma Chemical Co., St. Louis, Mo.) at cycle 16. Purification and characterization were performed as described in Example 4.

#### EXAMPLE 7

##### Synthesis Of Hirulog-11

Hirulog 11 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-(3,5-diiodoTyr)-Leu-OH. This peptide is synthesized as in Example 4 using BOC-3,5-diiodo-L-tyrosine (Sigma) at cycle 2. Purification and characterization is performed as described in Example 4.

#### EXAMPLE 8

##### Synthesis Of Hirulog-12

Hirulog 12 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr(OSO<sub>3</sub>)-Leu-OH. This peptide is synthesized by reacting 1.0 mg of Hirulog-8 in dimethylformamide (80 μl) with dicyclohexylcarbodiimide solution (1.25 g/ml, 0.007 ml) and concentrated sulfuric acid (0.5 μl) at 0° C.

for 10 minutes. The reaction is stopped by addition of water (1.0 ml).

The reaction mixture may be subjected to reverse-phase HPLC employing an Applied Biosystems 150A Liquid Chromatographic System and an Aquapore RP-300 C<sub>8</sub> column (0.46 × 10 cm). The column is equilibrated in solvent A (0.1% TFA/water) and developed with an increasing concentration of solvent B (0.085% TFA/70% acetonitrile) from 0 to 50% over 45 minutes at a flow-rate of 1.0 ml/min. The effluent stream is monitored for absorbance at 214 nm.

Purified Hirulog-12 is then neutralized to pH 7 by adding 0.1N NaOH. It is then lyophilized and reconstituted in phosphate-buffered saline.

#### EXAMPLE 9

##### Inhibition Of Thrombin-Catalyzed Hydrolysis Of A p-Nitroanilide Synthetic Substrate By Hirulog-8

We next analyzed the effects of Hirulog-8 on the human  $\alpha$ -thrombin-catalyzed hydrolysis of Spectrozyme TH (tosyl-Gly-Pro-Arg-p-nitroanilide; American Diagnostica, New York, NY). Specifically, we measured the initial rate velocities in the presence or absence of Hirulog-8 over a range of substrate concentrations from 2.2 to 22  $\mu$ M. The thrombin-catalyzed rate was monitored in a Cary 19 spectrophotometer at 405 nm and recorded continuously as a function of time. Kinetics were performed at room temperature ( $25 \pm 1^\circ$  C.) in a 0.05M Tris, pH 7.5, 0.1M NaCl buffer.

For a typical enzyme reaction, 1.0 ml of buffer was added to both the sample and reference cuvettes. Thrombin ( $3.2 \times 10^{-9}$ M, final concentration) and Hirulog-8 ( $0.4 \times 10^{-8}$ M) were added to the sample cuvette prior to addition of Spectrozyme TH (2.2–22  $\mu$ M). Immediately following addition of substrate, the contents of the sample cuvette were mixed by use of a plastic pipette. The reaction was monitored spectrophotometrically for 5–15 minutes.

Initial rate velocities at each substrate concentration were expressed as moles Spectrozyme TH hydrolyzed/sec/mole thrombin. This was determined during the initial linear phase of the reaction ( $\leq 15\%$  total hydrolysis of substrate) by measuring the slope of the hydrolytic reaction. Lineweaver-Burke plots were constructed accordingly, by plotting the inverse of the initial velocity against the inverse of the substrate concentration. The results showed that human  $\alpha$ -thrombin-catalyzed hydrolysis of Spectrozyme TH had a  $V_{max} = 17$  moles hydrolyzed/sec/mole thrombin and a  $K_M$  at  $1.19 \times 10^{-6}$ M. FIG. 3, panels A and B, demonstrates that increasing concentrations of Hirulog-8 led to significant, dose-dependent increases in the  $K_M$ , with slight increases in the  $V_{max}$  for Spectrozyme TH hydrolysis. Therefore, the inhibition of the thrombin-catalyzed reaction by Hirulog-8 was carried out by mixed competitive/non-competitive components with respect to Spectrozyme TH hydrolysis. The  $K_i$  of Hirulog-8 for  $\alpha$ -thrombin was determined using the equation:

$$\left( \frac{V_{max}}{K_M \text{ inhibited}} \right) = \left( \frac{V_{max}}{K_M \text{ uninhibited}} \right) \times \left( 1 + \frac{[\text{Hirulog-8}]}{K_i} \right)$$

where

$$\left( \frac{V_{max}}{K_M \text{ inhibited}} \right)$$

is the slope of the thrombin-catalyzed reaction in the presence of Hirulog-8; [Hirulog-8] is the molar concentration of peptide;

$$\left( \frac{V_{max}}{K_M \text{ uninhibited}} \right)$$

is the thrombin-catalyzed reaction in the absence of inhibitor; and  $K_i$  is the molar inhibitory constant for Hirulog-8 with human  $\alpha$ -thrombin. The  $K_i$  for Hirulog-8 was calculated to be  $1.95 \pm 0.11 \times 10^{-9}$ M.

#### EXAMPLE 10

##### Specificity Of Hirulog-8 For The Hirudin-Peptide Binding Site And Active Site Of Human $\alpha$ -Thrombin

Hirulog-8 was designed as an analogue that binds human  $\alpha$ -thrombin via its hirudin peptide binding site while blocking thrombin's catalytic site. We tested the ability of Hirulog-8 to perform these functions by various studies described below.

The kinetics of Hirulog-8 inhibition of human  $\gamma$ -thrombin were studied essentially as described above in Example 9 for human  $\alpha$ -thrombin. The  $\gamma$ -thrombin-catalyzed reaction toward Spectrozyme TH demonstrated a  $V_{max} = 7.14$  moles hydrolyzed/sec/mole thrombin and  $K_M = 1.1 \times 10^{-6}$ M. These results confirm that  $\gamma$ -thrombin, a proteolytic form of thrombin, exhibits nearly complete catalytic competence, although this form essentially lacks clotting activity [S. D. Lewis et al., "Catalytic Competence of Human  $\alpha$ - and  $\gamma$ -Thrombins in the Activation of Fibrinogen and Factor XIII", *Biochemistry*, 26, pp. 7597–7603 (1987)]. The inhibition of  $\gamma$ -thrombin by Hirulog-8 was examined over a range of peptide concentrations from  $2.7 \times 10^{-8}$  to  $6.8 \times 10^{-6}$ M. As shown below, Hirulog-8 exhibited an increased  $K_i$  of 3 orders of magnitude relative to  $\alpha$ -thrombin. This high  $K_i$  toward  $\gamma$ -thrombin is due to the absence of an intact anion binding exosite (ABE) in  $\gamma$ -thrombin [J. W. Fenton, II, et al., "Anion-Binding Exosite of Human  $\alpha$ -Thrombin and Fibrin(ogen) Recognition", *Biochemistry*, 27, pp. 7106–12 (1988)].  $\gamma$ -thrombin is formed by proteolysis of the B-chain of  $\alpha$ -thrombin at Lys-149 and Arg-78.

The inhibition of human  $\alpha$ -thrombin by Hirulog-8 was significantly reduced in the presence of Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53–64</sub> at concentrations of  $2.6 \times 10^{-6}$ M to  $129 \times 10^{-5}$ M. This is because Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53–64</sub> competes with Hirulog-8 for binding to the ABE of thrombin.

This was also demonstrated by the addition of phenylmethylsulfonyl- $\alpha$ -thrombin ("PMS- $\alpha$ -thrombin"; 18 nM, final) to reactions of Hirulog-8 with human  $\alpha$ -thrombin. The addition of this modified thrombin resulted in a substantial decrease in the ability of Hirulog-8 to inhibit  $\alpha$ -thrombin. PMS- $\alpha$ -thrombin has an intact ABE, but is covalently derivatized at its active site. This modified thrombin sequesters the Hirulog-8 in the reaction mix and therefore reduces the amount of peptide available to inhibit intact, catalytically-active human  $\alpha$ -thrombin.

We also performed studies of the effect of salt concentrations on the  $K_1$  of Hirulog-8 for thrombin as described above in Example 9. We measured the  $K_1$  in the presence or absence of Hirulog-8 ( $11.5 \times 10^{-9} M$ ) in buffers containing 0.1, 0.25, and 0.5M NaCl. As shown in the table below, inhibition of  $\alpha$ -thrombin by Hirulog-8 increased at lower salt concentrations. This result confirmed that the interaction of the highly anionic hirudin peptide moiety of Hirulog-8 with the positively-charged site surrounding Lys-149 of thrombin is essential for Hirulog-8 inhibition of thrombin-catalyzed hydrolysis of Spectrozyme TH.

| Enzyme                   | Conditions   | Hirulog-8, $K_1$ , nM |
|--------------------------|--|-----------------------|
| Human $\alpha$ -thrombin | 0.05M Tris, pH 7.5   | 1.95                  |
| Human $\gamma$ -thrombin | 0.1 M NaCl (Buffer)  |                       |
| Human $\alpha$ -thrombin | Buffer   | 1,080                 |
| Human $\alpha$ -thrombin | Buffer + 2.6 $\mu M$ Sulfo-Tyr <sub>63</sub> -N-acetyl-hirudin <sub>53-64</sub>  | 25.5                  |
| Human $\alpha$ -thrombin | Buffer + 12.9 $\mu M$ Sulfo-Tyr <sub>63</sub> -N-acetyl-hirudin <sub>53-64</sub> | >2,000                |
| Human $\alpha$ -thrombin | Buffer + PMS- $\alpha$ -thrombin   | 9.90                  |
| Human $\alpha$ -thrombin | 0.05 M Tris, pH 7.5  | 2.09                  |
| Human $\alpha$ -thrombin | 0.25 M NaCl  |                       |
| Human $\alpha$ -thrombin | 0.05 M Tris, pH 7.5, 0.5 M NaCl.   | 3.72                  |

#### EXAMPLE 11

##### Anticoagulant Activity Of Hirulog-8: Comparison To Hirudin And Sulfo-Tyr<sub>63</sub>-N-Acetyl-hirudin<sub>53-64</sub>

We studied the anticoagulant activity of Hirulog-8 using pooled, normal human plasma (George King Biomedical, Overland Park, Kan.) and a Coag-A-Mate XC instrument (General Diagnostics, Organon Technica, Oklahoma City, Okla.). Activity was monitored using the activated partial thromboplastin time (APTT) assay with  $CaCl_2$  and phospholipid solutions obtained from the manufacturer. Hirulog-8, hirudin, or Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub> was then added to the APTT determination wells at a final concentrations of 10 to 32,300 ng/ml in a total volume of 25  $\mu l$  prior to addition of 100  $\mu l$  of plasma.

The control APTT (absence of inhibitor) was 29.6 sec (mean,  $n=8$ , SEM  $<0.5\%$ ). FIG. 4 shows the results of these dose-dependency studies. Hirulog-8 was 2 to 3 times more potent than hirudin and 100 to 150 times more potent than Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub>. Both Hirulog-8 and hirudin increased the APTT of plasma to values which were too high to be measured. This is in contrast to Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub>, which exhibited a saturable dose-response in the APTT to 200-250% of control values [J. M. Maraganore et al., *J. Biol. Chem.*, 264, pp. 8692-98, (1989)]. This result showed that Hirulog-8 can block the active site of thrombin in plasma, as well as in vitro in chromogenic assays, in a manner similar to hirudin.

#### EXAMPLE 12

##### Inhibition Of Thrombin Induced Platelet Activation By Hirulog-8

Thrombin-induced platelet activation studies are performed at 37° C. using a Biodata PAP<sub>4</sub> Platelet Aggregometer. Platelet-rich plasma (PRP) is obtained from normal, healthy, volunteers who have not taken any

medication altering platelet function for at least one week prior to study. PRP is prepared as described by J. A. Jakubowski et al., "Modification of Human Platelet by a Diet Enriched in Saturated or Polyunsaturated Fat", *Atherosclerosis*, 31, pp. 335-44 (1978). Varying concentrations of Hirulog-8 (0-500 ng/ml in 50  $\mu l$  water) are added to 0.4 ml of pre-warmed (37° C.) PRP. One minute later, we add human  $\alpha$ -thrombin to the platelet suspension to a final concentration of 0.2, 0.25 or 0.5 units/ml total assay volume. Aggregation is monitored as an increase in light transmission for 5 minutes following the addition of thrombin. We then calculate %Inhibition as  $(\% \text{ aggregation}_{\text{sample}})/(\% \text{ aggregation}_{\text{control}}) \times 100$ . This study shows that Hirulog-8 blocks thrombin-induced platelet activation in vitro.

#### EXAMPLE 13

##### Use Of Hirulog-8 In Thrombus Imaging

Hirulog-8 is modified by covalent attachment of an  $^{125}I$ -containing chemical group. Specifically, Hirulog-8 (as prepared in Example 4) is reacted with  $^{125}I$ -Bolton Hunter Reagent (New England Nuclear, Boston, Mass.) in 0.1M sodium borate, pH 9.0. The  $^{125}I$ -labelled molecule (with a specific activity of  $>5 \mu Ci/\mu g$ ) is then desalted on a Biogel P2 column which is equilibrated in a phosphate-buffered saline.

Ex vivo imaging of experimental thrombi is performed essentially as described by T. M. Palabrica et al., "Thrombus Imaging in a Primate Model with Antibodies Specific for an External Membrane Protein of Activated Platelets", *Proc. Natl. Acad. Sci. USA*, 86, pp. 1036-40 (1989). Specifically, imaging is performed in baboons using an external Ticoflex shunt between the femoral artery and femoral vein. An experimental thrombus is formed by placement of a segment of pre-clotted Dacron graft in the shunt.  $^{125}I$ -labelled thrombin inhibitor is injected in the venous portion of the Ticoflex shunt. Serial anterior images are then obtained for 0.5 to 1 hour using an Ohio Nuclear Series 100 Gamma Camera with a PDP-11/34 computer. The kinetics of  $^{125}I$ -thrombin inhibitor uptake by the graft and the blood pool are derived from the radionuclide images thus obtained.

The same technique may be used to obtain ex vivo images of a deep venous thrombus caused by stasis in the femoral vein of baboons. Because  $^{125}I$ -Hirulog-8 binds to thrombin with high specificity, the use of this molecule allows precise ex vivo images of thrombi. Also, the small size of Hirulog-8, in contrast to native hirudin or antibodies to thrombin, provides the potential that the radiolabelled thrombin inhibitor will yield images of platelet-bound thrombin and meizothrombin, as well as thrombin contained in the fibrin clot.

#### EXAMPLE 14

##### Anti-Metastatic Activity of Thrombin Inhibitors

The anti-metastatic activity of the thrombin inhibitors of this invention, preferably Hirulog-8, is assayed using sarcoma T241 cells [L. A. Liotta et al., *Nature*, 284, pp. 67-68 (1980)] and syngeneic C57BL/6 mice (Jackson Laboratory, Bar Harbor, Me.). The mice are injected either intravenously or subcutaneously with 0-250 g/kg of Hirulog-8, prepared as in Example 4, followed by injection with  $10^4$ - $10^6$  T241 tumor cells. After 15 days, the animal is sacrificed and lung tumor colonies are quantitated. Anti-metastatic activity of Hirulog-8 is measured as percent reduction in tumor

colonies compared to placebo-treated control mice. Hirulog-8 demonstrates anti-metastatic activity in this assay.

### EXAMPLE 15

#### Inhibition Of Endothelial Cells By A Thrombin Inhibitor

The ability of the thrombin inhibitors of this invention to prevent thrombin-induced synthesis of platelet activating factor (PAF) is assayed using cultured human umbilical vein endothelial cells (HUVECs). HUVECs are extracted from human umbilical cords by collagenase digestion according to established procedures [M. A. Gimborne, Jr., "Culture of Vascular Endothelium", *Prog. Hemost. Thromb.*, 3, pp. 1-28 (1976)]. HUVECs are grown to confluence in a 96-well microtiter plate in the presence of [<sup>3</sup>H]-acetate. Cells cultured in this manner produce [<sup>3</sup>H]-acetyl-PAF, which may be quantitated by extraction of HUVEC membrane phospholipids.

Hirulog-8 (0-1 µg/ml) is added to the [<sup>3</sup>H]-acetate loaded HUVECs 1 minute prior to the addition of thrombin (final concentration of 1 U/ml). Cells are incubated for 5 minutes and the supernatant is then removed. Medium containing 0.1% gelatin, 50 mM acetic acid in methanol (2:1 v/v) is then added to the HUVECs. PAF is then extracted and quantified using conventional techniques [T. M. McIntyre et al., "Cultured Endothelial Cells Synthesize Bot Platelet-Activating Factor and Prostacyclin in Response to Histamine, Bradykinin and Adenosine Triphosphate", *J. Clin. Invest.*, 76, pp. 271-80 (1985)]. The IC<sub>50</sub> values are then calculated. Hirulog-8 inhibits the synthesis of PAF by HUVECs in this assay.

The effect of Hirulog-8 on thrombin-induced polymorphonuclear leukocyte (PMN) adhesion to HUVECs may be demonstrated as follows. HUVECs are grown to confluence in MEM containing 1% fetal calf serum in 24-well cluster plates. The medium is then removed, the cells are washed two times with fresh, serum-free medium and incubated in the same medium for 10-30 minutes at 37° C. to remove serum products. PMNs (2.5×10<sup>6</sup> in 1 ml), which are pre-equilibrated at 37° C., are then added to each well. The PMNs are allowed to settle onto the HUVEC monolayer for 2 minutes. Hirulog-8 (5 µg/ml) or saline is added to each well, immediately followed by the addition of α-thrombin (0.1 or 1 U/ml). The cells are incubated for 5 minutes at 37° C., washed twice and then examined by phase-contrast microscopy. Adherent PMNs are counted directly. Samples incubated with Hirulog-8 have significantly fewer adherent PMNs than those treated with saline.

### EXAMPLE 16

#### Synthesis Of Hirulog-13

Hirulog-13 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>2</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. We synthesized, purified and characterized this peptide essentially as described in Example 4, except that only one cycle of BOC-glycylglycine was employed to produce the diglycine segment.

### EXAMPLE 17

#### Synthesis Of Hirulog-14

Hirulog-14 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>3</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-

Tyr-Leu-OH. Hirulog-14 was synthesized, purified and characterized using methods described in Example 4, except that one cycle of BOC-glycine addition was employed following the two cycles of BOC-glycylglycine addition to produce the pentaglycine segment.

### EXAMPLE 18

#### Synthesis Of Hirulog-15

Hirulog-15 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>6</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-15 was synthesized, purified and characterized using methods described in Example 4, except that three cycles of BOC-glycylglycine addition were employed to prepare the hexaglycine segment.

### EXAMPLE 19

#### Synthesis Of Hirulog-16

Hirulog-16 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>8</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-16 was prepared, purified and characterized as described in Example 4, except that four cycles of BOC-glycylglycine addition were used to prepare the octaglycine segment.

### EXAMPLE 20

#### Synthesis Of Hirulog-17

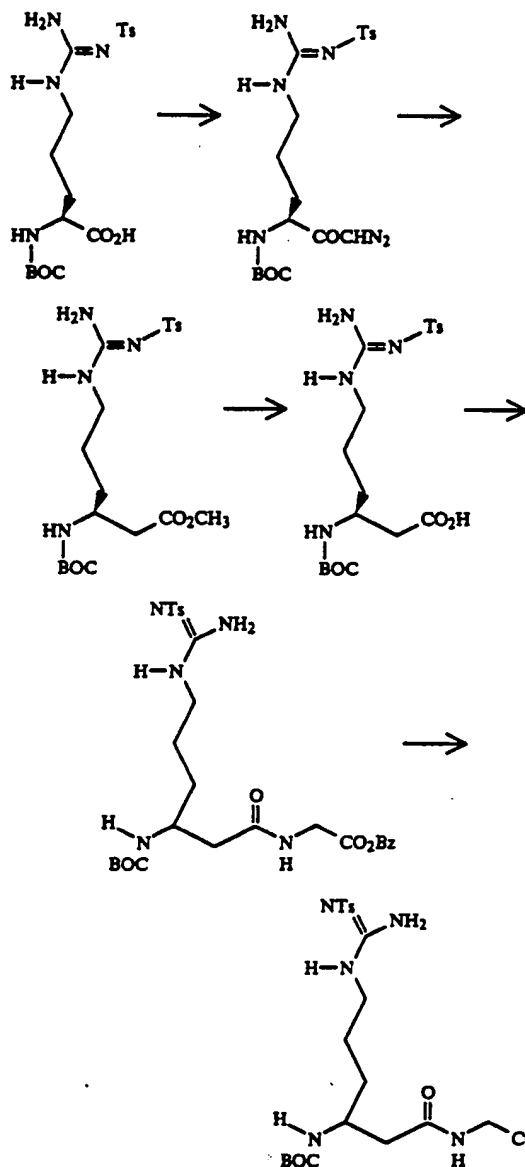
Hirulog-17 has the formula: H-(D-Phe)-Pro-Arg-Pro-Gly-Gly-Glu-Gly-His-Gly-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-17 was synthesized essentially as described in Example 4, except that a Gly-Gly-Glu-Gly-His-Gly replaced the Gly<sub>4</sub> segment present in Hirulog-8. This sequence was added on to the growing peptide chain by the consecutive additions of BOC-glycine, BOC-L-histidine, BOC-glycine, BOC-L-glutamic acid and BOC-glycylglycine at cycles 13-17 of synthesis. Purification and characterization were performed as described in Example 4.

### EXAMPLE 2

#### Synthesis Of Hirulog-18a, -18b And -18c

Hirulog-18a has the formula: H-(D-Phe)-Pro-(β-homoarginine)-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-18b has the formula: H-(D-Phe)-Pro-(β-homoarginine)-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-18c has the formula: H-(D-Phe)-Pro-(β-homoarginine)-Val-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. We synthesized Hirulog-18a using a mixed homogeneous/solid-phase procedure. Residues 5-20 were prepared by solid-phase synthesis, as described in Examples 4 and 17. The resulting resin-linked intermediate was reacted with a BOC-β-homoarginine-Gly protected intermediate, which was synthesized in the multi-step reaction scheme depicted below and described immediately thereafter.

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#### $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos-AroinineDiazomethylketone

We stirred 10 g (13.4 mmol) of  $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos-arginine (Bachem, Torrance, CA) and 2.1 ml (19.1 mmol) of  $N$ -methylmorpholine (Aldrich, Milwaukee, Wis.) in 100 ml anhydrous tetrahydrofuran (THF) under argon for 5 minutes at room temperature. The solution was then cooled to  $-15^{\circ}\text{C}$ . and 2.8 ml (21.6 mmol) of isobutylchloroformate (Aldrich) was added. We continued to stir the reaction mixture at  $-15^{\circ}\text{C}$ . for 5 minutes, and then filtered it through a pad of Celite/ $\text{MgSO}_4$ . We next added the filtrate to an ice-cold ethereal solution of diazomethane (150 mM, generated from 32.4 g Diazald; Aldrich). The solution was stirred and allowed to gradually reach ambient temperature overnight. The solvent was then removed in vacuo and the residue dissolved in 200 ml chloroform. We then washed the organic solution successively with 200 ml of saturated  $\text{NaHCO}_3$ , followed by 200 ml of saturated  $\text{NaCl}$ , dried it over anhydrous  $\text{MgSO}_4$ , and concentrated it again to an oily residue. The residue was then

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purified by flash chromatography on a  $4 \times 17$  cm column of silica gel using a step gradient of acetone in chloroform (10% acetone in 2 l chloroform, followed by 20% acetone in 3 l chloroform). Fractions of 25 ml were collected. Aliquots of each fraction were assayed by thin-layer chromatography (TLC). Fractions containing the desired product were pooled and evaporated to dryness. The product, diazomethylketone, was purified as a pale yellow foam (6.54 g).

#### $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos- $\beta$ -Homoarginine Methylester

We dissolved the diazomethylketone prepared above in 100 ml of anhydrous methanol and refluxed that solution under argon while a solution of silver benzoate catalyst (165 mg in 400  $\mu\text{l}$  triethylamine) was added dropwise. After 30 minutes, the refluxing solution was cooled to room temperature, slurried with Norit, and filtered through Celite. The solvent was then removed in vacuo and the oily residue purified by flash chromatography over silica gel. Elution was achieved with 4 l of 10% acetone in chloroform. The desired product,  $\beta$ -homoarginine methylester, was thus purified as a light tan foam (6.43 g).

#### $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos- $\beta$ -Homoarginine

We dissolved all of the above methyl ester in 100 ml of methanol and then reacted it with a solution of  $\text{LiOH}$  (148 g in 50 ml water) overnight at room temperature under argon with constant stirring. We removed the methanol in vacuo, dissolved the residue in water and washed it with ethyl acetate. We next added saturated citric acid until the solution reached a pH of 4. We then extracted the resulting carboxylic acid into ethyl acetate. The extraction was repeated at pH 3, and the combined organic phases were dried over  $\text{MgSO}_4$  and concentrated in vacuo. The resulting crude acid was recovered as a white foam (4.9 g). The acid was further purified on a Vydac  $\text{C}_{18}$  reverse-phase HPLC column, as described in Example 4, except that the effluent stream was monitored at 214 nm. Following lyophilization of the desired fractions, the product,  $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos- $\beta$ -homoarginine, was recovered as a white amorphous solid.

A sample of the  $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos- $\beta$ -homoarginine was hydrolysed in  $\text{HF}$  and used as a standard for amino acid analysis. The retention time of  $\beta$ -homoarginine was identical to that of arginine, but the intensity of the peak was considerably lower, as expected.

#### $N^{\alpha}$ -BOC- $N^{\epsilon}$ -Tos- $\beta$ -Homoargininylglycine Benzylester

We next combined 4.06 g (9.2 mmol) of the above carboxylic acid with 2.04 ml of  $N$ -methylmorpholine in 25 ml of anhydrous THF. The mixture was stirred under argon at  $-5^{\circ}\text{C}$ . A chilled solution of isobutylchloroformate (2.4 ml in 25 ml THF) was then added dropwise to the solution over 10 minutes. Following this addition, the reaction mixture was stirred for 12 minutes at  $-5^{\circ}\text{C}$ . For Hirulog-18a we then added a solution of glycine benzyl ester (4.9 g in 40 ml THF; 27.6 mmol), and allowed the reaction mixture to come to room temperature. The solvent was then removed in vacuo and the resulting residue dissolved in 100 ml ethylacetate. The solution was extracted successively with 100 ml each of saturated  $\text{NaHCO}_3$  and saturated  $\text{NaCl}$ , dried over  $\text{MgSO}_4$ , and concentrated in vacuo. The resulting crude dipeptide ester was purified on a  $4 \times 20$  cm silica gel column with a methanol step gradi-

ent in chloroform containing 10 drops NH OH per 100 ml (2 l of 1% methanol in chloroform, followed by 3 l of 2% methanol in chloroform). Fractions (25 ml) were collected, assayed by TLC and those containing product were pooled and the solvent removed in vacuo. The resulting product, N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-β-homoargininylglycine benzylester, was isolated a white foam (3.9 g).

For Hirulog-18b and -18c, the above reaction was identical except for the following modifications: For Hirulog-18b, the glycine benzyl ester was replaced by proline benzyl ester and the reaction was run on a 1.8 mmole scale. For Hirulog-18c, the glycine benzyl ester was replaced with valine benzyl ester and the reaction was run on a 3.0 mmole scale.

#### N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-β-Homoargininylglycine

The above benzyl ester was dissolved in 50 ml methanol and hydrogenated at atmospheric pressure over 1.0 g of 10% palladium/carbon for 17 h. The resulting solution was filtered through Celite and the solvent removed in vacuo. The reaction yielded 2.9 g of crude N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-β-homoargininylglycine, which was purified on a Vydac C<sub>18</sub> HPLC column as described above.

The above N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-β-homoargininylglycine (1.02 g) was dissolved in 1 ml anhydrous DMF and cooled in an ice bath. We then added to this solution successively, 5.5 ml of 0.5M hydroxybenztriazole in DMF (Applied Biosystems Inc, Foster City, Calif.) and 5.5 ml of 0.5M dicyclohexylcarbodiimide in CH<sub>2</sub>Cl<sub>2</sub> (Applied Biosystems). After 1 hour, the cold suspension of symmetrical anhydride of the dipeptide unit was then rapidly filtered through a plug of glass wool to remove the dicyclohexyl urea.

Meanwhile, a suspension of N-BOC-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-O-PAM (0.2 mmol in CH<sub>2</sub>Cl<sub>2</sub>) was activated by standard peptide synthesis methods. A Kaiser test on the resulting product indicated a free terminal amino group.

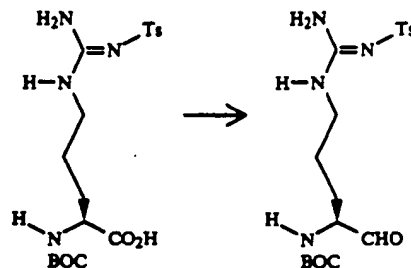
The activated β-homoargininylglycine dipeptide was then coupled to the resin-bound hexadecapeptide. The resulting octadecapeptide was then coupled, successively, with N-BOC-Pro and N-BOC-(D-Phe) using standard coupling procedure. The resulting peptide, Hirulog-18a, was purified and characterized as described in Example 4.

A similar protocol was carried out for the synthesis of Hirulog-18b and Hirulog-18c.

#### EXAMPLE 22

##### Synthesis Of Hirulog-19

Hirulog-19 has the formula: H-(D-Phe)-Pro-Arg-[psiCH<sub>2</sub>NH]-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Residues 4-20 of this peptide were assembled by solid-phase peptide synthetic procedures as described in Example 4. The next residue added, N<sup>α</sup>-BOC-N<sup>ε</sup>-tosyl-argininal, was prepared as depicted and described below.



#### N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-Argininal

N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-arginine (Bachem Inc.; 10 g) was added to 80 ml of anhydrous THF and the suspension cooled to 0°-5° C. We then added 1,1'-carbonyldiimidazole (Aldrich; 3.61 g) all at once and continued stirring for 20 minutes. The resulting clear solution was partially immersed in a dry ice/acetone bath to maintain a temperature of -20° to -30° C. during the dropwise addition of a suspension of lithium aluminum hydride (Aldrich; 1.8 g in 80 ml THF) over 45 minutes with constant stirring. The reaction was stirred an additional 30 minutes at -20° C. and was then quenched by the dropwise addition of 63 ml of 2N HCl at -10° C. We filtered the resulting solution through a medium sinter glass funnel and concentrated the resulting filtrate in vacuo.

The resulting crude aldehyde, recovered as a white foam (11.5 g), was suspended in 100 ml of chloroform, washed with water (2×50 ml) and the organic layer then dried over sodium sulfate and concentrated in vacuo. The crude aldehyde (7.7 g) was dissolved in 100 ml chloroform and purified by flash chromatography over a 5×20 cm flash column containing 350 ml silica gel (Merck Grade 60, 230-400 mesh, 60 Å). Elution was achieved using a step gradient of 0.5% methanol in 500 ml chloroform, 1% methanol in 1 l chloroform, and 1.5% methanol in 1 l chloroform. This procedure yielded 8.9 g of N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-argininal.

The N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-argininal (258 mg) was then added to the resin-bound (Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-O-PAM under solid-phase reductive alkylation conditions (40 mg sodium cyanoborohydride for 24 hours) using the method of D. H. Coy et al., "Solid-Phase Synthesis of Peptides" In Peptides, Vol. 8, pp. 119-121 (1978). Following reaction of the resin-linked peptide with the protected argininal, the peptide synthesis was completed with a cycle of BOC-proline incorporation and a cycle of BOC-(D-phenylalanine) incorporation. After completion of the synthesis, Hirulog-19 was deprotected and uncoupled from the resin as described in Example 4.

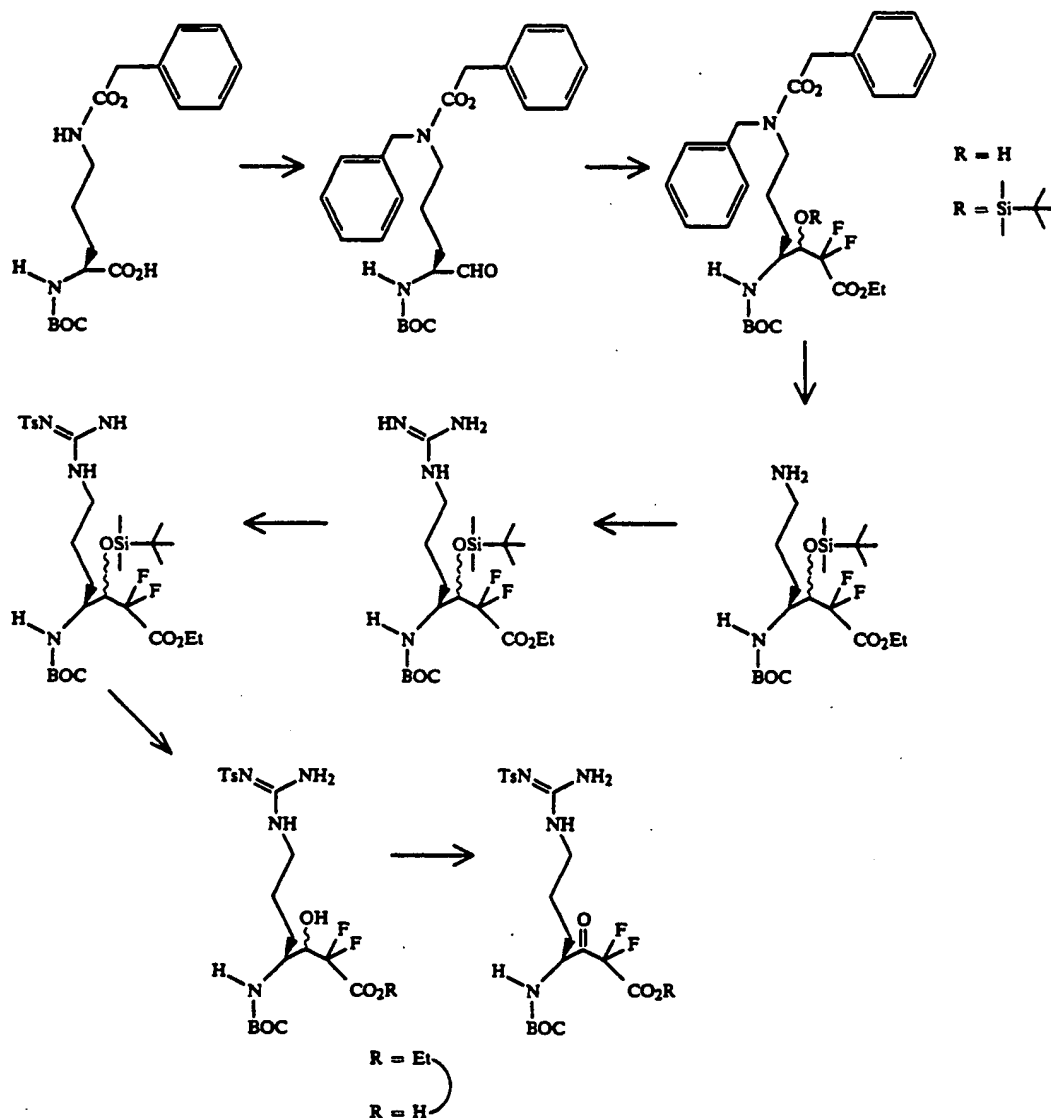
Hirulog-19 was purified by reverse phase HPLC employing an Applied Biosystems 151A liquid chromatographic system and an Aquapore C<sub>8</sub> column (10×22 cm). The column was equilibrated in 1 part 70% acetonitrile/30% water containing 0.85% TFA (Buffer B) and 4 parts water containing 1% TFA (Buffer A). The column was developed with a linear gradient of increasing Buffer B concentration (20-50%) over 120 minutes at a flow rate of 4.0 ml/minute. The effluent stream was monitored for absorbance at 214 nm and fractions were collected manually. Further purification was carried out under isocratic conditions using 20 Buffer B/80% Buffer A.

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## EXAMPLE 23

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synthesized in the reaction scheme depicted and detailed below.



## Synthesis Of Hirulog-21

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Hirulog-21 has the formula: H-(D-Phe)-Pro-Arg-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-(Gly)<sub>2</sub>-Lys-OH. Hirulog-21 was synthesized using methods described in Example 4, using the appropriate BOC-amino acids. Purification and characterization of Hirulog-21 were achieved by the methods described in Example 4.

## EXAMPLE 24

## Synthesis Of Hirulog-25

Hirulog-25 has the formula H-(D-Phe)-Pro-(4-Arginyl-2,2-difluoro)malonylglycyl-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The hexadecapeptide, (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu, was synthesized as previously described and left bound to the resin. The next residue, (3-Arginyl-2,2-difluoro)malonylglycine is

1-[(2'-carboethoxy 1', 1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>orn</sup>-benzyl-N<sup>orn</sup>-CbzOrnithinal

A solution of 3.1 g (7.1 mmoles) N<sup>α</sup>-BOC-N<sup>orn</sup>-Benzyl-N<sup>orn</sup>-CbzOrnithinal [F. Salituro et al., "Inhibition of Aspartic Proteinases By Peptides Containing Lysine and Ornithine Side Chain Analogues of Statine", *J. Med. Chem.*, 30, pp. 286-95 (1987)], and 1.56 ml (9.23 mmoles) ethylbromodifluoroacetate in anhydrous 15 ml THF was added over 90 minutes to a refluxing suspension of 786 mg Zn powder (Fluka) in 15 ml THF under argon. After 4 hours of reflux and 2 hours at room temperature, the mixture was cooled and partitioned between 200 ml each of ethyl acetate and saturated NaCl/KHSO<sub>4</sub>. The organic phase was isolated, dried over MgSO<sub>4</sub> and concentrated in vacuo. The resulting oily residue was purified on silica gel, using CHCl<sub>3</sub>:methanol (90:10) plus 100 drops/l NH<sub>4</sub>OH as eluant.

1-[(2'-Carboethoxy-1',1'-difluoro)ethyl]N<sup>α</sup>-BOC-Ornithinol tertButyldimethylsilyl Ether

The resulting compound, 1-[(2'-carboethoxy-1'-1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>ω</sup>m-benzyl-N<sup>ω</sup>m-CbzOrnithinol, is then reacted with 5 equivalents of tert-butyl-dimethylsilyl chloride and 10 equivalents of imidazole in anhydrous DMF at 35° C., following the procedure of E. J. Corey et al., "Protection of Hydroxyl Groups as tertButyldimethylsilyl Derivatives", *J. Amer. Chem. Soc.* 94, pp. 6190-91, (1972). The orthogonally protected amine is then dissolved in methanol and hydrogenated over Pd(OH), at 30 psi for 18 hours. The catalyst is then removed by filtration and the filtrate concentrated in vacuo to produce 1-[(2'-carboethoxy-1'-1'-difluoro)ethyl]N<sup>α</sup>-BOC-Ornithinoltert-butyl-dimethylsilyl ether.

1-(2'-Carboethoxy-1',1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>9</sup>-Tos-Aroininol-tertButyldimethylsilyl Ether

The above-prepared compound is then reacted with 6.8 equivalents each of 1-guanyl-3,5-dimethylpyrazole and triethylamine in water at 105° C. for 24 hours. The mixture is then lyophilized and the residue subjected to preparative HPLC as described in Example 4. Fractions containing the desired guanidinium compound (assayed by TLC) are pooled and dried in vacuo. The residue is dissolved in H<sub>2</sub>O:acetone (1:4), cooled in an ice bath and adjusted to pH 12 with 50% w/v NaOH. To this solution we add a solution of 3 equivalents of oarotoluene sulfonylchloride in acetone over 60 minutes, while maintaining the pH at 11-12 with NaOH. The solution is allowed to warm to room temperature and is stirred overnight. The acetone is then removed in vacuo and the remaining aqueous solution is washed with ether. The ether layer is removed and back extracted with saturated NaHCO<sub>3</sub>. The aqueous phases are combined and acidified to pH 3 with 2N HCl. The resulting acid solution is then extracted two times with ethyl acetate, dried and concentrated in vacuo to yield the desired product.

1-[(2'-Carboxy-1',1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>8</sup>-Tos-Argininol

The resulting compound, 1-[(2'-carboethoxy-1'-1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>8</sup>-Tos-Argininoltert-butyl-dimethylsilyl ether, is desilylated by treatment with 3 equivalents of tetra-n-butylammonium fluoride in THF

at room temperature, as described in E. J. Corey et al., supra. The compound produced by this process is then saponified by treatment with 2.5 equivalents of LiOH in methanol/water at room temperature overnight under argon. The reaction mixture is then washed with ethyl acetate and acidified with citric acid to pH 4. We extract the resulting acid into ethyl acetate, dry the organic phase and concentrate it in vacuo. The crude acid is then purified on a Vydac C<sub>18</sub> reverse-phase HPLC column under the conditions described in Example 4.

1-[(2'-Carboxy-1',1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>8</sup>-Tos-Argininone

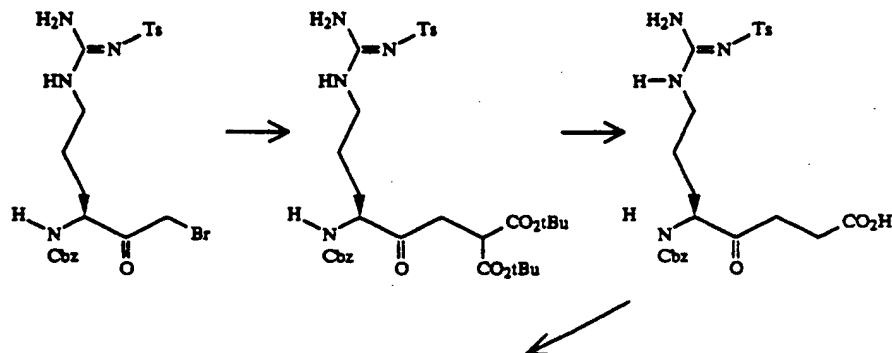
The alcohol function of the above compound is converted to the ketone by the addition of one equivalent of pyridinium dichromate in CH<sub>2</sub>Cl<sub>2</sub> containing 0.5% glacial acetic acid in the presence of molecular sieves [N. Peet et al., "Synthesis of Peptidyl and Fluoromethyl Ketones and Peptidyl α-Keto Esters as Inhibitors of Porcine Pancreatic Elastase, Human Neutrophil Elastase, and Rat and Human Neutrophil Cathepsin G", *J. Med. Chem.*, 33, pp. 394-407 (1990)]. After stirring under argon for 15 hours, the reaction mixture is filtered and the solvent removed in vacuo. The resulting 1-[(2'-carboxy-1',1'-difluoro)ethyl]N<sup>α</sup>-BOC-N<sup>8</sup>-Tos-Argininone is recovered as an oily residue and then purified on HPLC according to the conditions specified in Example 4.

The free carboxylic acid is converted to the symmetrical anhydride and reacted with resin-bound hexadecapeptide as described in Example 21. The two N-terminal residues of Hirulog-25, BOC-Pro and BOC-(D-Phe), are added under standard peptide synthesis conditions and the resulting peptide is then cleaved with HF.

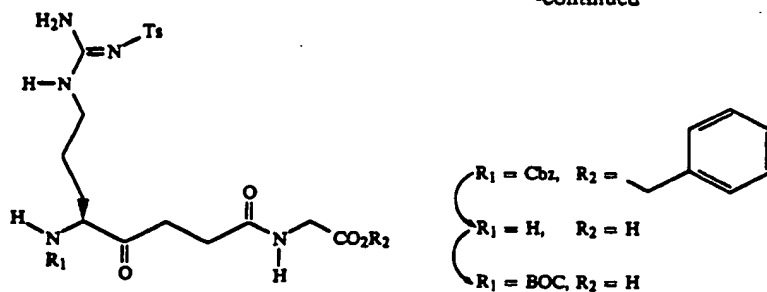
### EXAMPLE 25

#### Synthesis Of Hirulog-26

Hirulog-26 has the formula: H-(D-Phe)-Pro-Argoxopropionylglycyl-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The hexadecapeptide, (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu, was synthesized as previously described and left bound to the resin. The next residue, N<sup>α</sup>-BOC-argoxopropionylglycine, is synthesized by the reaction scheme depicted and described below.



-continued



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3-(CbzAmino)-2-oxo-3-{3-[(N<sup>ε</sup>-Tos)guanidiny]propyl}-di-tertButylMalonate

We prepared a batch of N<sup>α</sup>-Cbz-N<sup>ε</sup>-Tos-ArginineDiazomethyl ketone in the same manner as the preparation of N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-ArginineDiazomethyl ketone described in Example 21, except for the substitution of N<sup>α</sup>-Cbz-N<sup>ε</sup>-Tos-Arginine for N<sup>α</sup>-BOC-N<sup>ε</sup>-Tos-Arginine. We dissolved 4.5 mg of N<sup>α</sup>-Cbz-N<sup>ε</sup>-Tos-ArginineDiazomethyl ketone in 200 ml of CH<sub>2</sub>Cl<sub>2</sub> in a flask and cooled the solution to -70° C. in a dry ice/acetone bath with stirring. Anhydrous HBr gas was then bubbled through the solution at a moderate flow rate for 15 minutes. The solution was stirred for an additional 15 minutes at -70° C. and then concentrated in vacuo. The resulting product, N<sup>α</sup>-Cbz-N<sup>ε</sup>-Tos-Arg-COCH<sub>2</sub>Br, was recovered as 5.0 g of yellow crystals.

Meanwhile, a suspension of sodium hydride (36 mg; 80% dispersion in oil) in 1 ml DMF and 1.2 ml hexamethylphosphoramide ("HMPA") was added to a solution of 259 mg di-tert-butoxymalonate in 4 ml DMF. The mixture was stirred at room temperature for 40 minutes and was then added dropwise, over 20 minutes, to a solution of 1 mmole N<sup>α</sup>-Cbz-N<sup>ε</sup>-Tos-Arg-COCH<sub>2</sub>Br, in 1 ml DMF/0.13 ml HMPA. The reaction was allowed to proceed for 3 hours, after which time the solution was poured into 50 ml water and extracted with 2×50 ml ethyl acetate. The organic phase was isolated, dried and concentrated in vacuo to an oily residue. The residue was subsequently purified on a 3×10 cm silica gel column which was eluted successively with 400 ml of 5% acetone in chloroform, 400 ml of 10% acetone in chloroform and 200 ml of 20% acetone in chloroform. Fractions (25 ml) were collected and assayed by TLC. Fractions containing the desired product were pooled and concentrated to produce 3-(CbzAmino)-2-oxo-3-{3-[(N<sup>ε</sup>-Tos)guanidiny]propyl}-di-tertButyl malonate.

5-(N<sup>α</sup>-CbzAmino)-4-oxo-5-{3-[(N<sup>ε</sup>-Tos)guanidiny]propyl}pentanoylglycine Benzyl Ester

The above di-tert butyl ester is stirred in 1.2 equivalents of 1N HCl for 2 hours at room temperature. It is then decarboxylated in excess pyridine at 100° C. for 15 minutes. The solvent is then removed in vacuo, and the residue purified by silica gel chromatography, as described above. The resulting carboxylic acid is acylated

with glycine benzyl ester according to the method described in Example 21.

5-(Amino)-4-oxo-5-{3-[(N<sup>ε</sup>-Tos)guanidiny]propyl}pentanoylglycine

The resulting ester is dissolved in 500 ml methanol and hydrogenated overnight at 1 atmosphere of hydrogen gas over 600 mg of 10% palladium-carbon catalyst. The reaction mixture is then filtered through Celite and concentrated in vacuo to a solid residue (155 mg). The resulting amino acid is then purified by HPLC<sub>8</sub> using the conditions described in Example 4.

5-(N<sup>α</sup>-BOCAmino)-4-oxo-5-{3-[(N<sup>ε</sup>-Tos)guanidiny]propyl}pentanoylglycine

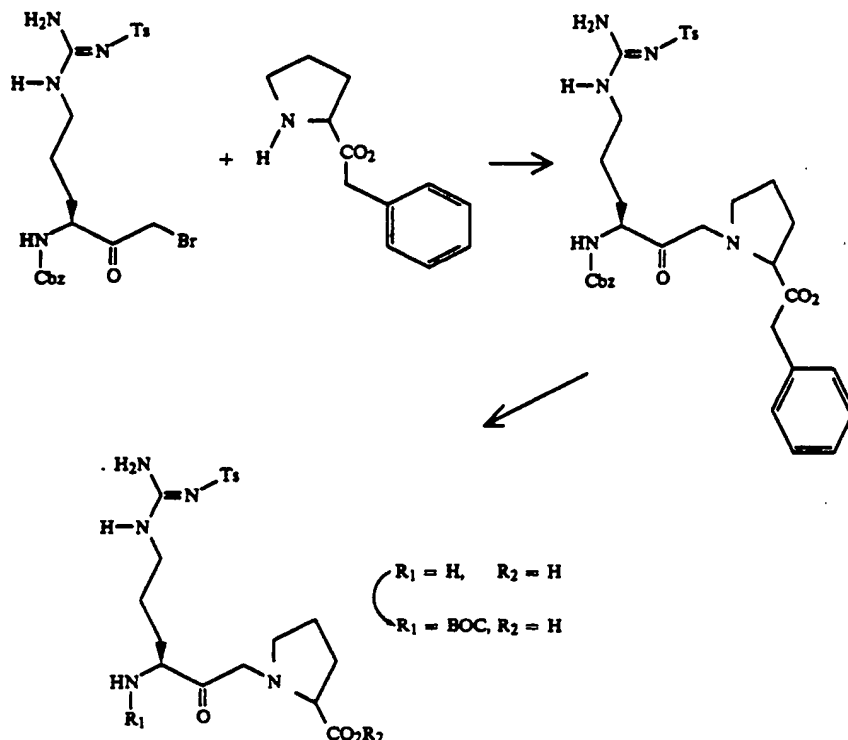
The above amino acid is converted to its corresponding BOC derivative by dissolving in dioxane/water (2:1, v/v) and cooling to 0° C. with stirring. The pH is adjusted to 10 with 0.1N NaOH and then 1.1 equivalents of di-tert-butyl dicarbonate (in dioxane) are added. The reaction is stirred at 0° C. to 20° C. for 4 hours and then is evaporated in vacuo. The residue is then partitioned between ethyl acetate/1% citric acid (2:1). The organic phase is isolated, extracted once with 1% citric acid, and then 3 times with saturated NaCl. The organic phase is dried over MgSO<sub>4</sub>, filtered and concentrated in vacuo to obtain the BOC-protected product.

The resulting protected pseudopeptide free carboxylate is then coupled to the resin-bound hexadecapeptide using standard peptide synthesis techniques. This is followed by the sequential addition of BOC-D-Phe and BOC-Pro to the resin-bound peptide. The completed Hirulog-26 is then cleaved from the resin, deprotected and purified as described in Example 4.

### EXAMPLE 26

#### Synthesis of Hirulog-27

Hirulog-27 has the formula H-(D-Phe)-Pro-Arg-(CO-CH<sub>2</sub>)-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu hexadecapeptide was synthesized as previously described and left bound to the resin. The remaining portion of the molecule was synthesized by the reaction scheme depicted and described below.



**N $\alpha$ -Cbz-N $\epsilon$ -Tos-Arginine(COCH<sub>2</sub>)proline Benzyl Ester**

We dissolved 720 mg of proline benzyl ester (HCl salt) in 25 ml THF. This solution was then cooled to  $-78^{\circ}\text{C}$ . in an acetone/dry ice bath with stirring under argon. We then added lithium diisopropylamide (8.0 ml of a 0.75 M hexane suspension) and stirred for an additional 5 minutes. To this we added 1.08 g N $\alpha$ -Cbz-N $\epsilon$ -Tos-ArginineBromomethyl Ketone in 10 ml THF, prepared as described in Example 25, dropwise over 20 minutes. The reaction was stirred for an additional 5 minutes and the solution was then allowed to warm to room temperature with stirring. We quenched the reaction by adding 10 ml of saturated NaCl, allowed the phases to separate and isolated the organic phase. This phase was then dried over MgSO<sub>4</sub>, filtered and evaporated in vacuo.

**N $\alpha$ -BOC-N $\epsilon$ -Tos-Arginine(COCH<sub>2</sub>)proline**

The above benzyl ester (1.3 g) was hydrogenated using the palladium-carbon procedure described in Example 25. The resulting pseudodipeptide was BOC-protected by the procedure described in Example 25 to produce the desired product.

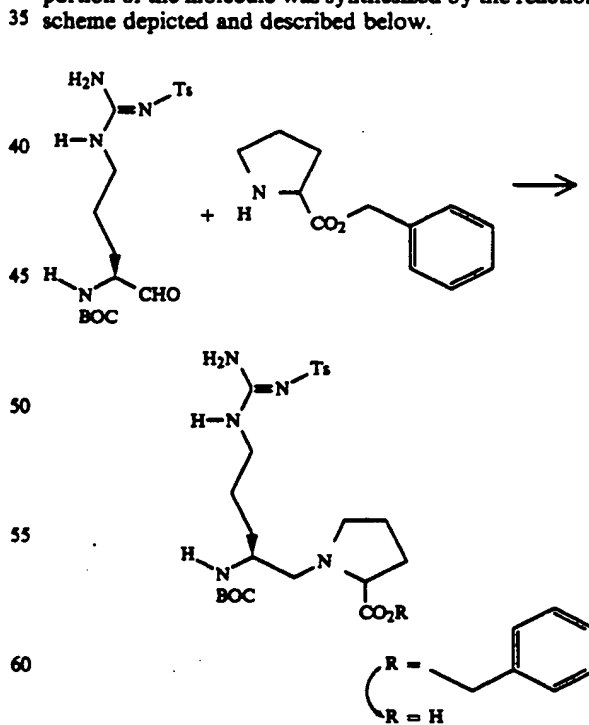
The purified, protected pseudodipeptide was then coupled with the resin-linked hexadecapeptide by standard peptide synthesis techniques. Hirulog-27 was deprotected, cleaved from the resin and purified by the techniques described in Example 4.

#### EXAMPLE 27

##### Synthesis Of Hirulog-28

Hirulog-28 has the formula: H-(D-Phe)-Pro-Arg(CH<sub>2</sub>N)-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-O-PAM hexadecapeptide was synthesized as previously

described and left bound to the resin. The remaining portion of the molecule was synthesized by the reaction scheme depicted and described below.



**N $\alpha$ -BOC-N $\epsilon$ -Tos-Arginine[psiCH<sub>2</sub>N]Proline Benzyl Ester**

One gram of crushed 3 Å molecular sieves (Aldrich) was added to a stirred solution of 5.25 g proline benzyl

ester free base (Schweizerhall, Inc.) in 10 ml anhydrous THF and 2 ml anhydrous ethanol under argon at room temperature. We added 1.45 ml of 5N methanolic HCl and 1.5 g of N $\alpha$ -BOC-N $\epsilon$ -Tos-Argininal (prepared as described in Example 22) to this mixture and stirred for 1 hour. An 85 mg portion of sodium cyanoborohydride was added to the mixture and then, an hour later, a second 85 mg portion of sodium cyanoborohydride was added. The reaction was then stirred for 20 hours and filtered. We added 1 ml water and 0.9 ml 1 N HCl to the filtrate with stirring and then concentrated the solution in vacuo to yield 6.2 g of N $\alpha$ -BOC-N $\epsilon$ -Arg[psiCH<sub>2</sub>H]-Pro-benzyl ester, as a clear oil.

The oil is further purified by flash chromatography over a 5 cm flash column containing 350 ml silica gel (Merck Grade 60, 230-400 mesh, 60 Å). The product was obtained by successive elution with 0.25%, 0.75% and 1.5% methanol in chloroform.

#### N $\alpha$ -BOC-N $\epsilon$ -Tos-Arginine[psiCH<sub>2</sub>N]Proline

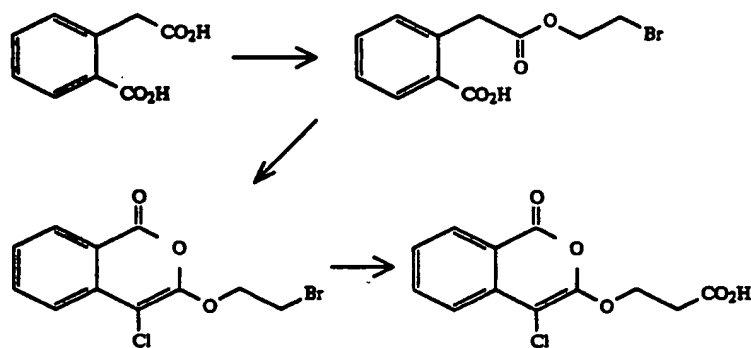
The resulting benzyl ester is hydrogenated over palladium-carbon and purified, as described in Example 25. This process yielded 160 mg of N $\alpha$ -BOC-N $\epsilon$ -Arg[psiCH<sub>2</sub>H]-Proline free acid, which was further purified using the HPLC chromatography system described in Example 4, except elution was achieved with an isocratic 26% Buffer B/74% Buffer A system, previously described in Example 22. The final yield of dipeptide was 86 mg.

The dipeptide is then coupled to the resin-bound hexadecapeptide, followed by a cycle of BOC-Pro incorporation and a cycle of BOC-(D-Phe) incorporation. Deprotection, cleavage and purification of the fully synthesized Hirulog-28 is achieved by the method described in Example 4.

### EXAMPLE 28

#### Synthesis Of Hirulog-29

Hirulog-29 has the formula: 4-chloro-isocoumarino-3-carboxyethoxy-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. The (Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu heptadecapeptide was synthesized as previously described and left bound to the resin. The 4-chloroisocoumarino-3-carboxyalkoxy moiety was synthesized by the reaction scheme and methods described below.



#### Ethyl 2-bromo-Homophthalate

We mixed homophthalic acid (10.0 g), 2-bromoethanol (21.0 g) and benzene (200 ml). We then added 12-15 drops of sulfuric acid and heated to reflux for 2.5 hours. The solution was then filtered and concentrated in

vacuo. The residue was washed with 250 ml ether/hexane (1:1) and was filtered onto a scintered glass funnel. The resulting light brown solid was vacuum dried to obtain approximately 15.0 g of product.

#### 4-chloro-3-[2-bromoethoxy]-isocoumarin

We mixed the ethyl 2-bromo homophthalate prepared as described above (4 g) together with phosphorous pentachloride (8.2 g) and benzene (100 ml). The mixture was refluxed for 4.5 hours, filtered hot and evaporated in vacuo. The reddish-brown oily residue was chromatographed immediately on a 24 mm x 175 mm silica gel column using dichloromethane as eluant. Fractions of 20 ml were collected and assayed by TLC. The 4-chloro-3-[2-bromoethoxy]-isocoumarin eluted in fractions 2-6. The fractions were pooled, evaporated in vacuo and the resulting residue was recovered as a clear, light yellow oil (2.2 g).

#### 4-chloro-3-[3-oxypropanoic acid]-isocoumarin

The 4-chloro-3-[2-bromoethyl]-isocoumarin (1.4 g) prepared above was dissolved in anhydrous THF and added directly to a refluxing solution of magnesium turnings (170 mg), and a few crystals of iodine in 15 ml anhydrous THF, which was stirring under argon. The mixture was refluxed for 1.5 hours. It was then poured over excess dry ice in a 400 ml beaker. We let the mixture stand at 20° until all the excess CO<sub>2</sub> had sublimed and then added approximately 100 ml each of diethyl ether and THF to the mixture which produced a yellow solution containing a large amount of white, coarse precipitate.

We bubbled anhydrous HCl through this mixture at 20°, which dissolved most of the precipitate. The solution was then filtered and evaporated in vacuo to obtain the crude product. This was then recrystallized overnight from DCM.

The resulting 4-chloro-3-[3-oxypropanoic acid]-isocoumarin is coupled to a glycine benzyl ester and the resulting product catalytically hydrogenated over palladium-carbon, as described in Example 25. This pseudodipeptide is then coupled to the resin-bound hexadecapeptide, (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu, by standard peptide synthesis techniques.

### EXAMPLE 29

#### Synthesis Of Hirulog-30

Hirulog-30 has the formula: 4-chloro-3-[2-aminoethanol]-isocoumarin-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu. The hexadecapeptide,

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(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu is synthesized as previously described and left bound to the resin.

The 4-chloro-3-[2-aminoethanol]-isocoumarin moiety is prepared by a procedure analogous to that described in Example 28 for synthesizing 4-chloro-3-[2-bromoethanol]-isocoumarin, except that 2-aminoethanol is used instead of 2-bromoethanol in the initial step of esterifying homophthalic acid.

The urea linkage is formed by reacting the amino group of 4-chloro-3-[2-aminoethanol]-isocoumarin with the activating agent, carbonyldiimidazole ("CDI"). The resulting intermediate imidazolidine is not isolated, but is reacted with the resin-linked hexadecapeptide to produce Hirulog-30. Hirulog-30 is then deprotected,

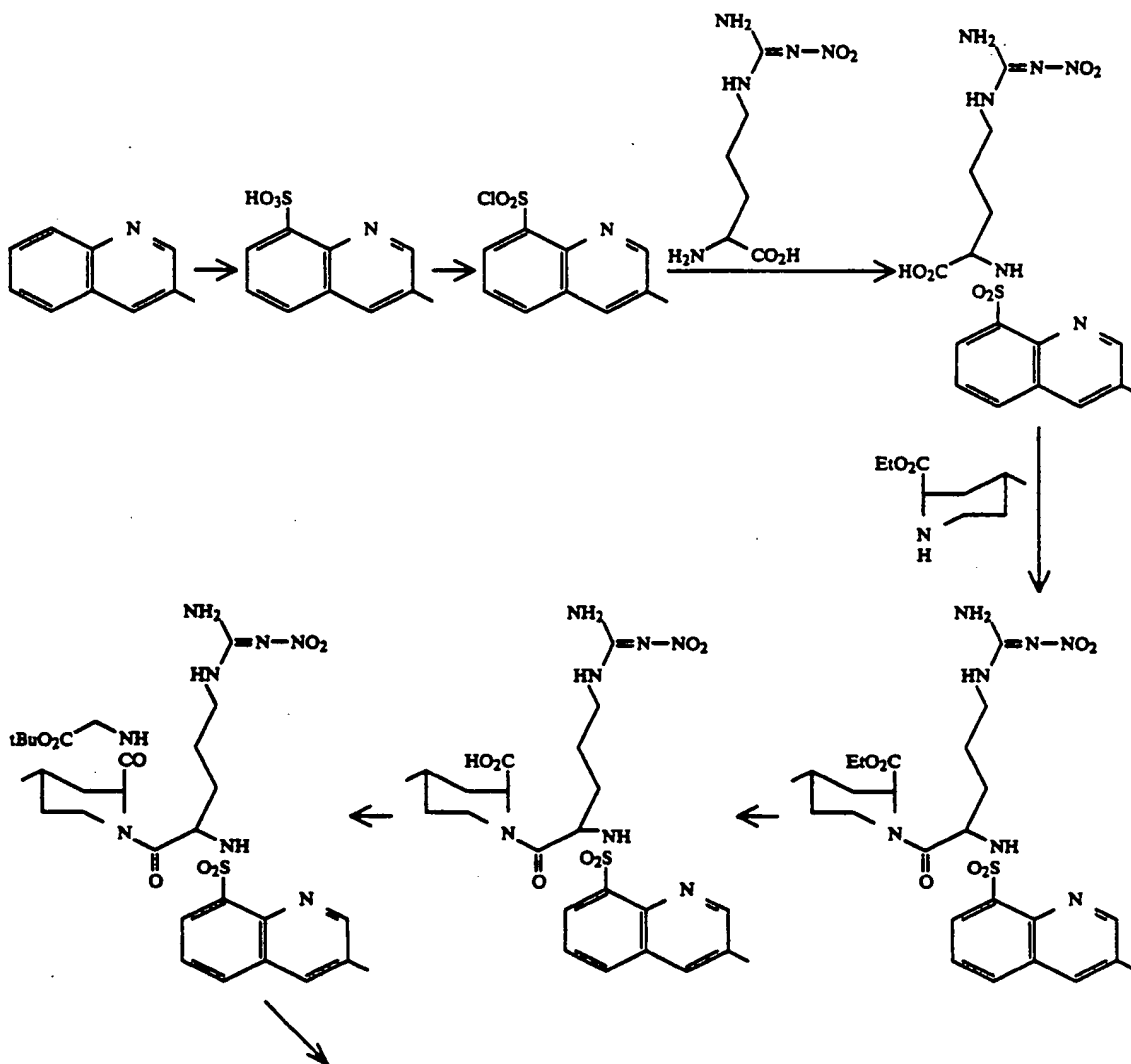
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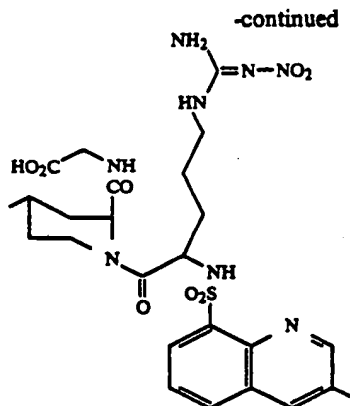
cleaved from the resin and purified by the techniques described in Example 4.

### EXAMPLE 30

## Synthesis Of Hirulog-31

Hirulog-31 has the formula argipidyl-(Gly)<sub>5</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. We synthesized the hexadecapeptide (Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu by the standard peptide synthesis techniques described previously and leave the peptide bound to the resin. The argipidylglycine portion of this Hirulog is synthesized by the reaction scheme depicted and described below.





A Dehydro-N $\epsilon$ -NitroArgipidine is synthesized essentially by the method for synthesizing argipidine, which is described in U.S. Pat. No. 4,258,192, herein incorporated by reference. The only differences are that the guanidinium group is protected by a nitro function and the heterocyclic ring of the quinoline remains unsaturated. The intermediate is used to acylate t-butyl glycine by the method described in Example 21. The t-butyl ester is removed by standard acid hydrolysis techniques. The resulting free acid is reacted with the hexadecapeptide using standard coupling techniques. The resultant peptide is deprotected, cleaved from the resin and purified by the techniques described in Example 4.

The peptide is then subjected to the hydrogenation procedure described in the 4,258,192 patent and purified by the HPLC technique described in Example 4.

#### EXAMPLE 31

##### Synthesis Of Hirulog-32

Hirulog-32 has the formula: H-(D-Phe)-Pro-Arg-(Gly)<sub>3</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-32 was synthesized, purified and characterized using the methods described in Example 4, except that BOC-glycine was used instead of BOC-proline in the cycle following the two cycles of BOC-glycylglycine addition.

#### EXAMPLE 32

##### Synthesis Of Hirulog-33

Hirulog-33 has the formula: N-acetyl-Gly-Asp-Phe-Leu-Ala-Glu-(Gly)<sub>3</sub>-Val-Arg-Pro-(Gly)<sub>4</sub>-Asn-Gly-Asp-Phe-Glu-Glu-Ile-Pro-Glu-Glu-Tyr-Leu-OH. Hirulog-33 was synthesized, purified and characterized by the standard peptide synthesis techniques employed in Example 4, with appropriate BOC-amino acid substitutions. The CSDM portion of Hirulog-33 has an amino acid sequence that is identical to a segment of the fibrinopeptide A sequence of the A $\alpha$  chain in human fibrinogen.

#### EXAMPLE 33

##### Cleavage Of Various Hirulogs By Thrombin

Inhibition of thrombin by Hirulog-8 was found to be transient due to the slow cleavage of the Arg-Pro bond by thrombin. Following this cleavage, thrombin was observed to recover full hydrolytic activity toward a chromogenic substrate. Therefore, Hirulog-8 was characterized as a "slow-substrate" inhibitor of thrombin.

The cleavage of Hirulog-8, as well as other Hirulogs of this invention, by human  $\alpha$ -thrombin was demon-

strated in in vitro assays. Reaction mixtures containing human  $\alpha$ -thrombin (1.6 nM) and varying concentrations of either Hirulog-8, Hirulog-10, Hirulog-18a, Hirulog-18b, Hirulog-18c, Hirulog-19, Hirulog-32 or Hirulog-33 (80 to 160 nM) were prepared in 20 mM Tris-HCl, pH 7.4 containing 0.1M NaCl. Aliquots (0.975 ml) of the reaction mixtures were removed at various times and mixed in a cuvette with 0.025 ml Spectrozyme TH (11  $\mu$ M final concentration), a chromogenic substrate. The initial rate of reaction was determined and, based on control mixtures containing thrombin in the absence of Hirulog, the % inhibition was calculated.

An alternate method employed reverse-phase HPLC separation of aliquots from a Hirulog/thrombin reaction mixture. In this assay we added human  $\alpha$ -thrombin (0.25  $\mu$ M final concentrations) to a reaction vessel containing one of the above Hirulogs (12.5  $\mu$ M final concentration). Aliquots (50  $\mu$ l) were removed both prior to and at various times following the addition of thrombin. The aliquots were either flash frozen or injected directly onto the HPL column. The HPLC system employed an Applied Biosystems Liquid Chromatography System equipped with an Aquapore C<sub>8</sub> column (0.46 $\times$ 10 cm). The column was equilibrated in 70% solvent A (0.1% TFA in water) and 30% solvent B (0.085% TFA/70% acetonitrile) and developed with a linear gradient of from 30 to 50% solvent B over 30 minutes at a flow rate of 1 ml/minute. The effluent stream was monitored at 214 nm. Peptide concentrations were determined by measurement of peak heights.

Both of the above-described assays allow determination of the rate of Hirulog hydrolysis by thrombin (expressed in M/min) and turnover rate ( $k_{cat}$ , expressed in min<sup>-1</sup>). Both methods produced comparable  $k_{cat}$  values, which are shown in the table below.

| INHIBITOR   | P <sub>1</sub> -P <sub>1'</sub> SEQUENCE | $k_{cat}$ (min <sup>-1</sup> ) |
|-------------|--|--------------------------------|
| Hirulog-8   | Arg-Pro                                  | 0.31-0.5                       |
| Hirulog-10  | Arg-Sar                                  | 10                             |
| Hirulog-18a | $\beta$ -HomoArg-Gly                     | <0.01                          |
| Hirulog-18b | $\beta$ -HomoArg-Pro                     | <0.01                          |
| Hirulog-18c | $\beta$ -HomoArg-Val                     | <0.01                          |
| Hirulog-19  | Arg[psiCH <sub>2</sub> NH]-Gly           | <0.01                          |
| Hirulog-32  | Arg-Gly                                  | 535                            |
| Hirulog-33  | Arg-Pro                                  | 0.056                          |

As shown above, Hirulog-8, -10, -32 and -33 were cleaved by thrombin with  $k_{cat}$  values ranging from 0.056 min<sup>-1</sup> (slow cleavage) to 535 min<sup>-1</sup> (fast cleavage). In

contrast, Hirulog-18a, -18b, -18c, and -19 appear to be resistant to thrombin cleavage.

FIG. 5, panels A and B, show a more detailed analysis of the cleavage of Hirulog-8 by thrombin. As depicted in FIG. 5, panel A, concentrations of Hirulog-8 in slight excess over thrombin exhibited a transient inhibitory activity (greater than, or equal to, 10 minutes, depending on the Hirulog concentration). Progressively higher concentrations of Hirulog-8 demonstrated prolonged inhibitory effects. A linear relationship between duration of inhibition and Hirulog-8 concentration is shown in FIG. 5, panel B. From these data, we calculated a turnover time, or  $k_{cat}$ , of 0.37 min<sup>-1</sup>.

By purification and sequence analysis of the Hirulog-8-derived digestion products produced in the reactions above, we determined that Hirulog-8 was slowly cleaved by thrombin at the Arg-Pro bond. This is a highly unusual cleavage site for serine proteases and we believe it to be susceptible to cleavage in Hirulog-8 due to the high affinity of the peptide for thrombin.

#### EXAMPLE 34

##### The Effect Of Linker Length On The Activity Of Hirulog

Hirulog-8, Hirulog-13, Hirulog-15, and Hirulog-16 differ from one another only by the length of the polyglycine portion of their respective linker segments. In order to determine what effect linker length has on activity, we compared the inhibition of human  $\alpha$ -thrombin by each of these Hirulogs. The following table lists the linker lengths of each of these Hirulogs:

| Peptide    | Linker Length (Å) |
|------------|-------------------|
| Hirulog-8  | 24                |
| Hirulog-13 | 18                |
| Hirulog-15 | 30                |
| Hirulog-16 | 36                |

The antithrombin activities of these Hirulogs was measured toward thrombin-catalyzed hydrolysis of Spectrozyme TH essentially as described in Example 9. FIG. 6 depicts the relationship of linker length to  $K_i$  for Hirulog inhibition of this thrombin-catalyzed reaction. This figure shows that Hirulogs-8, -15 and -16 have comparable inhibitory activities, while Hirulog-13, with an 18 Å linker length, has an activity reduced by more than 10-fold. This confirms that linker lengths of > 18 Å and < 42 Å do not affect Hirulog activity. While not wishing to be bound by theory, applicants believe this is due to the fact that the Hirulog linker is equally disordered when free in solution as when bound to thrombin. Applicants also believe that there is little cooperativity in the binding of the CSDM and ABEAM portions of the thrombin inhibitors of this invention to thrombin.

#### EXAMPLE 35

##### Inhibition Of Thrombin-Catalyzed Hydrolysis By Various Hirulogs

We compared the inhibitory activity of various thrombin inhibitors of the present invention on thrombin-catalyzed hydrolysis of a tripeptidyl-p-nitroanilide substrate. The antithrombin activities of Hirulog-10, Hirulog-18a, Hirulog-18b, Hirulog-18c, Hirulog-19, Hirulog-32 and Hirulog-33 were assayed by the method described in Example 9, using Spectrozyme TH as a substrate. The table below lists the calculated  $K_i$  values

as well as the P<sub>1</sub>-P<sub>1</sub>' sequence, of each of these thrombin inhibitors.

| INHIBITOR   | P <sub>1</sub> -P <sub>1</sub> ' SEQUENCE | K <sub>i</sub> (nM) |
|-------------|---|---------------------|
| Hirulog-8   | Arg-Pro                                   | 1.9 ± 1.4           |
| Hirulog-10  | Arg-Sar                                   | >2,000              |
| Hirulog-18a | $\beta$ -HomoArg-Gly                      | 7.4                 |
| Hirulog-18b | $\beta$ -HomoArg-Pro                      | 4.6                 |
| Hirulog-18c | $\beta$ -HomoArg-Val                      | 203.0               |
| Hirulog-19  | Arg[psiCH <sub>2</sub> NH]-Gly            | 20.0                |
| Hirulog-32  | Arg-Gly                                   | >2,000              |
| Hirulog-33  | Arg-Pro                                   | 3.6                 |

As indicated above, Hirulog-10 and Hirulog-32 were poor inhibitors of thrombin-catalyzed hydrolysis of Spectrozyme TH. This was consistent with the fact that each of these inhibitors was rapidly cleaved by thrombin at the P<sub>1</sub>-P<sub>1</sub>' bond. In Hirulog-19, wherein this bond was reduced to the psiCH<sub>2</sub>-NH linkage and rendered non-cleavable by thrombin, effective inhibition of thrombin hydrolysis was observed.

The studies with  $\beta$ -homoarginine-containing inhibitors (Hirulogs-18a, -18b and -18c) demonstrated that this amino acid derivative may replace arginine in the inhibitors of this invention without affecting activity. Moreover, this shows that displacement of the amide bond by one methylene does not markedly reduce thrombin inhibitory activity. The 30- to 50-fold increase in  $K_i$  for Hirulog-18c, as compared to Hirulog-18a and -18b, respectively, suggests that the structure of the P<sub>1</sub> amino acid is important in inhibitory activity. Without wishing to be bound by theory, applicants believe that the presence of phi-psi angles in the P<sub>1</sub> amino acid (Gly in Hirulog-18a; Pro in Hirulog-18b) as well as conformational constraints, (such as is caused by the proline in Hirulog-18b) contribute to the potency of the inhibitors of this invention. An alternate possibility is that the  $\beta$ -branched side chain of the P<sub>1</sub> amino acid Val in Hirulog-18c may impair binding of the CSDM portion of that molecule to the thrombin reactive center due to steric considerations.

#### EXAMPLE 36

##### Binding Of Hirulog-8 To The Active Site Of Thrombin

Diisopropylfluorophosphate (DFP) is a well-known inhibitor of serine proteases, including thrombin, which acts by covalently modifying the hydroxyl group of Ser-195. We added a 270-fold excess of [<sup>14</sup>C]-DFP to thrombin, in 0.1M sodium borate, pH 8.0. Following a 10 minute reaction, formation of a thrombin complex was demonstrated by SDS-PAGE and fluorographic analyses (FIG. 7, lane 1). When the reaction was performed in the presence of Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub> (at 300 and 3000-fold molar excess over thrombin), the modification of thrombin by [<sup>14</sup>C]-DFP was not altered significantly (FIG. 7, lanes 4 and 5). However, when we performed the reaction in the presence of Hirulog-8 (at 3- or 30-fold molar excess over thrombin) the incorporation of [<sup>14</sup>C]-DFP into the thrombin catalytic site was completely blocked (FIG. 7, lanes 2 and 3). These data demonstrate that the CSDM of the thrombin inhibitors of this invention are capable of binding to the catalytic site of thrombin and inhibiting catalytic activity.

## EXAMPLE 37

## Comparison Of Antithrombin Activity Of Hirulog-8 And A Synthetic Catalytic Site Directed Pentapeptide (D-Phe-Pro-Arg-Pro-Gly)

As shown in FIG. 1, Hirulog-8, unlike its constituent anion-binding exosite associating moiety, Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub>, was able to inhibit thrombin-catalyzed hydrolysis of small p-nitroanilide substrates. Similarly, we have tested the ability of a (D-Phe)-Pro-Arg-Pro-Gly pentapeptide to inhibit thrombin catalytic reactivity.

The pentapeptide was synthesized as described in Example 4, using a BOC-glycine-divinylbenzene resin. The pentapeptide was purified and characterized as described in Example 4.

The effects of both Hirulog-8 and this pentapeptide toward thrombin-catalyzed hydrolysis of Spectrozyme TH were studied as described in Example 9, using fixed peptide concentrations of 50 nM or 10  $\mu$ M, respectively. Our results show that while nanomolar concentrations of Hirulog-8 can inhibit the thrombin-catalyzed reaction, concentrations of pentapeptide as high as 10  $\mu$ M have no significant effect on the thrombin-catalyzed rate. These data show that the CSDM component of the thrombin inhibitors of this invention is, by itself, only a weak inhibitor of thrombin's catalytic function.

## EXAMPLE 38

## In Vivo Anticoagulant Activity Of Hirulog-8

We determined the in vivo anticoagulant activity of Hirulog-8 following intravenous administration of this peptide into baboons. We used various dosages of Hirulog-8 ranging from 0.002 to 0.2 mg/kg/min. Baboons (male, 10-15 kg) were sedated with ketamine hydrochloride prior to administration of Hirulog-8. Whole blood from treated and control animals was removed from a catheter placed in the femoral vein and collected into 3.8% sodium citrate (9:1; blood:sodium citrate). Plasma was obtained by standard methods and the APTT was recorded by methods described in Example 10. As shown in FIG. 8, Hirulog-8 yielded a dose-dependent increase in the APTT. A 200% increase in the APTT (considered a therapeutic range) was achieved with the lowest Hirulog dose (0.002 mg/kg/min. infusion).

## EXAMPLE 39

## Inhibition Of Clot-Bound Thrombin By Hirulog-8

It is known that thrombin can bind to a fibrin clot and, once absorbed, continue to cleave additional fibrinogen, resulting in growth of the clot. Clot-bound thrombin has been shown to be resistant to neutralization by the heparin-anti-thrombin III complex [P. J. Hogg et al., "Fibrin Monomer Protects Thrombin From Inactivation By Heparin-Antithrombin III: Implications for Heparin Efficacy", *Proc. Natl. Acad. Sci. USA*, 86, pp. 3619-23 (1989)], but may be inhibited by antithrombin III-independent inhibitors, such as PPACK, hirudin or Sulfo-Tyr<sub>63</sub>-N-acetyl-hirudin<sub>53-64</sub>. Clot-bound thrombin is believed to play a role in thrombus accretion and in rethrombosis following thrombolytic therapy.

We compared the abilities of Hirulog-8 and heparin to inhibit clot-bound thrombin using the method described by J. I. Weitz et al., "Clot-Bound Thrombin Is

Protected from Heparin Inhibition—A Potential Mechanism for Retrombosis After Lytic Therapy", *Blood*, 74, p. 136a, (1989).

A clinically relevant dose of heparin (0.1 U/ml) inhibited fibrinopeptide A (FPA) release catalyzed by soluble thrombin by approximately 70%. However, a similar dose had no effect on FPA release catalyzed by clot-bound thrombin. In contrast, Hirulog-8 had an almost identical inhibitory effect on FPA release catalyzed by either soluble or clot-bound thrombin (FIG. 9).

This study indicated that Hirulog-8, as well as the other thrombin inhibitors of this invention, are more effective than current drugs in blocking thrombus accretion, increasing the rate of thrombolytic reperfusion and preventing rethrombosis following thrombolytic treatment.

## EXAMPLE 40

## The Effect Of Hirulog-8 On In Vivo Platelet-Dependent Thrombosis

Because baboons are known to have similar coagulation and hemostatic responses as man, we utilized a baboon model to determine the ability of Hirulog-8 to interrupt platelet-dependent thrombosis. Specifically, we placed various thrombogenic surfaces in a chronic exteriorized AV shunt in the animals. These surfaces included segments of endarterectomized baboon aorta, collagen-coated silastic tubing, collagen-coated Gortex and Dacron vascular graft. Following placement in the shunt, the surfaces were exposed to native flowing blood to elicit thrombus formation. We measured the formation of thrombi over a period of 60 minutes by monitoring the deposition of platelets on the thrombogenic surface. These measurements were recorded by external gamma-camera imaging following pre-infusion of the test animal with autologous <sup>111</sup>In-labeled platelets.

Placement of a 5 cm segment of endarterectomized baboon aorta in the exteriorized AV shunt in the absence of Hirulog-8 led to a time-dependent deposition of platelets. This accumulation reached a plateau in 60 minutes, at which time a total of  $14.0 \pm 5.0 \times 10^8$  platelets/cm were found deposited on the endarterectomized segment. Doses of 0.002 and 0.01 mg/kg/min of Hirulog-8 inhibited platelet deposition by 53.6% and 75.5%, respectively. These results are depicted in FIG. 10. The ED<sub>50</sub> for Hirulog-8 (the dosage required to reduce platelet deposition by 50%) in this model system was 0.002 mg/kg/min.

When we placed 5 cm segments of collagen-coated silastic tubing in the AV shunt,  $12.6 \pm 5.0 \times 10^8$  platelets/cm were deposited after 60 minutes in the absence of Hirulog-8. Administration of Hirulog-8 resulted in a dose-dependent inhibition of platelet deposition. A dosage of 0.04 mg/kg/min Hirulog-8 completely inhibited platelet deposition. The results of this portion of the experiment are depicted in FIG. 11. The ED<sub>50</sub> of Hirulog-8 in this system was calculated to be 0.004 mg/kg/min.

Both collagen-coated Gortex or Dacron vascular grafts are known to be more thrombogenic than silastic tubing. A total of  $35.0 \pm 6.0 \times 10^8$  platelets/cm were deposited on the Gortex following a 60 minute exposure to native blood in the absence of Hirulog-8. We found that Hirulog-8 once again demonstrated a dose-dependent antithrombotic effect towards platelet thrombus

formation. A dose of 0.2 mg/kg/min Hirulog-8 caused a 62.9% inhibition of platelet deposition. The ED<sub>50</sub> for Hirulog-8 in the Gortex system Was 0.135 mg/kg/min. A similar result was obtained for Dacron grafts. The higher dosage of Hirulog-8 required to inhibit platelet deposition on these two surfaces was to be expected because of their high thrombogenic activity.

We also determined the effect of Hirulog-8 toward both high and low shear platelet-dependent thrombus formation using a dual-chamber device, which allowed for simultaneous measurements of both shear conditions. The device was comprised of a 2 cm segment of collagen-coated Gortex followed by 2 cm segments of expanded diameter. Using this device, thrombus formation was initiated by exposure of native flowing blood to a segment of the collagen-coated Gortex at high shear. This part of the experimental protocol simulated arterial-like conditions. When the blood entered the expanded diameter segments, low-shear, vortex conditions were maintained, thereby simulating venous thrombosis. In control animals, a total of  $9.3 \pm 2.3 \times 10^8$  and  $6.1 \pm 0.5 \times 10^8$  platelets/cm accumulated after 40 minutes in the high and low shear segments, respectively. Hirulog-8 inhibited platelet deposition in both high and low shear segments in a dose-dependent fashion. A dose of 0.05 mg/kg/min inhibited platelet accumulation by 42.6% at low shear and by 29.0% at high shear.

#### EXAMPLE 41

##### Comparison Of Hirulog-8 With Other Anti-Thrombotic Agents In Inhibiting Acute Platelet-Dependent Thrombosis

We examined the effects of heparin, low molecular-weight heparin and recombinant hirudin on platelet deposition in the collagen-coated silastic tubing/externalized AV shunt baboon model described in Example 40.

It has previously been shown that heparin administered as a 160 U/kg bolus injection followed by a 160 U/kg/hr infusion inhibited platelet deposition to a level of about 80% of that observed in a saline-treated control animal. Low molecular-weight heparin, given as a bolus injection of 53 anti-Xa U/kg, followed by infusion at 53 anti-Xa U/kg/hr, yielded similar results [Y. Cadroy, "In Vivo Mechanism of Thrombus Formation. Studies Using a Primate Model", *Doctoral Thesis*, L'Universite Paul Sabatier de Toulouse (Sciences) (1989)]. At equivalent molar doses (5 nmole/kg/min), recombinant hirudin [A. B. Kelly et al., "Recombinant Hirudin Interruption of Platelet-Dependent Thrombus Formation", *Circulation*, 78, p. II-311 (1988)] and Hirulog-8 both inhibited platelet-dependent thrombus formation by 60-70% as compared to the control. These results are depicted in FIG. 12. Other thrombin inhibitors have previously been tested in the baboon model [A. B. Kelley et al., "Comparison of Antithrombotic and Antihemostatic Effects Produced by Antithrombins in Primate Models of Arterial Thrombosis", *Thromb. and Hemostas.*, 62, p. 42 (1989)]. The reported ED<sub>50</sub> doses on collagen-coated surfaces for those agents, as well as our ED<sub>50</sub> determinations, are summarized in the table below:

| Agent       | ED <sub>50</sub> |
|-------------|------------------|
| FPACK       | 75 nmole/kg/min  |
| Gyki 14,451 | 500              |
| Benzamidine | 3000             |

-continued

| Agent              | ED <sub>50</sub> |
|--------------------|------------------|
| Argipidine (MD805) | 550              |
| rec-Hirudin        | <5               |
| Hirulog-8          | <5               |

#### EXAMPLE 42

##### The Effect Of Hirulog-8 On Fibrin Deposition

We measured the effect of Hirulog-8 on the deposition of fibrin(ogen) in the thrombi formed in the endarterectomized aortic and collagen-coated silastic tubing segments model systems described in Example 40. Fibrin deposition was determined by measurement of <sup>125</sup>I-fibrin(ogen) 30 days after completion of the <sup>111</sup>In-platelet assay described above. This allowed the <sup>111</sup>In label to decay to a non-interfering level.

FIG. 13 demonstrates that in the absence of Hirulog-8, 0.17 mg/cm fibrin was deposited on the collagen-coated tubing following the 60 minute exposure to flowing blood described in Example 40. Doses of 0.01 and 0.04 mg/kg/min completely inhibited fibrin(ogen) deposition. Similar results were obtained with the endarterectomized aortic segment model. These results show that the thrombin inhibitors of this invention are effective in reducing fibrin(ogen) deposition associated with a thrombus, as well as blocking acute platelet-dependent thrombus formation.

#### EXAMPLE 43

##### Measurement Of Clearance Times For Hirulog-8

We used a baboon model to determine Hirulog-8 clearance times following intravenous infusion, single bolus intravenous injection and single bolus subcutaneous injection. APTT assays, performed as described in Example 11, were used to monitor clearance times.

We administered various dosages of Hirulog-8 (0.002-0.2 mg/kg/min) to baboons via systemic intravenous infusion, over a period of 60 minutes. APTT was measured following the 60 minute infusion and at various time intervals thereafter. We determined the average half-time for Hirulog-8 clearance to be  $9.2 \pm 3.3$  minutes.

To determine clearance time after a single bolus injection, we injected baboons with a dose of 1 mg/kg Hirulog-8 intravenously or subcutaneously. APTT measurements were taken at various time intervals following injection. FIG. 14 demonstrates that APTT increased to a peak of 570% of control value 2 minutes after intravenous injection. The half-life of Hirulog-8 following intravenous injection was 14 minutes.

FIG. 15 demonstrates that at the earliest time point following subcutaneous injection of Hirulog-8 (i.e. 15 minutes), APTT was increased to approximately 200% of control. Clearance via the subcutaneous route was prolonged to a half-time of 340 minutes. Hirulog-8 administered subcutaneously was found to be quantitatively adsorbed.

#### EXAMPLE 44

##### Effect Of Hirulog-8 In Baboon Models Of Disseminated Intravascular Coagulation

We induced septicemia in baboons by injection of a lethal dose of live *E. coli* according to the method described by F. B. Taylor et al. *J. Clin. Invest.*, 79, pp. 918-25 (1987). Hirulog-8 was infused at a dose of 0.08

mg/kg/hr from 15 minutes prior to the injection of *E. coli* to up to 6 hours following injection. In the absence of Hirulog-8, *E. coli*-induced septic shock led to a marked decline in neutrophil count, blood pressure and hematocrit. Control animals displayed a reduction in hematocrit to 70% of baseline and a drop in blood pressure to 20% of baseline after 3 hours. Administration of Hirulog-8 completely attenuated hematocrit drop and limited the peak drop in blood pressure to 60% of baseline.

Despite attenuation of DIC by Hirulog-8, the lethal infusion of *E. coli* still resulted in morbidity. An autopsy of both control and Hirulog-8-treated animals revealed massive tissue edema in both groups. However, only the control group displayed intravascular thrombosis. The results of the autopsies show that interruption of the coagulopathic stage of septicemia alone is not sufficient to prevent morbidity due to septic shock.

#### EXAMPLE 45

##### Effect Of A Combination Of tPA And Hirulog-8 On Thrombolysis

To determine the effect of Hirulog-8 on potentiating tPA-induced thrombolysis, we used a rat model for arterial thrombolysis. In this model, an experimental thrombus was formed in the abdominal aorta following balloon catheter denudation and high grade (95%) stenosis. Blood flow and blood pressure were recorded distal to the site of injury and stenosis. We randomized the rats to received tPA (1.0 mg/kg bolus followed by 1.0 mg/kg/hr infusion) together with one of the following: saline, heparin [10 U/kg bolus, followed by 1.5 U/kg/min infusion), recombinant hirudin (1.0 mg/kg bolus followed by 0.02 mg/kg/hr infusion) or Hirulog-8 (0.6 mg/kg bolus followed by 0.02 mg/kg/hr infusion). The antithrombotic agent or saline was administered concomitant with tPA and for an additional 50 minutes following the end of tPA infusion.

FIG. 16 depicts the results of these experiments. Animals treated with tPA +saline exhibited reperfusion times of 16.2 minutes. Heparin reduced reperfusion time to 12.2 minutes, while recombinant hirudin reduced it to 13.0 minutes. Neither of these decreases were statistically significant ( $p < 0.05$ ). The combination of Hirulog-8 with tPA significantly reduced reperfusion time to 4.4 minutes ( $p < 0.01$ ), thus accelerating the fibrinolytic effect of tPA by a factor of four.

Heparin, hirudin and Hirulog-8 all significantly prevented reocclusion as compared to saline-treated controls (FIG. 17). Each of these agents also prolonged APTT to values of 600%, 500% and 400%, respectively, over control values (FIG. 18). Finally, each of heparin, hirudin and Hirulog-8 increased the time of vessel patency to values of 80.2%, 82% and 93.1%, respectively (control=43.6%) (FIG. 19). These results demonstrate that the thrombin inhibitors of the present invention are superior to other known anti-thrombotics in increasing the efficacy of tPA.

#### EXAMPLE 46

##### Effect Of Hirulog-8 And Other Antithrombotic Agents On Bleeding Times In Baboons

We employed the template bleeding time measurement to examine the effects of Hirulog-8 on hemostasis.

Various dosages of Hirulog-8 (0.002 to 0.2 mg/kg/min) were analyzed for their effect on bleeding time. Doses of 0.002 to 0.04 mg/kg/min caused no significant increase in bleeding times. The results of this

experiment are depicted in FIG. 20. At a dose of 0.1 mg/kg/min, Hirulog-8 causes a two-fold increase in bleeding time over control values. At 0.2 mg/kg/min Hirulog-8, bleeding times increased to 3 times control values. These results clearly demonstrate that dosages required to inhibit platelet-dependent thrombosis (0.002 mg/kg/min; see Example 40) do not cause a significant effect on hemostatic plug formation.

We also tested the effects of a variety of other agents on template bleeding time in the baboon, as well as on systemic anticoagulant effects (as measured by APTT). These results are summarized below:

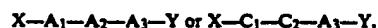
| Agent              | APTT<br>(% control) | Bleeding<br>Time (min) |
|--------------------|---------------------|------------------------|
| Hirulog-8          | 300.6               | 5.5                    |
| rec-hirudin        | 393.9               | 12.1                   |
| PFACK              | 287.9               | 12                     |
| Gyki 14,451        | 439.4               | 14                     |
| Benzamidine        | 757.6               | 10                     |
| Argipidine (MD805) | >900                | >30                    |
| Heparin            | 706.1               | 10                     |

While we have hereinbefore presented a number of embodiments of this invention, it is apparent that our basic construction can be altered to provide other embodiments which utilize the molecules, compositions, combinations and methods of this invention. Therefore, it will be appreciated that the scope of this invention is to be defined by the claims appended hereto rather than the specific embodiments which have been presented hereinbefore by way of example.

We claim:

1. A thrombin inhibitor consisting of:

a) a catalytic site-directed moiety that binds to and inhibits the active site of thrombin; wherein said catalytic site-directed moiety is selected from serine proteinase inhibitors, heterocyclic protease inhibitors, thrombin-specific inhibitors, transition state analogues, benzamidine, DAPA, NAPAP, argipidine, or moieties of the formulae:



wherein X is hydrogen or is characterized by a backbone chain consisting of from 1 to 35 atoms;  $A_1$  is Arg, Lys or Orn;  $A_2$  is a non-amide bond;  $A_3$  is characterized by a backbone chain consisting of from 1 to 9 atoms, Y is a bond;  $C_1$  is a derivative of Arg, Lys or Orn comprising a carboxylate moiety that is reduced, or displaced from the  $\alpha$ -carbon by a moiety characterized by a backbone chain consisting of from 1 to 10 atoms; and  $C_2$  is a non-cleavable bond;

b) a linker moiety characterized by a backbone chain having a calculated length of between about 18 Å and about 42 Å; and

c) an anion binding exosite associating moiety; said catalytic site-directed moiety being bound to said linker moiety and said linker moiety being bound to said anion binding exosite associating moiety; wherein said inhibitor is capable of simultaneously binding to the catalytic site and the anion binding exosite of thrombin.

2. The thrombin inhibitor according to claim 1, wherein said anion binding exosite moiety consists of the formula:



wherein W is a bond; B<sub>1</sub> is an anionic amino acid; B<sub>2</sub> is any amino acid; B<sub>3</sub> is Ile, Val, Leu, Nle or Phe; B<sub>4</sub> is Pro, Hyp, 3,4-dehydroPro, thiazolidine-4-carboxylate, Sar, any N-methyl amino acid or D-Ala; B<sub>5</sub> is an anionic amino acid; B<sub>6</sub> is an anionic amino acid; B<sub>7</sub> is a lipophilic amino acid selected from the group consisting Tyr, Trp, Phe, Leu, Nle, Ile, Val, Cha, Pro, or a dipeptide consisting of one of these lipophilic amino acids and any amino acid; B<sub>8</sub> is a bond or a peptide containing from one to five residues of any amino acid; and Z is a carboxy terminal residue selected from OH, C<sub>1</sub>-C<sub>6</sub> alkoxy, amino, mono- or di-(C<sub>1</sub>-C<sub>4</sub>) alkyl substituted amino or benzylamino.

3. The thrombin inhibitor according to claim 2, wherein B<sub>1</sub> is Glu; B<sub>2</sub> is Glu; B<sub>3</sub> is Ile; B<sub>4</sub> is Pro; B<sub>5</sub> is Glu; B<sub>6</sub> is Glu; B<sub>7</sub> is Tyr-Leu, Tyr(SO<sub>3</sub>H)-Leu, Tyr(OSO<sub>3</sub>H)-Leu or (3-, 5-diiodoTyr)-Leu; B<sub>8</sub> is a bond; and Z is OH.

4. The thrombin inhibitor according to claim 1, wherein said backbone chain of said linker moiety consists of any combination of atoms selected from the group consisting of carbon, nitrogen, sulfur and oxygen.

5. The thrombin inhibitor according to claim 4, wherein said linker comprises the amino acid sequence: Gly-Gly-Gly-Asn-Gly-Asp-Phe.

6. The thrombin inhibitor according to claim 1, wherein said catalytic site-directed moiety binds reversibly to and is slowly cleaved by thrombin.

7. The thrombin inhibitor according to claim 1, wherein said catalytic site-directed moiety binds reversibly to and cannot be cleaved by thrombin.

8. The thrombin inhibitor according to claim 1, wherein said catalytic site-directed moiety binds irreversibly to thrombin.

9. The thrombin inhibitor according to claim 1, wherein X is D-Phe-Pro; A<sub>1</sub> is Arg; and A<sub>3</sub> is D-Pro, Pro, or Sar.

10. The thrombin inhibitor according to claim 9, wherein said thrombin inhibitor is selected from the group consisting of Hirulog-8 and Hirulog-12.

11. The thrombin inhibitor according to claim 1, wherein X is N-acetyl-Gly-Asp-Phe-Leu-Ala-Glu-Gly-Gly-Gly-Val; A<sub>1</sub> is Arg; and A<sub>3</sub> is Pro.

12. The thrombin inhibitor according to claim 1, selected from the group consisting of Hirulog-18a and Hirulog-18b.

13. A pharmaceutically acceptable composition comprising an amount of a thrombin inhibitor according to claim 1, effective for inhibiting a thrombin-mediated function in a patient or in extracorporeal blood and a pharmaceutically acceptable carrier.

14. The pharmaceutically acceptable composition according to claim 13, wherein said pharmaceutically effective amount is between about 1 µg/kg body weight/day to about 5 mg/kg body weight/day.

15. The pharmaceutically acceptable composition according to claim 14, wherein said pharmaceutically effective amount is between about 10 µg/kg body weight/day to about 500 µg/kg body weight/day.

16. A composition for coating the surface of an invasive device to be inserted into a patient, wherein said composition comprises a suitable buffer and at least one thrombin inhibitor according to claim 1.

17. A pharmaceutically acceptable combination for treating or preventing thrombotic disease in a patient comprising:

- a) a thrombin inhibitor according to claim 1;
- b) a thrombolytic agent; and
- c) a pharmaceutically acceptable carrier.

18. The pharmaceutically acceptable combination according to claim 17, wherein said thrombin inhibitor is Hirulog-8 and said thrombolytic agent is tPA.

19. The combination according to claim 17, wherein the daily dosage of said thrombin inhibitor is between about 1 µg/kg body weight and about 5 mg/kg body weight and wherein the daily dosage of said thrombolytic agent is between about 10% and about 80% of the conventional dosage range of said thrombolytic agent.

20. The combination according to claim 19, wherein the daily dosage of said thrombin inhibitor is between about 10 µg/kg body weight and about 500 µg/kg body weight and wherein the daily dosage of said thrombolytic agent is between about 10% and about 70% of the conventional dosage range of said thrombolytic agent.

21. The thrombin inhibitor according to claim 2, wherein said linker moiety is characterized by a backbone chain having a calculated length of between about 18 Å and 36 Å and is selected from the group consisting of an acyl group of from about 17 to 35 carbon atoms, an alkyl group of from about 17 to 35 backbone bonds, a peptide containing from about 6 to 12 residues of any amino acid and combinations thereof.

22. The thrombin inhibitor according to claim 3, wherein:

B<sub>7</sub> is Tyr(SO<sub>3</sub>H)-Leu or Tyr(OSO<sub>3</sub>H)-Leu;

the linker is a peptide of from about 8 to 10 amino acids, the amino acid of said linker which is closest to the anion binding exosite moiety being Phe; and the catalytic site-directed moiety consists of the formula:



wherein X is selected from the group consisting of D-Phe-Pro and tosyl-Gly; and R is selected from group consisting of Pro, Sar and N-methyl Ala.

23. The thrombin inhibitor according to claim 11, wherein said thrombin inhibitor is Hirulog-33.

24. The composition according to any one of claims 13-15 or 16, wherein said thrombin inhibitor is Hirulog-8.

25. The combination according to any one of claims 17, 19 or 20, wherein said thrombin inhibitor is Hirulog-8.

26. A method for decreasing the dose of a thrombolytic agent effective to establish reperfusion or to delay reocclusion in a patient, said method comprising the step of administering said thrombolytic agent to said patient as part of a combination according to claim 18.

27. A method for decreasing the reperfusion time and increasing the reocclusion time in a patient treated with a thrombolytic agent, said method comprising the step of administering to said patient a composition according to claim 13, wherein said composition is administered to said patient during the time period ranging from about 5 hours prior to about 5 hours following the treatment of said patient with said thrombolytic agent.

28. The method according to claim 27, wherein said composition is administered to said patient during the time period ranging from about 2 hours prior to about 2 hours following said treatment of said patient with said thrombolytic agent.

29. A method of inhibiting thrombin's catalytic and receptor-mediated functions in a patient or in extracor-

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poreal blood comprising the step of treating said patient or said extracorporeal blood with a composition according to claim 13.

30. The method according to claim 29, wherein said method is used for treating or preventing a thrombotic disease in a patient.

31. The method according to claim 29, wherein said method is used for treating or preventing thrombin-induced inflammation in a patient.

32. The method according to claim 31, wherein said inflammation is caused by a disease selected from the group consisting of adult respiratory distress syndrome, septic shock, septicemia and reperfusion damage.

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33. The method according to claim 29, wherein said method is used to inhibit thrombus accretion in a patient caused by clot-bound thrombin.

34. The method according to claim 29, wherein said method is used for inhibiting platelet-dependent thrombosis in a patient.

35. The method according to claim 29, wherein said method is used for treating or preventing disseminated intravascular coagulation in a patient.

36. The method according to any one of claims 26-28 or 29-35, wherein said patient is a human.

37. The method according to any one of claims 26-28 or 29-35, wherein said thrombin inhibitor employed in said composition or combination is Hirulog-8.

\* \* \* \* \*

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

Exhibit E

PATENT NO. : 5,196,404 Page 1 of 5  
DATED : March 23, 1993  
INVENTOR(S) : John M. Maraganore et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- Col. 2, line 20, "(i985)" should be (1985).
- Col. 2, line 59, "th" should be "the".
- Col. 3, line 54, "ha" should be -- has --.
- Col. 6, line 39, "(C<sub>1</sub>-C<sub>4</sub>)" should be -- (C<sub>1</sub>-C<sub>4</sub>).
- Col. 8, line 63, "A," should be -- A<sub>3</sub> --.
- Col. 9, line 58, "B," should be -- B<sub>3</sub> --.
- Col. 10, line 10, "B<sub>7</sub>" should be -- B<sub>3</sub> --.
- Col. 10, line 25, after "prothrombin", insert  
-- fragment --.
- Col. 11, line 7, "solution phase" should be  
-- solution-phase --.
- Col. 17, lines 7, 8, 12, 46, 50, 51, 55 and 57,  
"hirudin<sub>53-64</sub>" should be -- hirudin<sub>54-64</sub> --.
- Col. 17, line 41, "<sup>35</sup>S]" should be -- [<sup>35</sup>S] --.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,196,404  
DATED : March 23, 1993  
INVENTOR(S) : John M. Maraganore et al.

Page 2 of 5

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- Col. 17, line 63, "A," should be -- A<sub>2</sub> --.
- Col. 18, line 3, "C<sub>1</sub>" should be -- C<sub>8</sub> --.
- Col. 19, line 26, delete "," after "and".
- Col. 19, line 61, "7-benzyl" should be -- -benzyl --.
- Col. 19, lines 63-64, "BOC-L-phenylalanine" should be  
-- BOC-D-phenylalanine --.
- Col. 20, line 34, "Arg-L" should be -- Arg-D --.
- Col. 20, line 54, "Hirulog 11" should be -- Hirulog-11 --.
- Col. 20, line 63, "Hirulog 12" should be -- Hirulog-12 --.
- Col. 21, line 61, "K<sub>1</sub>" should be -- K<sub>i</sub> --.
- Col. 22, lines 16, 17, 44, "K<sub>1</sub>" should be -- K<sub>i</sub> --.
- Col. 22, line 29, "studies" should be -- studied --.
- Col. 22, line 45, "K" should be -- K<sub>i</sub> --.
- Col. 23, lines 2 and 3, "K<sub>1</sub>" should be -- K<sub>i</sub> --.
- Col. 23, line 14, "Hirulog-8, K<sub>1</sub>, nM" should be -- Hirulog-  
8, K<sub>i</sub>, nM --.
- Col. 25, line 15, "1 28" should be -- 1-28 --.
- Col. 25, line 22, "i minute" should be -- 1 minute --.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,196,404  
DATED : March 23, 1993  
INVENTOR(S) : John M. Maraganore et al.

Page 3 of 5

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- Col. 25, line 29, "Bot" should be -- Both --.
- Col. 26, lines 1-68 should be single spaced.
- Col. 26, line 52, "EXAMPLE 2" should be -- EXAMPLE 21 --.
- Col. 27, line 51, "AroinineDiazomethvlketone" should be -- ArginineDiazomethylketone --.
- Col. 28, line 29, "148" should be -- 1.48 --.
- Col. 29, line 1, "NHOH" should be -- NH<sub>4</sub>OH --.
- Col. 29, lines 1-68 should be single spaced.
- Col. 29, line 33, "Inc," should be -- Inc., --
- Col. 30, line 68, after "20", insert -- % --.
- Col. 31, in the second line of chemical structures, first structure, "NH" should be -- NH<sub>2</sub> --.
- Col. 31, line 1, "EXAMPLE 23" should be at line 49.
- Col. 33, line 19, "N<sup>9</sup>" should be -- N<sup>9</sup> --.
- Col. 33, line 20, "Aroininol" should be -- Argininol --.
- Col. 33, line 30, "oaratol-" should be -- paratol- --.
- Col. 35, line 57, "conatining" should be -- containing --.
- Col. 36, line 34, "HPLC<sub>8</sub>" should be -- HPLC, --.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,196,404

Page 4 of 5

DATED : March 23, 1993

INVENTOR(S) : John M. Maraganore et al.

It is certified that error appears in the above-indentified patent and that said Letters Patent is hereby corrected as shown below:

Col. 37, line 67, "-Phe-Glu-Glu-Glu-Glu-Ile-" should be  
-- -Phe-Glu-Glu-Ile- --.

Col. 39, line 3, "i.45" should be -- 1.45 --.

Col. 40, line 46, "EXAMPLE 29" should be at line 63.

Col. 44, line 34, "concnetrations" should be  
-- concentrations --

Col. 44, line 38, "of" should be -- or --.

Col. 44, line 39, "HPL" should be -- HPLC --.

Col. 45, lines 43 and 68, " $K_1$ " should be --  $K_i$  --.

Col. 46, line 31, " $K_1$ " should be --  $K_i$  --.

Col. 47, line 4, "Pentagegtide" should be  
-- Pentapeptide --.

Col. 52, line 36, "catalystic" should be -- catalytic --.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,196,404

Page 5 of 5

DATED : March 23, 1993

INVENTOR(S) : John M. Maraganore et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 53, lines 6-7, "B<sub>1</sub> is an anionic amino acid" should be  
-- B<sub>5</sub> is an anionic amino acid --.

Col. 53, line 11, "form" should be -- from --.

Col. 53, line 17, "B<sub>1</sub> is Glu; B<sub>2</sub> is Ile; B<sub>3</sub> is Pro;" should be  
-- B<sub>2</sub> is Glu; B<sub>3</sub> is Ile; B<sub>4</sub> is Pro; --.

Signed and Sealed this

Eighteenth Day of October, 1994

Attest:



BRUCE LEHMAN

Attesting Officer

Commissioner of Patents and Trademarks

Exhibit F



US005196404B1

# REEXAMINATION CERTIFICATE (2994th)

**United States Patent** [19]

[11] **B1 5,196,404**

**Maraganore et al.**

[45] **Certificate Issued Sep. 10, 1996**

[54] **INHIBITORS OF THROMBIN**

[75] **Inventors:** John M. Maraganore, Concord, Mass.;  
John W. Fenton, II, Malden Bridge;  
Toni Kline, New York, both of N.Y.

[73] **Assignees:** Biogen, Inc., Cambridge, Mass.; Health  
Research, Inc., Albany, N.Y.

**Reexamination Request:**

No. 90/003,511, Jul. 27, 1994

**Reexamination Certificate for:**

Patent No.: **5,196,404**  
Issued: **Mar. 23, 1993**  
Appl. No.: **549,388**  
Filed: **Jul. 6, 1990**

Certificate of Correction issued Oct. 18, 1994.

**Related U.S. Application Data**

[63] Continuation-in-part of Ser. No. 395,482, Aug. 18, 1989,  
abandoned.

[51] **Int. Cl.<sup>6</sup>** ..... **A61K 38/02; A61K 38/00;**  
**C07K 7/08; C07K 14/00**

[52] **U.S. Cl.** ..... **514/13; 514/12; 514/14;**  
**530/326; 530/327; 530/325; 530/324; 623/11**

[58] **Field of Search** ..... **514/12, 13, 14;**  
**530/326, 327, 328, 325, 324; 623/11**

[56] **References Cited**

**PUBLICATIONS**

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Wiksell International 1984).

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cific inhibitor," *FEBS Lett.* 164: 307-313 (1983).

DiMaio et al., "Bifunctional Thrombin Inhibitors Based on  
the Sequence of Hirudin<sup>45-65</sup>," *J. Biol. Chem.* 265:  
21698-21703 (1990).

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ity Label for Thrombin," *Thromb. Res.* 14: 969-973 (1979).

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of hirudin using synthetic unsulfated  
N<sup>α</sup>-acetyl-hirudin<sub>43-65</sub>," *FEBS Lett.* 211: 10-16 (1987).

Maraganore et al., "Anticoagulant Activity of Synthetic  
Hirudin Peptides," *J. Biol. Chem.* 264: 8692-8698 (1989).

Markwardt, "Pharmacology of selective thrombin inhibi-  
tors," *Nouv. Rev. Fr. Hematol.* 30: 161-165 (1988) (with  
attached table of contents).

*Primary Examiner*—Avis M. Davenport

[57] **ABSTRACT**

This invention relates to novel biologically active molecules  
which bind to and inhibit thrombin. Specifically, these  
molecules are characterized by a thrombin anion-binding  
exosite association moiety (ABEAM); a linker portion of at  
least 18 Å in length; and a thrombin catalytic site-directed  
moiety (CSDM). This invention also relates to composi-  
tions, combinations and methods which employ these mol-  
ecules for therapeutic, prophylactic and diagnostic purposes.

B1 5,196,404

1

**REEXAMINATION CERTIFICATE  
ISSUED UNDER 35 U.S.C. 307**

NO AMENDMENTS HAVE BEEN MADE TO  
THE PATENT

2

AS A RESULT OF REEXAMINATION, IT HAS BEEN  
DETERMINED THAT:

The patentability of claims 1-37 is confirmed.

\* \* \* \* \*

002



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## MAINTENANCE FEE STATEMENT

The data shown below is from the records of the Patent and Trademark Office. If the maintenance fees and any necessary surcharges have been timely paid for the patents listed below, the notation "PAID" will appear in column 10, "status" below.

If a maintenance fee payment is defective, the reason is indicated by code in column 10, "status" below. An explanation of the codes appears on the reverse of the Maintenance Fee Statement. **TIMELY CORRECTION IS REQUIRED IN ORDER TO AVOID EXPIRATION OF THE PATENT. NOTE 37 CFR 1.377. THE PAYMENT(S) WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION. IF PAYMENT OR CORRECTION IS SUBMITTED DURING THE GRACE PERIOD, A SURCHARGE IS ALSO REQUIRED. NOTE 37 CFR 1.20(k) and (l).**

If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. **THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION.**

| ITM<br>NDR | PATENT<br>NUMBER | FEE<br>CDE | FEE<br>AMOUNT | SUR<br>CHARGE | SERIAL<br>NUMBER | PATENT<br>DATE | FILE<br>DATE | PAY 6ML<br>YR ENT | STAT |
|------------|------------------|------------|---------------|---------------|------------------|----------------|--------------|-------------------|------|
| 1          | 5,196,404        | 183        | 990           | ----          | 07/349,388       | 03/23/93       | 07/06/90     | 04 NO             | PAID |

If the "status" column for a patent number listed above does not indicate "PAID" a code or an asterisk (\*) will appear in the "status" column. Where an asterisk (\*) appears, the codes are set out below by the related item number. An explanation of the codes indicated in the "status" column and as set out below by the related item number appears on the reverse of the maintenance fee statement.

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If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION.

| ITEM<br>NBR | PATENT<br>NUMBER | FEE<br>CDE | FEE<br>AMT | SUR<br>CHARGE | SERIAL<br>NUMBER | PATENT<br>DATE | FILE<br>DATE | PAY SML<br>YR ENT | STAT |
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| 1           | 5,196,404        | 184        | 1900       | ----          | 07/549,388       | 03/23/93       | 07/06/90     | 08 NO             | PAID |

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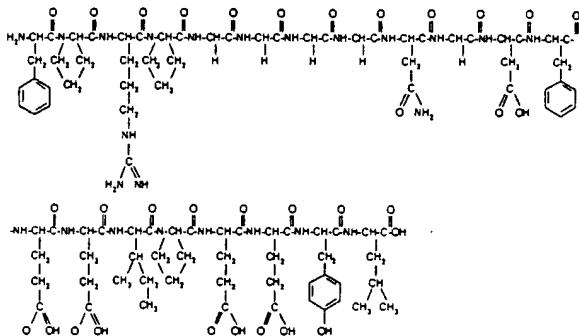
ATTY DKT  
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Angiomax™ (bivalirudin) is a specific and reversible direct thrombin inhibitor. The active substance is a synthetic, 20 amino acid peptide. The chemical name is D-phenylalanyl-L-prolyl-L-arginyl-L-prolyl-glycyl-glycyl-L-tyrosyl-L-leucine trifluoroacetate (salt) hydrate (Figure 1). The molecular weight of Angiomax is 2180 daltons (anhydrous free base peptide). Angiomax is supplied in single-use vials as a white lyophilized cake, which is sterile. Each vial contains 250 mg bivalirudin, 125 mg mannitol, and sodium hydroxide to adjust the pH to 5 to 6 (equivalent of approximately 12.5 mg sodium). When reconstituted with Sterile Water for Injection the product yields a clear to opalescent, colorless to slightly yellow solution, pH 5-6.

Figure 1. Structural Formula for Bivalirudin



## CLINICAL PHARMACOLOGY

### General:

Angiomax directly inhibits thrombin by specifically binding both to the catalytic site and to the anion-binding exosite of circulating and clot-bound thrombin. Thrombin is a serine proteinase that plays a central role in the thrombotic process, acting to cleave fibrinogen into fibrin monomers and to activate Factor XIII to Factor XIIIa, allowing fibrin to develop a covalently cross-linked framework which stabilizes the thrombus; thrombin also activates Factors V and VIII, promoting further thrombin generation, and activates platelets, stimulating aggregation and granule release. The binding of Angiomax to thrombin is reversible as thrombin slowly cleaves the Angiomax-Arg-Pro, bond, resulting in recovery of thrombin active site functions.

In *in vitro* studies, bivalirudin inhibited both soluble (free) and clot-bound thrombin, was not neutralized by products of the platelet release reaction, and prolonged the activated partial thromboplastin time (aPTT), thrombin time (TT), and prothrombin time (PT) of normal human plasma in a concentration-dependent manner. The clinical relevance of these findings is unknown.

### Pharmacokinetics:

Bivalirudin exhibits linear pharmacokinetics following intravenous (IV) administration to patients undergoing percutaneous transluminal coronary angioplasty (PTCA). In these patients, a mean steady state bivalirudin concentration of  $12.3 \pm 1.7$  mcg/mL is achieved following an IV bolus of 1 mg/kg and a 4-hour 2.5 mg/kg/h IV infusion. Bivalirudin is cleared from plasma by a combination of renal mechanisms and proteolytic cleavage, with a half-life in patients with normal renal function of 25 minutes. The disposition of bivalirudin was studied in PTCA patients with mild and moderate renal impairment and in patients with severe renal impairment. Drug elimination was related to glomerular filtration rate (GFR). Total body clearance was similar for patients with normal renal function and with mild renal impairment (80-99 mL/min). Clearance was reduced approximately 20% in patients with moderate and severe renal impairment and was reduced approximately 80% in dialysis-dependent patients. See Table 1 for pharmacokinetic parameters and dose reduction recommendations. For patients with renal impairment the activated clotting time (ACT) should be monitored. Bivalirudin is hemodialyzable. Approximately 25% is cleared by hemodialysis.

Bivalirudin does not bind to plasma proteins (other than thrombin) or to red blood cells.

Table 1. PK parameters and dose adjustments in renal impairment

| Renal Function (GFR, mL/min)               | Clearance (mL/min/kg) | Half-life (minutes) | % reduction in infusion dose |
|--|-----------------------|---------------------|------------------------------|
| Normal renal function ( $\geq 90$ mL/min)  | 3.4                   | 25                  | 0                            |
| Mild renal impairment (60-90 mL/min)       | 3.4                   | 22                  | 0                            |
| Moderate renal impairment (30-59 mL/min)   | 2.7                   | 34                  | 20                           |
| Severe renal impairment (10-29 mL/min)     | 2.8                   | 57                  | 60                           |
| Dialysis-dependent patients (off dialysis) | 1.0                   | 3.5 hours           | 90                           |

\* The ACT should be monitored in renally-impaired patients

### Pharmacodynamics:

In healthy volunteers and patients (with  $\geq 70\%$  vessel occlusion undergoing routine angioplasty), bivalirudin exhibits linear dose- and concentration-dependent anticoagulant activity as evidenced by prolongation of the ACT, aPTT, PT, and TT. Intravenous administration of Angiomax produces an immediate anticoagulant effect. Coagulation times return to baseline approximately 1 hour following cessation of Angiomax administration.

In 291 patients with  $\geq 70\%$  vessel occlusion undergoing routine angioplasty, a positive correlation was observed between the dose of Angiomax and the proportion of patients achieving ACT values of 300 sec or 350 sec. At an Angiomax dose of 1.0 mg/kg IV bolus plus 2.5 mg/kg/h IV infusion for 4 hours, followed by 0.2 mg/kg/h, all patients reached maximal ACT values  $> 300$  sec.

### Clinical Trials:

Angiomax was evaluated in patients with unstable angina undergoing PTCA in 2 randomized, double-blind, multicenter studies with identical protocols. Patients must have had unstable angina defined as: (1) a new onset of severe or accelerated angina or rest pain within the month prior to study entry or (2) angina or ischemic rest pain which developed between four hours and two weeks after an acute myocardial infarction (MI). Overall, 4312 patients with unstable angina, including 741 (17%) patients with post-MI angina, were treated in a 1:1 randomized fashion with Angiomax or heparin. Patients ranged in age from 29-90 (median 63) years, their weight was a median of 80 kg (39-120kg), 68% were male, and 91% were Caucasian. Twenty-three percent of patients were treated with heparin within one hour prior to randomization. All patients were administered aspirin 300-325 mg prior to PTCA and daily thereafter. Patients randomized to Angiomax were started on an intravenous infusion of Angiomax (2.5 mg/kg/h). Within 5 minutes after starting the infusion, and prior to PTCA, a 1 mg/kg loading dose was administered as an intravenous bolus. The infusion was continued for 4 hours, then the infusion was changed under double-blinded conditions to Angiomax (0.2 mg/kg/h) for up to an additional 20 hours (patients received this infusion for an average of 14 hours). The ACT was checked at 5 minutes and at 45 minutes following commencement. If on either occasion the ACT was  $< 350$  seconds, an additional double-blinded bolus of placebo was administered. The Angiomax dose was not titrated to ACT. Median ACT values were: ACT in seconds (5<sup>th</sup> percentile-95<sup>th</sup> percentile): 345 sec (240-595 seconds) at 5 min and 346 sec (range 269-583 sec) at 45 min after initiation of dosing. Patients randomized to heparin were given a loading dose (175 IU/kg) as an intravenous bolus 5-minutes before the planned procedure, with immediate commencement of an infusion of heparin (15 IU/kg/h). The infusion was continued for 4 hours. After 4-hours of infusion, the heparin infusion was changed under double-blinded conditions to heparin (15 IU/kg/hour) for up to 20 additional hours



# Angiomax™ (bivalirudin) FOR INJECTION

the ACT was  $< 350$  seconds, an additional double-blind bolus of heparin (60 IU/kg) was administered. Once the target ACT was achieved for heparin patients, no further ACT measurements were performed. All ACTs were determined with the Hemochron® device. The protocol allowed use of open-label heparin at the discretion of the investigator after discontinuation of blinded study medication, whether or not an endpoint event (procedural failure) had occurred. The use of open-label heparin was similar between Angiomax and heparin treatment groups (about 20% in both groups).

The studies were designed to demonstrate the safety and efficacy of Angiomax in patients undergoing PTCA as a treatment for unstable angina as compared with a control group of similar patients receiving heparin during and up to 24 hours after initiation of PTCA. The primary protocol endpoint was a composite endpoint called procedural failure, which included both clinical and angiographic element measured during hospitalization. The clinical elements were: the occurrence of death, MI, or urgent revascularization, adjudicated under double-blind conditions. The angiographic elements were: revascularization or abrupt vessel closure. The protocol-specified safety endpoint was major hemorrhage.

The median duration of hospitalization was 4 days for both the Angiomax treatment group and the heparin treatment group. The rates of procedural failure were similar in the Angiomax and heparin treatment groups. Study outcomes are shown in Table 2.

Table 2. Incidences of In-hospital Clinical Endpoints in Randomized Clinical Trials Occurring within 7 Days

|                                 | ANGIOMAX™<br>n=2151 | HEPARIN<br>n=2151 |
|---------------------------------|---------------------|-------------------|
| <b>All Patients</b>             |                     |                   |
| <b>Efficacy Endpoints:</b>      |                     |                   |
| Procedural Failure <sup>1</sup> | 7.9%                | 9.3%              |
| Death, MI, Revascularization    | 6.2%                | 7.9%              |
| Death                           | 0.2%                | 0.2%              |
| MI <sup>2</sup>                 | 3.3%                | 4.2%              |
| Revascularization <sup>3</sup>  | 4.2%                | 5.6%              |
| <b>Safety Endpoint:</b>         |                     |                   |
| Major Hemorrhage <sup>4</sup>   | 3.5%                | 9.3%              |

<sup>1</sup> The protocol specified primary endpoint (a composite of death or MI or clinical deterioration of cardiac origin requiring revascularization or placement of an aortic balloon pump or angiographic evidence of abrupt vessel closure).

<sup>2</sup> Defined as: Q-wave MI; CK-MB elevation  $\geq 2 \times$  ULN, new ST- or T-wave abnormality, and chest pain  $\geq 30$  mins; OR new LBBB with chest pain  $\geq 30$  mins and/or elevated CK-MB enzymes; OR elevated CK-MB and new ST- or T-wave abnormality without chest pain; OR elevated CK-MB.

<sup>3</sup> Defined as: any revascularization procedure, including angioplasty, CABG, stenting, or placement of an intra-aortic balloon pump.

<sup>4</sup> Defined as the occurrence of any of the following: intracranial bleeding, retroperitoneal bleeding, clinically overt bleeding with a decrease in hemoglobin  $\geq 3$  g/dL or leading to a transfusion of  $\geq 2$  units of blood.

## INDICATIONS AND USAGE

Angiomax is indicated for use as an anticoagulant in patients with unstable angina undergoing percutaneous transluminal coronary angioplasty (PTCA). Angiomax is intended for use with aspirin and has been studied only in patients receiving concomitant aspirin (see Clinical Trials and DOSAGE AND ADMINISTRATION).

The safety and effectiveness of Angiomax have not been established when used in conjunction with platelet inhibitors other than aspirin, such as glycoprotein IIb/IIIa inhibitors (see PRECAUTIONS, Drug Interactions).

The safety and effectiveness of Angiomax have not been established in patients with unstable angina who are not undergoing PTCA or in patients with other acute coronary syndromes.

## CONTRAINDICATIONS

Angiomax is contraindicated in patients with:

- active major bleeding;
- hypersensitivity to Angiomax or its components.

## WARNINGS

Angiomax is not intended for intramuscular administration. Although most bleeding associated with the use of Angiomax in PTCA occurs at the site of arterial puncture, hemorrhage can occur at any site. An unexplained fall in blood pressure or hematocrit, or any unexplained symptom, should lead to serious consideration of a hemorrhagic event and cessation of Angiomax administration.

There is no known antidote to Angiomax. Angiomax is hemodialyzable (see CLINICAL PHARMACOLOGY, Pharmacokinetics).

## PRECAUTIONS

### General:

Clinical trials have provided limited information for use of Angiomax in patients with heparin-induced thrombocytopenia/heparin-induced thrombocytopenia-thrombosis syndrome (HIT/HITS) undergoing PTCA. The number of HIT/HITS patients treated is inadequate to reliably assess efficacy and safety in these patients undergoing PTCA. Angiomax was administered to a small number of patients with history of HIT/HITS or active HIT/HITS and undergoing PTCA in an uncontrolled, open-label study, and in an emergency treatment program and appeared to provide adequate anticoagulation in these patients. In *in-vitro* studies, bivalirudin exhibited no platelet aggregation response against sera from patients with a history of HIT/HITS.

### Drug Interactions:

Bivalirudin does not exhibit binding to plasma proteins (other than thrombin) or red blood cells.

Drug-drug interaction studies have been conducted with the adenosine diphosphate (ADP) antagonist, ticlopidine, and the glycoprotein IIb/IIIa inhibitor, abciximab, and with low molecular weight heparin. Although data are limited, precluding conclusions regarding efficacy and safety, in combination with these agents, the results do not suggest any adverse interactions. No data are available regarding

Exhibit 7

| Angiomax™ (bivalirudin)<br>Regulatory Correspondence Log |          |                  |                  |   |
|--|----------|------------------|------------------|---|
| Submission Number  | Date     | To               | From             | Content   |
| IND 065  | 2/23/93  | Stephen B. Fredd | Michael Slater   | New site for the manufacture of Hirulog.  |
| IND 066  | 3/12/93  | Stephen B. Fredd | Michael Slater   | Protocol Amendment: C92-304-P "A Multicenter, Double-Blind, Randomized Study to Compare the Safety and Efficacy of BG8967 with Heparin in Patients with Unstable Angina Undergoing Percutaneous Transluminal Coronary Angioplasty (PTCA)" Incorporation of FDA recommendations. |
| IND 067  | 4/1/93   | Stephen B. Fredd | Michael Slater   | Follow-up IND Safety Report: C92-301-P "A Multicenter, Double-Blind, Randomized Study to Compare Four Doses of BG8967 in Patients with Unstable" (Patient Died).  |
| IND 068  | 4/16/93  | FDA              | Michael Slater   | Information received during the months of January, February, and March: Protocol Amendment (New Investigator); Other (Compassionate Use).   |
| IND 069  | 5/3/93   | Stephen B. Fredd | Michael Slater   | Meeting request: CMC  |
| IND 070  | 5/14/93  | Stephen B. Fredd | Michael Slater   | New vial and stopper  |
| IND 071  | 5/24/93  | Stephen B. Fredd | Michael Slater   | Revised plans to use BG8967 as a continuous intravenous infusion over a range of four hours to 3-5 days in the treatment of patients undergoing PTCA or suffering from unstable angina.   |
| IND 072  | 6/4/93   | Stephen B. Fredd | Michael Slater   | Response to FDA request of June 2 for information regarding CMC: solid phase synthesis; pilot scale solution phase synthesis; commercial scale solution phase synthesis.  |
| IND 073  | 6/7/93   | Stephen B. Fredd | Michael Slater   | Attendee list for June meeting regarding manufacturing.   |
| IND 074  | 6/25/93  | FDA              | Michael Slater   | Information received during the months of April, May and June 1993  |
| IND 075  | 7/8/93   | Stephen B. Fredd | Michael Slater   | Study C92-304-P; patient needs to undergo an atherectomy procedure  |
| IND 076  | 7/14/93  | Stephen B. Fredd | Michael Slater   | New Protocol C92-307-P "A Randomized, Double-Blind Comparison of Hirulog (BG8967) Plus Streptokinase versus Intravenous Heparin Plus Streptokinase in Suspected Acute Myocardial Infarction.  |
| IND 077  | 8/6/93   | Stephen B. Fredd | Michael Slater   | Eight copies of submission #76 for the August 19, 1993 meeting.   |
| IND 078  | 8/9/93   | Stephen B. Fredd | Michael Slater   | AE (ADR 93/01/BG8967) in study C92-304-P; groin hematoma leading to left occipital bleed, increase in bleed and ultimate left craniotomy.   |
| IND 079  | 8/17/93  | Stephen B. Fredd | Michael Slater   | AE (ADR 93/02/BG8967) in study C92-304-P "impending closure"  |
| IND 080  | 8/20/93  | Stephen B. Fredd | Michael Slater   | Alternative Manufacturing Site  |
| IND 081  | 8/23/93  | Stephen B. Fredd | Michael Slater   | Request for FDA meeting minutes (7 meetings from December 89 through August 93)   |
| IND 082  | 9/2/93   | FDA              | Michael Slater   | Information received during the months of July and August 1993; Protocol Amendment (New Investigator); Information Amendment (Clinical)   |
| IND 083  | 9/9/93   | Stephen B. Fredd | Michael Slater   | New Protocol C93-312-P entitled "Use of BG8967 as an Anticoagulant and Antithrombotic in Patients with Heparin-Associated Thrombocytopenia (HAT)"   |
| IND 084  | 9/9/93   | Stephen B. Fredd | Michael Slater   | Authorize Christopher Cannon, MD of Brigham & Women's Hospital, Boston to reference IND 35,756.   |
| IND 085  | 9/30/93  | Stephen B. Fredd | Michael Slater   | New Protocol C93-313-P entitled "A Pharmacokinetic and Pharmacodynamic Study of BG8967 in Subjects with Renal Insufficiency"  |
|  | 10/5/93  | Michael Slater   | Stephen B. Fredd | Meetings minutes from December 14, 1989, March 28, 1991, February 5, 1992, August 5, 1992, November 23, 1992 and June 9, 1993   |
| IND 086  | 10/13/93 | Stephen B. Fredd | Michael Slater   | Follow-up safety report ADR93/01/BG8967 and ADR 93/02/BG8967 from study C92-304-P   |
| IND 087  | 10/22/93 | Stephen B. Fredd | Michael Slater   | Request for meeting, scale-up of commercial CMC process.  |
| IND 088  | 11/3/93  | FDA              | Michael Slater   | Information received during the months of September and October 1993; Protocol Amendment (Change in Protocol); Information Amendment (CMC)  |
| IND 089  |          |                  |                  | Changed to IND submission #090.   |
| IND 090  | 11/19/93 | Stephen B. Fredd | Michael Slater   | Protocol Amendment C92-304-P entitled "A Multicenter, Double-Blind, Randomized Study to Compare the Safety and Efficacy of BG8967 with Heparin in Patients with Unstable Angina Undergoing Percutaneous Transluminal Coronary Angioplasty (PTCA)"                               |

| Angiomax™ (bivalirudin)<br>Regulatory Correspondence Log |          |                  |                |  |
|--|----------|------------------|----------------|--|
| Submission Number  | Date     | To               | From           | Content  |
| IND 091  | 11/24/93 | Stephen B. Fredd | Michael Slater | New lyophilized formulation for BG8967, an alternative site for formulation and filling and information on a lyophilized placebo for BG8967.   |
| IND 092  | 11/24/93 | Stephen B. Fredd | Michael Slater | Change in protocol C92-307-P entitled "A Randomized, Double-Blind Comparison of Hirulog Plus Streptokinase vs. Intravenous Heparin Plus Streptokinase in Suspected Acute Myocardial Infarction" (Version 3)  |
| IND 093  | 12/2/93  | Stephen B. Fredd | Burt Adelman   | Response to FDA request for information regarding study C93-310-P entitled "A Double-Blind, Randomized Placebo-Controlled Study to Determine the Tolerability and to Compare the Pharmacokinetic Profiles of Two Formulations of BG8967 in Healthy Volunteers". SAS Output                       |
| IND 094  | 12/7/93  | Stephen B. Fredd | Michael Slater | Meeting request x 3 for 1) pre-clinical results to-date; 2) environmental assessment for NDA; 3) manufacturing plans at UCB Bioproducts  |
| IND 095  | 12/7/93  | Stephen B. Fredd | Michael Slater | Response to FDA regarding randomization for study C92-304-P entitled "A Multicenter, Double-Blind, Randomized Study to Compare the Safety and Efficacy of BG8967 with Heparin in Patients Undergoing Percutaneous Transluminal Coronary Angioplasty (PTCA)"                                      |
| IND 096  | 12/23/93 | Stephen B. Fredd | Michael Slater | Results of study C92-301-P, a blinded, randomized, dose-ranging study to evaluate the efficacy and tolerability of four doses of BG8967 in treatment of patients with unstable angina. Plans to conduct follow-up study C93-309-P.   |
| IND 097  | 1/26/94  | Stephen B. Fredd | Burt Adelman   | Safety Report (ADR 94/01/BG8967) in study C93-312-P, extensive bleeding  |
| IND 098  | 1/26/94  | Stephen B. Fredd | Michael Slater | 1993 Annual Report   |
| IND 099  | 2/4/94   | Stephen B. Fredd | Michael Slater | Request for Meeting Minutes from November 16, 1993 and February 4, 1994  |
| IND 100  | 2/11/94  | Stephen B. Fredd | Michael Slater | Response to FDA request for information regarding preclinical development  |
| IND 101  | 2/24/94  | Stephen B. Fredd | Michael Slater | Safety Report (ADR 94/02/BG8967) from study C92-304-P, decreased urinary output – ultimately renal failure   |
| IND 103  | 3/4/94   | Stephen B. Fredd | Michael Slater | Response to FDA request for information regarding protocol C93-313-P.  |
| IND 104  | 3/14/94  | Stephen B. Fredd | Michael Slater | Information on a revision to bulk and finished product release specifications and test methodology.  |
| IND 105  | 3/14/94  | Stephen B. Fredd | Michael Slater | Meeting information: to discuss how FDA would like the safety and effectiveness data from clinical trials of Hirulog in patients undergoing angioplasty presented.   |
| IND 106  | 3/17/94  | Stephen B. Fredd | Michael Slater | Plans to develop a lyophilized formulation to replace the frozen formulation.  |
| IND 107  | 3/18/94  | Stephen B. Fredd | Michael Slater | Revised ISS and ISE tables for discussion at the March 24 meeting  |
| IND 108  | 3/23/94  | Stephen B. Fredd | Michael Slater | Follow-up Safety Report (ADR 94/01/BG8967) in study C93-312-P.   |
| IND 109  | 3/25/94  | FDA              | Michael Slater | Information received during the months of September 1993 through February 1994; Protocol Amendment (New Protocol); Protocol Amendment (New Investigator)   |
| IND 110  | 4/14/94  | Stephen B. Fredd | Michael Slater | Update on the bleeding complication rates in Hirulog trials  |
| IND 111  | 4/14/94  | Stephen B. Fredd | Burt Adelman   |  |
| IND 112  | 4/21/94  | Stephen B. Fredd | Michael Slater | Safety Update (ADR 94/03/BG8967) in study C92-304-P, seizure   |
| IND 113  | 4/21/94  | Stephen B. Fredd | Michael Slater | Follow-up to March 7 meeting: quality of processed water used in bulk drug substance manufacturing at UCB-Bioproducts.   |
| IND 114  | 4/27/94  | Stephen B. Fredd | Michael Slater |  |
| IND 115  | 5/2/94   | FDA              | Michael Slater | Request for FDA meeting minutes of March 7, 1994 and March 24, 1994  |
| IND 116  | 5/27/94  | Stephen B. Fredd | Michael Slater | DSMB for clinical trials in angioplasty (Studies C92-304-1, -2) requested unblind data. Results attached   |
| IND 117  | 6/10/94  | Stephen B. Fredd | Michael Slater | Information received during the month of April 1994  |
| IND 118  | 6/20/94  | Bronwyn Collier  | Michael Slater | Update FDA on status of unstable angina program  |
| IND 119  | 6/22/94  | FDA              | Michael Slater | Case report form for protocol C93-309-P entitled "An International, Multicenter, Double-Blind, Randomized Trial to Compare Resubmitting Appendix I and II from Submission Number 112 dated April 21, 1994 regarding processed water used in bulk drug substance manufacturing at UCB-Bioproducts |
|  |          |                  |                | Information received during the month of May 1994.   |

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| IND 120  | 7/6/94   | Stephen B. Fredd | Michael Slater  |  | Revision to the bulk product release specifications and test methodology for BG8967: The Organic Volatile Impurity assay.   |
| IND 121  | 7/6/94   | Stephen B. Fredd | Michael Slater  |  | Pre-clinical unexpected death of a cynomolgus monkey following infusion of BG8967 at a dose of 6.25 mg/kg/hour for four   |
|  | 7/11/94  | Michael Slater   | Steven B. Fredd |  | Memorandum of Meeting dated March 24, 1994.   |
| IND 122  | 7/26/94  | FDA              | Michael Slater  |  | Information received during the months of June and July 1994  |
| IND 123  | 7/26/94  | FDA              | Michael Slater  |  | Information received during the months of June and July 1994 (repeat of above); Protocol Amendment (New Investigator);  |
| IND 124  | 8/1/94   | Stephen B. Fredd | Michael Slater  |  | Follow-up Safety Reports ADR 94/02/BG8967 and ADR 94/03/BG8967 in study C92-304-P   |
| IND 125  | 8/19/94  | FDA              | Michael Slater  |  | Information received during the month of August 1994; Protocol Amendment (New Investigator); Information Amendment  |
| IND 126  | 8/26/94  | FDA              | Michael Slater  |  | Information received during the month of August 1994 (repeat of above).   |
| IND 127  | 8/26/94  | Stephen B. Fredd | Michael Slater  |  | Meeting request to discuss the clinical pharmacology section of the Hirulog NDA application   |
|  |          |                  | Burt Adelman    |  |   |
| IND 128  | 9/2/94   | Stephen B. Fredd | Michael Slater  |  | Response to FDA request of June 14, 1994 regarding the assay for BG8967 in plasma   |
| IND 129  | 9/8/94   | Stephen B. Fredd | Michael Slater  |  | Safety Information (ADR 94/04/BG8967) in study C92-307-P; acute cardiac tamponade.  |
| IND 130  | 9/14/94  | Stephen B. Fredd | Michael Slater  |  | Request for meeting to discuss the scale-up process for the manufacture of bulk drug substance  |
| IND 131  | 9/21/94  | FDA              | Michael Slater  |  | Information received during the month of September 1994; Protocol Amendment (New Investigator)  |
| IND 132  | 9/26/94  | Stephen B. Fredd | Michael Slater  |  | Information received during the month of September 1994; Protocol Amendment (New Investigator); Information Amendment (Clinical)  |
| IND 133  | 9/28/94  | Stephen B. Fredd | Michael Slater  |  | Follow-up Safety Report (ADR 94/04/BG8967) in study C92-307-P   |
| IND 134  | 10/3/94  | Stephen B. Fredd | Michael Slater  |  | Background package for the Clinical Pharmacology meeting to be held on October 17, 1994   |
| IND 135  | 10/14/94 | Stephen B. Fredd | Michael Slater  |  | Safety Report (ADR 94-0001/BG8967-309) in study C93-309-P; seizure  |
| IND 136  | 10/20/94 | Stephen B. Fredd | Michael Slater  |  | Safety: three tables of results from studies C92-304-1 & -2   |
| IND 137  | 10/27/94 | FDA              | Michael Slater  |  | Information received during the month of September 1994   |
| IND 138  | 11/2/94  | Stephen B. Fredd | Michael Slater  |  | Biogen has decided not to proceed to NDA filing   |
| IND 139  | 11/3/94  | Stephen B. Fredd | Michael Slater  |  | Request FDA meeting minutes of October 17 & 19, 1994  |
| IND 140  | 12/12/94 | FDA              | Michael Slater  |  | Information received during the months of October and November 1994. Protocol Amendment (New Investigator); Information Amendment (Clinical).   |
| IND 141  | 12/29/94 | Stephen B. Fredd | Michael Slater  |  | Sale-up process to manufacture bulk drug substance for Hirulog Injection. (formulation of the final lyophilized dosage form remains unchanged, identical to that submitted on November 24, 1993 as serial number 91 |
| IND 142  | 2/3/95   | Stephen B. Fredd | Michael Slater  |  | 1994 Annual Report  |
| IND 143  | 6/19/95  | Stephen B. Fredd | Burt Adelman    |  | Burt Adelman, MD, VP RA replaces Irvin D Smith as Sponsor's Authorized Representative   |
| IND 144  | 8/17/95  | Stephen B. Fredd | Burt Adelman    |  | Clinical information pertinent to study C92-307-P entitled "A Randomized, Double-Blind Comparison of Hirulog plus Streptokinase vs. Intravenous Heparin Plus Streptokinase in Suspected Acute Myocardial Infarction |
| IND 145  | 10/4/95  | Stephen B. Fredd | Burt Adelman    |  | Follow-up to Teleconference regarding adverse event ADR 94/01/BG8967 in study C92-307-P   |
| IND 146  | 2/2/96   | Stephen B. Fredd | Burt Adelman    |  | 1995 Annual Report  |
| IND 147  | 2/19/97  | Stephen B. Fredd | Burt Adelman    |  | Changed to 148  |
| IND 148  | 2/19/97  | Stephen B. Fredd | Burt Adelman    |  | 1996 Annual Report  |
| IND 149  | 3/5/97   | Stephen B. Fredd | Burt Adelman    |  | Biogen's intent to complete licensing agreement with a partner who will undertake further commercial development  |
| IND 150  | --       | --               | --              |  | This serial number seems to have been skipped   |
| IND 151  | --       | --               | --              |  | This serial number seems to have been skipped   |
|  | 4/18/97  | Thomas Lategan   | Julieann DuBeau |  | Required documentation to complete transfer of IND to TMC   |
| IND 152  | 5/15/97  | FDA              | Thomas Lategan  |  | Response to FDA request of April 18, 1997 for information regarding The Medicines Company   |
|  | 6/4/97   | Julie DuBeau     | Barbara Finn    |  | Pre-NDA meeting / Clarification on Word Processing  |

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| IND 153  | 6/13/97  | Lilia Talarico  | Barbara Finn      |  | Clinical Amendment: Protocol review of a mortality trial to demonstrate the efficacy and safety of Hirulog of use as an anticoagulant with aspirin and streptokinase in acute myocardial infarction. |
|  | 6/18/97  | Julie DuBeau    | Barbara Finn      |  | Case Report Tabulations / Clarification on Division  |
|  | 6/24/97  | Julie DuBeau    | Barbara Finn      |  | The AMI protocol submission  |
| IND 154  | 6/27/97  | Julie DuBeau    | Barbara Finn      |  | Request for pre-NDA meeting (information not located in file room; unless combined with 155 below for one submission and serial number 154 deemed obsolete)  |
| IND 155  | 6/27/97  | Lilia Talarico  | Barbara Finn      |  | Request for pre-NDA meeting and additional information.  |
|  | 7/7/97   | Barbara Finn    | Julie DuBeau      |  | The AMI protocol submission / pre-NDA meeting request  |
| IND 156  | 7/18/97  | Lilia Talarico  | Barbara Finn      |  | Background package for pre-NDA meeting to be held in October   |
|  | 7/29/97  | Barbara Finn    | Lilia Talarico    |  | Completed review of the June 13, 1997 submission #154 of the Hirulog Early Reperfusion/Occlusion Trial (HERO-2)  |
|  | 7/29/97  | Clive Meanwell  | M. Kathleen Locke |  | TMCs request for reduction and deferral of the fiscal year 1997 application fee  |
|  | 8/8/97   | Julie DuBeau    | Barbara Finn      |  | Follow-up on pre-NDA meeting   |
| IND 157  | 8/21/97  | Lilia Talarico  | Barbara Finn      |  | Proposal for submission of a Segment III study with Hirulog  |
|  | 8/28/97  | Quintiles       | Lilia Talarico    |  | Meeting minutes from August 4, 1997  |
| IND 158  | 9/5/97   | Lilia Talarico  | Barbara Finn      |  | Sponsor pre-NDA meeting minutes of August 4, 1997  |
| IND 159  | 9/5/97   | Lilia Talarico  | Barbara Finn      |  | Response to FDA request of July 29, 1997 regarding amendment 154 containing the HERO-2 protocol  |
|  | 9/15/97  | Barbara Finn    | Julie DuBeau      |  | Segment III study and Response to AMI protocol comments (Amendments 147 and 159)   |
| IND 160  | 9/18/97  | Lilia Talarico  | Barbara Finn      |  | Request for CMC pre-NDA meeting  |
|  | 10/7/97  | Julie DuBeau    | Cynthia Cowthran  |  | Request for a CMC pre-NDA meeting (Amendment 160)  |
|  | 10/16/97 | Ian Fier        | Chris Granger     |  | FDA discussion regarding AE reporting  |
|  | 10/16/97 | Ian Fier        | Chris Granger     |  | FDA discussion regarding AE reporting  |
|  | 10/17/97 | Barbara Finn    | Julie DuBeau      |  | Discussion on SAE reporting issues   |
| IND 161  | 10/20/97 | Lilia Talarico  | Barbara Finn      |  | Response to FDA request of July 29 and October 17, 1997 for information in regard to the instruction, capture and resultant analysis of adverse events in the HERO-1 study.                          |
| IND 162  | 10/22/97 | Lilia Talarico  | Barbara Finn      |  | Preparation of the final lyophilized dosage form and the specifications and controls for Hirulog   |
| IND 163  | 11/5/97  | Lilia Talarico  | Barbara Finn      |  | Protocol amendment entitled "The Effect of Hirulog in Combination with Reopro on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA" |
|  | 11/6/97  | Lilia Talarico  | Barbara Finn      |  | Sponsor meeting minutes of CMC pre-NDA meeting of October 27, 1997   |
|  | 11/13/97 | Quintiles       | Eric P. Duffy     |  | FDA meeting minutes from the October 28, 1997 meeting  |
| IND 165  | 11/18/97 | Lilia Talarico  | Barbara Finn      |  | Updated Investigators Brochure   |
|  | 12/19/97 | Quintiles       | Eric P. Duffy     |  | CMC submission #162 dated October 22, 1997   |
| NDA 000  | 12/23/97 | Lilia Talarico  | Clive Meanwell    |  | Original NDA Submission  |
|  | 12/29/97 | Nathalie DuBois | Paul Chapman      |  | Receipt of BMF File #12797   |
| IND 166  | 1/6/98   | Lilia Talarico  | Barbara Finn      |  | Protocol Amendment entitled The Effect of Hirulog in Combination with Reopro on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA   |
|  | 1/15/98  | Quintiles       | Julieann DuBeau   |  | Notice that FDA received NDA 20-873 for Hirulog  |

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| IND 167  | 1/20/98 | Barbara Finn        | Julie DuBeau       |  | Info to be sent to Division of Scientific Investigations as attached as we discussed today.  |
|  | 1/27/98 | Lilia Talarico      | Barbara Finn       |  | New Protocol entitled "A Multicenter Open-label, Randomized Trial Comparing Clinical Outcome with Hirulog and Provisional Abciximab versus Planned Abciximab and Low-Dose Heparin in Patients Undergoing Percutaneous Intervention.  |
| IND 168  | 1/27/98 | Lilia Talarico      | Barbara Finn       |  | Response to FDA Letter of December 19, 1997 requesting clarification regarding amendment 162 dated October 22, 1997 providing some revisions to the QC testing for Hirulog   |
| NDA 001  | 1/31/98 | FDA                 | Barbara Finn       |  | Provision of CMC Stability Data in SAS and BioPharmaceutics Data in ASCII  |
| IND 169  | 2/9/98  | Lilia Talarico      | Barbara Finn       |  | Formal submission of the HERO-2 protocol   |
| NDA 002  | 2/10/98 | FDA                 | Barbara Finn       |  | Response to FDA request of January 31, 1998 from the Medical Reviewer requesting a print out of enrollment and dropout by study by site; a summary of primary efficacy data by study and by site for C92-304-1 and -2.   |
|  | 2/17/98 | Quintiles           | Lilia Talarico     |  | Request information to enable FDA to complete their review of NDA 20-873   |
| IND 170  | 2/20/98 | Lilia Talarico      | Barbara Finn       |  | Request for CMC meeting to discuss proposed changes in the drug substance specifications previously presented in amendment 141   |
| NDA 003  | 2/25/98 | FDA                 | Barbara Finn       |  | Response to FDA letter dated February 11, 1998: Revised, detailed index for the clinical technical section as well as Case Report Tabulations; Proposed annotated labeling on diskette in Word Perfect 6.1; Demographic and efficacy data for the two pivotal studies C92-304-1 and C92-304-2 in Paradox 5.0 for Windows data sets; Statistical analysis of the stability data, including expiration date calculation; or, alternatively, a justification for not conducting the analysis; Delineation of which stability reports are used to establish expiry and which are supportive; AUC values calculated from time zero to the time of the last detectable bivalirudin plasma concentration AUC (0-t) instead of AUC (0-28). Verify that the clearance was calculated as the ratio of dose to AUC (0-∞). Please provide recalculated clearance values for study C93-310; Clarification as to whether the reference made to an <i>in vitro</i> metabolism study in which rat hepatocytes were used for assessment of potential drug-drug interactions with P450 isoenzymes is based on the P8967-92-09 study report. If not, please provide the study report number                             |
|  | 3/12/98 | Barbara Finn et al  | Julie DuBeau et al |  | Chromogenic Assay Test Used to Measure Thrombin Inhibition   |
|  | 3/17/98 | Barbara Finn        | Lilia Talarico     |  | Biopharmaceutics information   |
| IND 171  | 3/20/98 | E. Duffy<br>A. Shaw | Barbara Finn       |  | Chromogenic assay, TMC agrees with FDA that the chromogenic assay should be considered as an assay indicative of biological potency and the need for this assay to be improved and validated   |
| NDA 004  | 3/24/98 | FDA                 | Barbara Finn       |  | Response to FDA letters dated February 11 and March 17, 1998 requesting Clinical Study Protocols, amendments and appendices on diskette; BioPharmaceutics: Information for each validation study as follows: assay performance before and during sample analysis, preparation and performance of quality controls, raw data (including date utilized to construct the calibration curves). Stability of bivalirudin in samples, freeze-thaw stability, sample storage conditions, and assay validation. If one validation report supports multiple studies, please identify the validation report that supports each study. Tabulated summary listing assay method, validated analytical range, the dates of assay validation, and sample analysis for each Hirulog study; The assessment of possible contributing factors of period effect and period and treatment interaction for Study 93-310. From March 17 letter: Specifically in regard to item D5, the bioequivalence assessment for C93-310 needs to be repeated with the recalculated AUC values [AUC(0-last) and AUC 0-inf]. In addition, please submit as ASCII file on a separate Proposed proprietary name, Hirulog, is unacceptable. |
|  | 4/1/98  | Barbara Finn        | Lilia Talarico     |  | Update FDA on ongoing efforts to evaluate the current chromogenic assay and to propose necessary modifications prior to the validation   |
| IND 172  | 4/2/98  | E. Duffy<br>A. Shaw | Barbara Finn       |  | Minutes from the March 12, 1998 teleconference   |
|  | 4/6/98  | Barbara Finn        | Eric P. Duffy      |  | Summary of FDAs inspection of BVL  |
|  | 4/14/98 | Lawrence Parker     | Henry L. Fielden   |  |  |

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| NDA 005  | 4/24/98 | FDA                                       | Barbara Finn   | Final Report for Segment III Repro Study  |  |
| IND 173  | 5/1/98  | E. Duffy<br>A. Shaw                       | Barbara Finn   | Request for CMC meeting to discuss the chromogenic assay plan for modification and validation   |  |
| NDA 006  | 5/1/98  | FDA                                       | Barbara Finn   | Response to FDA request of April 27, 1998 regarding method of preparation of Hirulog in non-clinical GLP studies  |  |
| NDA 007  | 5/11/98 | FDA                                       | Barbara Finn   | Response to Biopharm reviewers request for information  |  |
|  | 5/19/98 | Barbara Finn                              | Lilia Talarico | Request additional information – Biopharmaceutics section   |  |
| IND 174  | 5/21/98 | E. Duffy<br>A. Shaw                       | Barbara Finn   | Request for meeting of 05/01 is not warranted, assay development report and validation report including the resulting testing methodology SOP for the thrombin inhibition assay is enclosed   |  |
| IND 175  | 5/28/98 | E. Duffy<br>A. Shaw                       | Barbara Finn   | Supply missing table from the thrombin inhibition assay   |  |
|  | 6/12/98 | Barbara Finn                              | Eric P. Duffy  | Suggest recommendations / requests regarding the thrombin inhibition assay  |  |
|  | 6/15/98 | Barbara Finn                              | Lilia Talarico | Request additional information to continue the Clinical Pharmacology and Biopharmaceutics review  |  |
|  | 6/19/98 | Barbara Finn                              | Eric P. Duffy  | Request additional CMC information to continue review   |  |
|  | 6/24/98 | H. Giersiefen<br>J. Richards<br>T. Wright | Barbara Finn   | Request TMC assist in providing CMC information to FDA (see above letter from FDA)  |  |
|  | 7/6/98  | Barbara Finn                              | Julie DuBeau   | Additional Request from Chemistry Reviewer  |  |
| NDA 008  | 7/26/98 | FDA                                       | Barbara Finn   | Response to FDA letter of June 19, 1998 and Telephone Message of July 6, 1998 regarding CMC. Master Batch Record & completed batch record (in English for lots of Hirulog manufactured by Biogen for the pivotal clinical trials; Any new formulations and manufacturing batch records from Ben Venue Laboratories; The measures taken at BVL to ensure that the drug product maintains its potency during the manufacturing process; A description of the validation of the endotoxin assay; On page 100 of Volume 1.003, it is stated that final analytical methods from BVL will be forwarded when they are available. Please update the final methods and provide the validation. |  |
|  | 8/5/98  | Fred M. Lockner                           | Thomas Wright  | Proposed sampling plan and acceptance criteria for the statistical analysis of content uniformity on BVL lot numbers 41692, 41693 and 42376.  |  |
| IND 176  | 8/11/98 | Lilia Talarico                            | Barbara Finn   | Formal Copy of Facsimile to Dr. Shaw  |  |
| IND 177  | 8/13/00 | Lilia Talarico                            | Barbara Finn   | Revised sampling plan.  |  |
|  | 8/14/98 | Barbara Finn                              | ?              | Recommendations regarding sampling plan for uniformity on BVL   |  |
|  | 8/18/98 | Barbara Finn                              | ?              | Additional recommendations/requests regarding the thrombin inhibition assay   |  |
| NDA 009  | 8/20/98 | FDA                                       | Barbara Finn   | Response to FDA letter dated June 19, 1998 regarding CMC. Additional clarification regarding the master batch record and completed batch record from Amendment #8; Identification of the site that will perform the chromogenic assay for thrombin inhibition on the drug substance and drug product; Hard copy of the facsimile transmission of June 24, 1998 in regard to the pharmacology reviewer's request.  |  |
|  | 9/14/98 | Barbara Finn                              | ?              | Notification that the IND annual report has not been received   |  |
| IND 178  | 9/17/98 | Lilia Talarico                            | Barbara Finn   | Results of the chromogenic testing based on the revised SOP   |  |
|  | 9/28/98 | Barbara Finn                              | Eric P. Duffy  | Recommendations/requests regarding results of the chromogenic testing   |  |
| IND 179  | 9/29/98 | Lilia Talarico                            | Barbara Finn   | Response to FDA request of September 28, 1998 and amendments 172, 174, 175  |  |
| IND 180  | 9/29/98 | Lilia Talarico                            | Barbara Finn   | Changed to 181  |  |
| IND 181  |         |   |                | CMC Amendment regarding IND amendments 172, 174, 175, 176, 177, 179, 179 and FDA letter dated September 28, 1998.   |  |

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| IND 182  | 10/1/98  | Lilia Talarico  | Barbara Finn     |  | Advisory Committee Briefing Package (October 23, 1998)   |
| NDA 010  | 10/6/98  | Lilia Talarico  | Barbara Finn     |  | Response to FDA request of October 2, 1998 (telephone) regarding amendments 180/181  |
|  | 10/6/98  | FDA             | Barbara Finn     |  | Response to October 2, 1998 FDA Telephone Request regarding CMC. A copy of the developmental manufacturing process validation for Hirulog prepared by BVL; Identification and clarification of the site that will perform the chromogenic assay for thrombin inhibition on the drug substance and drug product and a copy of the procedure for this assay; Address of the testing facility for the drug substance and drug product is BioReliance.   |
|  | 10/8/98  | Edna M. Morgan  | Thomas Lategan   |  | List of Investigators who have been involved in bivalirudin clinical trials.   |
|  | 10/15/98 | Julie DuBeau    | Barbara Finn     |  | Status of Clinical Supplies Release / Misc. NDA Items (Recent amendment #180/181)  |
|  | 10/16/98 | Julie DuBeau    | Barbara Finn     |  | Clinical Supplies Release Meeting / Misc. NDA Items  |
| NDA 011  | 10/19/98 | FDA             | Barbara Finn     |  | Response to FDA letters dated February 11, March 17, May 19 and June 15, 1998 regarding BioPharmaceutics questions. Information for each validation study as follows: assay performance before and during sample analysis, preparation and performance of quality controls, raw data, stability of Bivalirudin in samples, freeze thaw stability, sample storage conditions, and assay validation. If one validation report supports multiple studies, please identify the validation report that supports each study. Tabulated summary listing assay method, validated analytical range, the dates of assay validation, and sample analysis for each Hirulog study; Information on the methods and equipment used for aPTT measurements by study and the site where the measurements were made as well as how the equipment was calibrated; AUC values calculated from time zero to the time of last detectable Bivalirudin plasma concentration AUC(0-t) instead of AUC (0-28). Verify that clearance was calculated as the ratio of dose to AUC(0-inf). Please provide recalculated clearance values for study C93-310. Your assessment of possible Final protocol entitled "The Effect of Hirulog in Combination with Reopro on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA" |
| IND 183  | 10/20/98 | Lilia Talarico  | Barbara Finn     |  | Recommendations/requests regarding proposed sampling plan  |
|  | 10/26/98 | Barbara Finn    | Eric P. Duffy    |  | Partial response to FDA letter of October 27, 1998   |
| IND 184  | 10/29/98 | Lilia Talarico  | John D. Richards |  | Request for meeting to discuss the future of bivalirudin and steps to move it toward approval.   |
| IND 185  | 11/3/98  | Lilia Talarico  | Clive Meanwell   |  | 120 Day Safety Update  |
| NDA 012  | 11/3/98  | Julieann DuBeau | Tom Lategan      |  | Gain an understanding of the process by which we will respond to the October 28 approvable letter  |
|  | 11/5/98  | FDA             | TMC              |  | Response to FDA letter of October 27, 1998   |
| IND 186  | 11/9/98  | Lilia Talarico  | John D. Richards |  | Protocol amendment entitled, "The Effect of Hirulog in Combination with Reopro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA" (Version 3)  |
| IND 187  | 11/9/98  | Lilia Talarico  | Tom Lategan      |  | Additional request to TMC response to approvable letter.   |
|  | 11/15/98 | Julie DuBeau    | Phyllis Collins  |  | Recommendations regarding IND submissions dated September 18, October 29, and November 9, 1998, serial numbers 180, 184, and 186   |
|  | 11/16/98 | Tom Lategan     | Lilia Talarico   |  | Not Approvable Letter  |
|  | 11/18/98 | Tom Lategan     | Paula Botstein   |  | Intention to Amend Application in response to FDA Not Approvable Letter dated Nov 18, 1998   |
| NDA 013  | 11/19/98 | Paula Botstein  | Tom Lategan      |  | Meeting Request: Objective: To agree the most efficient further activities required to enable approval and ensure strong data support for proposed labeling.   |
| NDA 014  | 11/20/98 | Lilia Talarico  | Clive Meanwell   |  | Protocol Amendment (New) entitled "The influence of dose and kidney function on bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous coronary artery angioplasty (PTCA)"  |
| IND 188  | 12/2/98  | Lilia Talarico  | Tom Lategan      |  | Response to FDA request of December 7, 1998 (telephone) regarding amendment 167 containing the protocol entitled "A Multicenter, Open-Label, Randomized Trial Comparing Clinical Outcome with Hirulog and Provisional Abciximab vs. Planned Abciximab and Low Dose Heparin in Patients Undergoing Percutaneous Intervention  |
| IND 189  | 12/7/98  | Lilia Talarico  | Tom Lategan      |  |  |

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| Submission Number  | Date     | To                  | From             | Content   |
|  | 12/7/98  | Tom Lategan         | Julieann DuBeau  | Meeting is scheduled for January 15, 1999 from 3-4:30 (participants are) regarding protocol entitled "A Multicenter, Open-Label, Randomized Trial Comparing Clinical Outcome with Hirulog and Provisional Abciximab vs. Planned Abciximab and Low Heparin in Patients Undergoing Percutaneous Intervention" |
| IND 190  |          |                     |                  | Not in file.  |
| IND 191  | 12/15/98 | Lilia Talarico      | Barbara Finn     | Investigator information for HERO-2 (Frey, Colgate, de Guia, Lefkovic; Nair, Strony; Nallasvian; Stomel; Aylward; Cross; Hamer; Hart; Hedde; Horowitz; Lane; Lim; Logan; Rankin; Simmonds; Soward; Tan; Taylor; Waites; White)  |
| IND 192  | 12/15/98 | Lilia Talarico      | John D. Richards | Results of chromogenic testing on lot 42376   |
| NDA 015  | 12/16/98 | Lilia Talarico      | Clive Meanwell   | Briefing Package for January 15, 1999 meeting (meeting request amendment #14)   |
| IND 193  | 12/22/98 | Lilia Talarico      | Barbara Finn     | Follow-up Safety Report originally submitted as amendment 169; drug hypersensitivity reaction   |
| IND 194  | 12/22/98 | Lilia Talarico      | Tom Lategan      | Changed to Serial Number 195  |
| IND 195  | 12/22/98 | Lilia Talarico      | Tom Lategan      | Protocol Amendment: new investigators for the study entitled "The influence of dose and kidney function on bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous coronary artery angioplasty (PTCA); investigators are White, Andrews, Aylward                              |
| IND 196  | 12/23/98 | Lilia Talarico      | Tom Lategan      | Protocol Amendment (New) entitled "An Open Label Study of Bivalirudin for Heparin-Induced Thrombocytopenia (HIT) or Heparin-Induced Thrombocytopenia and Thrombosis Syndrome (HITS) in Patients Undergoing Percutaneous Coronary Intervention (TMC-98-10)   |
|  | 1/4/99   | Clive Meanwell      | Julie DuBeau     | Request the batch numbers for the pre-qualification batches   |
| IND 197  | 1/4/99   | Julie DuBeau        | John D. Richards | Response to FDA request of January 4, 1999 for batch numbers  |
|  | 1/11/99  | Julieann DuBeau     | Tom Lategan      | Eric Topol will join the meeting on January 15, 1999  |
| IND 198  | 1/13/99  | Lilia Talarico      | Tom Lategan      | Protocol Amendment (NEW) entitled "The Effect of Bivalirudin in Combination with Ticlopidine on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing Percutaneous Intervention and Stenting"   |
|  | 1/13/99  | Tom Lategan         | Lilia Talarico   | Proposed proprietary name Angiomax is unacceptable  |
|  | 1/15/99  | Julie DuBeau        | Tom Wright       | Clarify request of January 4 for batch records  |
|  | 1/15/99  | FDA                 | TMC              | Meeting minutes of January 15, 1999; Post-Issuance Not Approvable Letter  |
|  | 1/22/99  | Tom Lategan         | Julieann DuBeau  | Request that the production (batch) records for the two pre-validation batches be sent to them for review before the batches are released for use in clinical trials.   |
|  | 1/25/99  | Tom Lategan         | Julieann DuBeau  | Request to contact Dr. Sankoh per Dr. Temples request   |
|  | 1/26/99  | Julieann DuBeau     | Tom Lategan      | Serial #200 contains the requested batch record   |
| IND 199  | 1/26/99  | Lilia Talarico      | Tom Lategan      | Changed to Serial Number 200  |
| IND 200  | 1/26/99  | Lilia Talarico      | Tom Lategan      | 1998 Annual Report  |
| IND 201  | 1/26/99  | E. Duffy<br>A. Shaw | John D. Richards | Response to FDA request of January 22, 1999 for the batch record for lot 0931-10-68624  |
| NDA 016  | 1/26/99  | Lilia Talarico      | Tom Lategan      | Request Teleconference with Statisticians to gain the concurrence of the reviewing statisticians, of the methodology used to impute a placebo event rate, and the resulting argument for non-inferiority of heparin.  |
| IND 202  | 1/27/99  | Lilia Talarico      | Barbara Finn     | Protocol Amendment; New Investigators for HERO-2 (Cortes; Jovane; Geer; Jaume; Rodriguez; Mody; Remington; Brieger; Durech; Ewart; Ekin; Feli; Charles; Nitkin; Zadra; Ikram; Kelleher; Leitch; Newman; Parmar; Rajakumar; Sampson)   |
| IND 203  | 1/28/99  | Lilia Talarico      | Tom Lategan      | Protocol Amendment entitled "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA   |

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| Submission Number  | Date    | To              | From            |  |  |
|  | 1/28/99 | Julieann DuBeau | Tom Lategan     |  | Communication from MedAdNews concerning the non-approval letter  |
|  | 2/2/99  | Tom Lategan     | Julieann DuBeau |  | Dr. Shaw has completed review of batch record submitted as serial 200 and has passed it to Eric Duffy.   |
|  | 2/3/99  | Lilia Talarico  | Neal Kleiman    |  | Request a single patient IND number. Number is 56,484.   |
|  | 2/4/99  | Julie DuBeau    | Tom Lategan     |  | HERO-2 and chromogenic assay questions   |
| NDA 017  | 2/4/99  | Lilia Talarico  | Tom Lategan     |  | Response to FDA Request for the statistical methods used to establish that Bivalirudin is not inferior to heparin.   |
|  | 2/5/99  | Tom Lategan     | Eric P. Duffy   |  | Recommendations / requests regarding batch records   |
|  | 2/5/99  | Julie DuBeau    | Tom Lategan     |  | IND Serial numbers out of sequence; next number should be 206  |
| IND 204  | 2/5/99  | Lilia Talarico  | Tom Lategan     |  | Changed to Serial Number 205   |
| IND 205  | 2/5/99  | Lilia Talarico  | Tom Lategan     |  | Response to FDA request of February 4, 1999 (Teleconference) for information concerning the monitoring of coagulation status during the HERO-2 study   |
|  | 2/9/99  | Tom Lategan     | Lilia Talarico  |  | FDA meeting minutes of January 15, 1999  |
|  | 2/11/99 | Tom Lategan     | Lilia Talarico  |  | Recommendations/requests regarding protocol TMC 98-10)   |
| NDA 018  | 2/24/99 | Lilia Talarico  | Tom Lategan     |  | Appeal to the Nomenclature Committee regarding the use of Angiomax as the trade name for Bivalirudin.  |
| NDA 019  | 3/3/99  | Lilia Talarico  | Clive Meanwell  |  | Meeting minutes: January 15, 1999 regarding further activities required to enable approval... (amendment #14)  |
| NDA 020  | 3/3/99  | Lilia Talarico  | Clive Meanwell  |  | Response to FDA request for analyses estimating clinical effect of Bivalirudin and heparin compared to an imputed placebo in PTCA and summarize the clinical effects data from Phase II studies in PTCA and unstable angina.   |
| IND 206  | 3/8/99  | Lilia Talarico  | Barbara Finn    |  | Protocol Amendment: New Investigators for HERO-2 (Lopez; Alvarez; Amuchastegui; Andres; Arballo; Arieta; Audeau; Baef; Barcudi; Bernardo; Birkenheier; Bohorquez; Bonanno; Bono; Botha; Brown; Cagnoliatti; Caime; Carroll; Castro; Celsi; Chapidze; Cid; Cinteza; Cisneros; Costamagna; Covelli; Cuello; Dalcu; Davies; De Leauw; De Meester; Del Rio; Dumont; Duran; Etchepare; Eusse; Fava; Flores (Juan); Flores (Luis); Friedlander; Fuselli; Gamen; Garcia; Garrahy; Garrido; Gerardo; Giachello; Granados de Arango; Guerrero; Habib; Hasbani; Heredia; Hernandez (Edgar); Hernandez (Ignacio); Hill; Hills; Hrabar; Fernandez; Jeffery; Kindler; Ledesma; Leiva; Liprandi; Longo; Lowenstein; Mamatsashvili; Mann; Marinesco; Martellotto; Marquez; Castellanos; Marzetti; Gambarte; Masino; Medley; Meneghetti; Meola; Mezzina; Missault; Morillo; Muntaner; Nelson; Nodar; Nordaby; Olivello; Paganini; Pellegini; Perez; Peroni; Perrino; Pino; Plocek; Queirel; Quijano (Guillermo); Quijano (Alexis); Quinn; Ramos; Restrepo; Rodriguez; Sanchez; Sanchez de Illia; Schneider; Schmitt; Schuster; Scott; Schulte-Herbruggen; Singh; State; Stepanek; Slickland; Suasnabar; Taler; Tassano; Talishvili; Tinetti; Toree; Trivi; Tsi |
|  | 3/12/99 | Tom Lategan     | Lilia Talarico  |  | Recommendations and requests regarding protocol entitled "The influence of dose and kidney function on bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous coronary artery angioplasty (PTCA).   |
| IND 207  | 3/17/99 | Lilia Talarico  | Tom Lategan     |  | Protocol Amendment: New Investigators for study entitled "The Effect of Bivalirudin in Combination with Ticlopidine on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing Percutaneous Intervention and Stenting (VWhite; Aylward)  |
| IND 208  | 3/26/99 | Lilia Talarico  | Tom Lategan     |  | Response to FDA request of March 12, 1999 regarding protocol "The influence of dose and kidney function on bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous coronary artery angioplasty (PTCA)" submitted as amendment #188 dated December 2, 1998  |
| IND 210  | 4/2/99  | Lilia Talarico  | Tom Lategan     |  | Protocol Amendment to revise protocol entitled "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"  |

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| IND 209  | 4/5/99  | Lilia Talarico  | Barbara Finn   |  | Protocol Amendment; New Investigators for HERO-2 (Adrianza; Amarista; Avila; Babes; Bahit; Nogareda; Balestrini; Romera; Caccavo; Cagide; Thierer; Campeanu; Colmenares; Counsell; Criado; Da Costa; Deyanira; Duris; Eibar; Esponera; Gambarte; Girotti; Carbajales; Gomez; Gonzalez; Grancelli; Hedley; Herrera; Hill; Horowitz; Ibarzabal; Isea-perez; Lopez; Kobulia; Magni; Cordoba; Martino; Ulloa; Navarro Nisanci; Owensby; Pacheco; Ponte; Porterie; Rowe; Ryba; Kevorkian; Salazar; Sanchez; Soifer; Tellez; Torres; Tsoi; van Langeveld; Velasquez; Wakley; Fell) |
| NDA 021  | 4/22/99 | Lilia Talarico  | Tom Lategan    |  | Class 1 Resubmission based on the FDA Action Letter of November 18, 1998. Resubmission provides a complete response to the deficiencies noted in the action letter.  |
| IND 211  | 4/26/99 | Lilia Talarico  | Barbara Finn   |  | Protocol Amendment; New Investigators for HERO-2 (Rodriguez-Ospina; Bastianelli; Boehmer; Craiu; Dvorak; Gordon; Jansky; Kochkarev; Melchior; Melin; Moser; Savage; Tatu-Chitoiu; Uebis; Iseghem; Zeze; Zimmermann; Zvejniece)   |
| NDA 022-1  | 4/26/99 | Lilia Talarico  | Tom Lategan    |  | Response to FDA telephone request on April 22, 1999 regarding Class 1 Resubmission. The following information is needed to complete the resubmission process. Original Signatures on letter and 356h form; additional information regarding the package label; updated facilities information pertaining to CMC; and an additional copy of volume 2.001, 2.002, 2.003, 2.004 and 2.005.  |
| IND 212  | 4/28/99 | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment; New Investigators for study TMC-98-10 (Dauber; Berkowitz; Gilchrist; Dauber; Smith)  |
|  | 4/29/99 | Tom Lategan     | Lilia Talarico |  | Resubmission is considered a complete class 2 response to FDA action letter.   |
| IND 213  | 4/29/99 | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment entitled "An Open Label Study of Bivalirudin for Heparin-Induced Thrombocytopenia (HIT) or Heparin-Induced Thrombocytopenia and Thrombosis Syndrome (HITS) in Patients Undergoing Percutaneous Coronary Intervention (TMC-98-10)"   |
| IND 214  | 5/4/99  | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment to revise protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |
| IND 215  | 5/6/99  | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment (New) entitled "An Open-Label, Randomized Trial of the Effect of Hirulog (bivalirudin) Following Treatment with Low Molecular Weight Heparin on Laboratory Coagulation Parameters and the Incidence of Adverse Events in Patients Undergoing Percutaneous Coronary Intervention"  |
| NDA 022-2  | 5/7/99  | Julieann DuBeau | Tom Lategan    |  | Follow-up to May 6, 1999 Teleconference regarding the Renal Impairment Study (refer to IND for information)  |
|  | 4/13/99 | Tom Lategan     | Lilia Talarico |  | Comments / recommendations regarding protocol entitled "The Effect of Bivalirudin in Combination with Ticlopidine on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing Percutaneous Intervention and Stenting"   |
| IND 216  | 5/17/99 | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment; New Investigators for study entitled "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA" (Harrington)   |
| IND 217  | 5/24/99 | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment to revise the protocol "An Open-Label Randomized Trial of the Effect of Hirulog (bivalirudin) Following Treatment with Low Molecular Weight Heparin on Laboratory Coagulation Parameters and the Incidence of Adverse Events in Patients Undergoing Percutaneous Coronary Intervention"   |
| IND 218  | 5/24/99 | Lilia Talarico  | Tom Lategan    |  | Protocol Amendment to revise the protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |
|  | 5/27/99 | Lilia Talarico  | Clive Meanwell |  | Approaches to the assessment of safety and efficacy of bivalirudin as an anticoagulant to be used in patients with unstable angina undergoing PTCA.  |

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| IND 219   | 5/28/99 | Lilia Talarico | Barbara Finn     |  | Protocol Amendment; New Investigators for HERO-2 (Janicke; Young; Tawam; Alejos Mex; Alvarado Ruiz; Arzola; Nevarez; Caceres; Boyarkin; Repin; Boysov; Dezyugin; Bzhelyanskaya; Fierro; Caglar; Calvillo; Carpio; Claessens; Camara; Carazo; Diaz; de Montoreano; Deger; Demirtas; Dortlenmez; Cecena; Ermoshkina; Kalinina; Ferrari; Castillo; Goloshchekin; Galina; Consoreik; Henderson; Garcia; Lopez; Santamaria; Iklienko; Khrakovsky; Alexandr; Ramirez; Herrera; Madrid; Malinin; Sanches; Hernandez; Nefedov; Nikiforova; Ragoza; Ruiz; Ormann; Krahnstover; Ortega; Oto; Carrasco; Acosta; Quintana; Radionov; Shulman; Rajakumar; Corrales; Rifel; Sampo; Hoz; Shvarts; Sirek; Sorokin; Inyushin; Stefanenko; Sumin; Timofeev; Bulgin; Timuralp; Turkoglu; Tyrenko; Tyutyumov; Arreola; Vasyuk; Sviridov; Vaterlaws; Velarde; Vishnevsky; Teplov; Vyorkin; Laptev; Weeks) |  |
| IND 220   | 6/2/99  | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment to revise protocol "The Influence of Dose, Gender and Kidney Function on Bivalirudin Pharmacokinetics and Pharmacodynamics in Patients Undergoing Percutaneous Transluminal Angioplasty"  |  |
| IND 221   | 6/2/99  | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment; New Investigators to protocol "The Influence of Dose, Gender and Kidney Function on Bivalirudin Pharmacokinetics and Pharmacodynamics in Patients Undergoing Percutaneous Transluminal Angioplasty" (Bittl)  |  |
| IND 222   | 6/2/99  | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment to revise protocol "An Open Prospectively Randomized Comparison of Hirulog Versus Heparin in Patients Receiving Aspirin and Thrombolysis (Streptokinase) for the Treatment of Acute Myocardial Infarction: The Hirulog Early Reperfusion/Occlusion (HERO-2) Trial<br>Please find the letter concerning bivalirudin.   |  |
| IND 223   | 6/4/99  | Clive Meanwell | Lilia Talarico   |  | Safety Report #TTMC222 occurring in the HERO-2 study.  |  |
| IND 224   | 6/16/99 | Lilia Talarico | Barbara Finn     |  | Protocol Amendment; New Investigators for protocol "An Open-Label Randomized Trial of the Effect of Hirulog (bivalirudin) Following Treatment with Low Molecular Weight Heparin on laboratory Coagulation Parameters and the Incidence of Adverse Events in Patients Undergoing Percutaneous Coronary Intervention" (Wallentin; Thromvinger; Olsson; Prip; Sjogren; Berglund; Eriksson; Lindvall)  |  |
| IND 225   | 6/16/99 | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment; New Investigators for study TMC-98-10 (Rodriguez; Deutsch; Lewis; Mann; Anderson; Ferguson; Gammon; O'Neill)   |  |
| IND 226   | 6/21/99 | Lilia Talarico | Barbara Finn     |  | Safety Report #TTMC222 occurring in the Cachet Pilot Study; originally reported in error to the HERO-2 study submitted as amendment 223 dated June 16, 1999  |  |
| NDA 023   | 6/21/99 | Tom Lategan    | Kati Johnson     |  | Proprietary name Angiomax acceptable   |  |
|   | 6/22/99 | Lilia Talarico | John D. Richards |  | Updated results of chemical testing which demonstrate equivalence of material used in Phase III studies and marketed formulations  |  |
| IND 227   | 6/24/99 | Lilia Talarico | Barbara Finn     |  | Protocol Amendment; New Investigators for HERO-2 (Adgey; Amerena; Barbarash; Tarasov; Belenky; Dascalov; Dohery; Eha; Finnegan; Foyanov; Tatyana; Golikov; Bykova; Guneri; Karlocai; Ketchker; Tankhilevitch; Lalmond; Lapin; Popov; Lewandowska-Stanek; Liszewski-Pfeifer; Makhnov; Ironosov; Markov; Vyslov; Muthusamy; Nechepurenko; Orlikova; Selskov; Panov; Vakhromeeva; Pomogalova; Musurok; Quinonez; Reinhart; Salusbury-Trelawny; Sergeeva; Kim; Shmyzova; Lesnov; Situkova; Khabarov; Strahan; Strekalovsky; Dobrodeev; Szaboki; Timar; Trouerbach; Tumarov; Belehov; Ulusoy; Vahula; Zver; Lobanov)  |  |
| IND 228   | 6/25/99 | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment to revise protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |  |
| IND 229   | 6/25/99 | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment to revise protocol "The Effect of Bivalirudin in Combination with Ticlopidine on Laboratory Coagulation Parameters and the Incidence of Clinically Significant Bleeding in Patients Undergoing Percutaneous Intervention and Stenting"  |  |
| IND 230   | 6/28/99 | Lilia Talarico | Phyllis Collins  |  | Protocol Amendment to revise the protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |  |

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| IND 231  | 7/1/99  | Lilia Talarico    | Barbara Finn      |  | Follow-up Safety Report #TMC226 occurring in the HERO-2 study submitted as amendment 169   |
| IND 232  | 7/1/99  | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment; New Investigators in study TMC-98-10 (Hassell; Welsh)  |
| IND 233  | 7/7/99  | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment; New Investigators in study TMC-98-10 (Slater)  |
| IND 234  | 7/14/99 | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment to revise protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |
|  | 7/20/99 | Tom Lategan       | Kati Johnson      |  | Comments / information regarding the review of the Clinical and Statistical sections of NDA  |
| IND 235  | 7/22/99 | Lilia Talarico    | Tom Lategan       |  | Response to FDA correspondence of June 21, 1999 regarding NDA 20-873 and our proposed proprietary name, Angiomax   |
| NDA 024  | 7/22/99 | Julieann DuBeau   | Tom Lategan       |  | Request to formally change name trade name from Hirulog to Angiomax  |
| IND 236  | 7/27/99 | Lilia Talarico    | Barbara Finn      |  | Protocol Amendment; New Investigators for HERO-2 (Cragg; Sampson; Schmitt; Bett; Nau; Higa; Rajakumar; Turabian; Vermeylen; Celen; Woo)  |
| IND 237  | 7/28/99 | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment; New Investigators for TMC-98-10 (Lincoff; Anderson)  |
| NDA 025  | 8/5/99  | Lilia Talarico    | Tom Lategan       |  | Response to FDA request for information of July 20, 1999 regarding microbiological integrity of the container-closure system; Acute rat study, which was requested by the European Health Authorities statement that the results of this study do not in any way alter the conclusions of our previous studies. The effects are entirely consistent with its pharmacology and known action; Response to FDA letter of July 20 requesting analysis: Safety Update.  |
| IND 238  | 8/17/99 | Lilia Talarico    | Barbara Finn      |  | Follow-up Safety Report #TMC232 occurring in HERO-2 submitted as amendment #169  |
| IND 239  | 8/24/99 | Lilia Talarico    | Barbara Finn      |  | Follow-up Safety Report #TMC232 occurring in HERO-2 submitted as amendment #169  |
| IND 240  | 8/24/99 | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment to revise protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation Parameters and Incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |
| IND 241  | 8/24/99 | Lilia Talarico    | Barbara Finn      |  | Protocol Amendment; New Investigators in HERO-2 (Igartua; Nieves; Aroney)  |
| IND 242  | 9/1/99  | Lilia Talarico    | Barbara Finn      |  | Follow-up Safety Report #TMC234 occurring in HERO-2 submitted in amendment #169  |
| IND 243  | 9/8/99  | Lilia Talarico    | Phyllis Collins   |  | Protocol Amendment; New Investigators in HERO-2 (Letcher)  |
|  | 9/9/99  | Julieann DuBeau   | Tom Lategan       |  | Package Insert Text  |
|  | 9/13/99 | Victor Raczkowski | Clive A. Meanwell |  | Discussions of the review process  |
| NDA 026  | 9/15/99 | Julieann DuBeau   | Tom Lategan       |  | Response to FDA request for information regarding amendment 023 CMC: updated chemical testing demonstrating equivalence of material used in Phase III studies and marketed formulations; as well as submitting original report for the chromogenic assay for the lot samples (67A04Z, 67A01W; 102052; provide the mannitol content as requested in the May 6 teleconference; provide a comparison of the batch size of lot 0931-10-102052 and the proposed commercial scale; Was lot number 42376 of Bivalirudin as used in TMC 98-09 (renal impairment study) the "to-be-marketed" formulation, which was obtained from a full scale production size batch make by the exact manufacturing procedures and at the same manufacturing site where the "to-be-marketed" formulation is to be made; When is study TMC98-09 expected to be complete, and when will the final study report be submitted. |
| NDA 027  | 9/16/99 | Julieann DuBeau   | Tom Lategan       |  | Response to FDA request for CMC Information: Provide a copy of the batch record for lot 67A01Q, or describe its location in a previous submission; Explain if the lot was diluted due to problems with dissolution; Provide dates for Amendments 21 and 22 as described in the June 22 submission #23.   |
| NDA 028  | 9/17/99 | Julieann DuBeau   | Tom Lategan       |  | Response to FDA request of September 14 and 16, 1999 for CMC Information: supplied copy of batch record 67A01Q as requested.   |

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| NDA 029  | 9/17/99  | Julieann DuBeau   | Tom Lategan       |  | Response to FDA request for CMC Information: Provide a copy of batch record for 67A02Q, or provide details of its location in the NDA; How can the 9-ASP analog content be reported if it is not part of the actual calculation directions for Method 9310024B (Volume 2.003, Page 189); In the specifications on Page 002 of Volume 2.003 the acceptance criterion is "no other single impurity >1.0%". However, the calculation for Method 9310024B has no provision to report this value. The only other impurity reported is the "major impurities peak occurring at a relative retention time (RRG) of approximately 1.04". How is the "other individual impurity" reported; In the report from the Foundation of Neurologic Diseases in Attachment 2 of June 22 amendment #023, how was the "other individual impurity obtained; Request Dr. Wolfe at the Foundation for Neurologic Diseases to reanalyze the data to include the "Major Impurities Peak" at the RRT 1.04 and "Total Other Impurities". The latter calculation would be for the sum of all non-bivalirudin peaks besides the 9-ASP analog and the "Major Impurity Peak" at RRT 1.04 and "Total Other Impurities". The latter calculation would be for the sum of all non-bivalirudin peaks besides the 9-ASP analog and the "Major Impurity Peak" at RRT 1.04. |  |
| NDA 030  | 9/17/99  | Julieann DuBeau   | Tom Lategan       |  | Response to FDA request for CMC Information: Dr. Wolfe's reanalysis of the "Major Impurities Peak" at the RRT 1.04 and the "Total Other Impurities". The latter calculation would be for the sum of all non-bivalirudin peaks besides the 9-ASP analog and the "Major Impurity Peak" at RRT 1.04.  |  |
| IND 244  | 9/20/99  | Lilia Talarico    | Barbara Finn      |  | Follow-up Safety Report #TTMC234 occurring in HERO-2 submitted in amendment #169   |  |
| NDA 031  | 9/20/99  | Julieann DuBeau   | Phyllis Collins   |  | Response to FDA request for CMC Information: Copy of Batch Record 67A02Q as discussed in Amendment #29.  |  |
| NDA 032  | 9/21/99  | Julieann DuBeau   | John D. Richards  |  | Response to FDA request for CMC Information: What data is available to compare lot 67A02Q the lot used in the Bioequivalence testing with the other lots whose assay data is provided in Amendment #23 dated June 22, 1999. How come the peptide concentration in 67A01Q is 0.33 mg/ml when the conc. is approx. 0.15 mg/ml for the other lots in Amendment #23 dated June 22, 1999. What was the peptide concentration measured by UV275 for lot 67A02Q.  |  |
| NDA 033  | 9/24/99  | Julieann DuBeau   | Phyllis Collins   |  | Response to FDA request of September 22, 1999 for CMC Information: Provide the batch release data (HPLC etc) for the lots 67A04Z, 67A01Q and 67A02Q; Provide actual assay records for the anti-thrombin assays reported in Amendment #23 dated June 22, 1999 or explain why the peptide concentration for lot 67A01Q was 0.33 mg/ml; and why the range of peptide concentrations was so broad for lot 102052; With respect to Question 2 of Amendment #32 dated September 21, 1999, the concentration was 0.5 not 0.15.  |  |
| NDA 034  | 9/27/99  | Julieann DuBeau   | Phyllis Collins   |  | Response to FDA request of September 24, 1999 for CMC Information: Provide the analytical data comparing lot numbers 67A01Q, 67A02Q and 102052. In addition please comment as to whether the HPLC data was generated from the "new HPLC" methods that detect the D-Phe and Asp-9 impurities; Provide the area % of the second largest unknown impurity peak.   |  |
| IND 245  | 9/29/99  | Lilia Talarico    | Barbara Finn      |  | Protocol Amendment; New Investigators in HERO-2 (Gamez; Biedermann; Briland; Byrdziak; Saadi; Cooper; Csanady; Daniels; Graaf; Faber; Fitzpatrick; Gossar; Halaczkiwicz; Hiczkiewicz; Horrigan; Alvarado; Jordant; Karidis; Kawka-Urbaneck; Klenina; Kozhikina; Koppicz; Krawczyk; Grelecki; Krzeminska-Pakula; Kuc; Kujler; Betancourt; Lombana; Kignian; Luciani; Calvo; Majcher; Manolis; Novozherina; Znaenko; Opolski; Oze; Sepulveda; Pieters; Popeye; Prastowski; Ronkowski; Russell; Steinbach; Kindt; Verloove; Ujda; van Nes; Vazquez; Vorochina; Zelichenok; Vossbeck; Wilkinson; Zawilska; Zemtsovsky; Bondarev; Zinka)  |  |
| NDA 035  | 9/29/99  |                   |                   |  | Response to FDA letter dated October 28, 1999: Notice of Intention to Append Application to address deficiencies listed.   |  |
|  | 10/8/99  | Tom Lategan       | Vincent Bille     |  | UCB deficiency letter dated October 1.   |  |
|  | 10/8/99  | Victor Raczkowski | Clive A. Meanwell |  | Galley proofs of a paper to be published in Circulation.   |  |
|  | 10/8/99  | Lilia Talarico    | Clive A. Meanwell |  | Galley proofs of a paper to be published in Circulation.   |  |
|  | 10/14/99 | John Richards     | Nathalie Dubois   |  | Response to FDA request to inspect BioReliance, Scotland   |  |

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| Submission Number  | Date     | To                | From              | Content  |
|  | 10/15/99 | Victor Raczkowski | Clive Meanwell    | Information regarding dose-controlled studies performed in Phase II  |
|  | 10/16/99 | Victor Raczkowski | ?                 | This submission was the Kong galley manuscript of the bivalirudin data overview  |
|  | 10/26/99 | Victor Raczkowski | Clive Meanwell    | Consider bivalirudin for the additional indication "Bivalirudin is indicated as an anticoagulant in patients undergoing Percutaneous coronary angioplasty for unstable angina presenting within two weeks of myocardial infarction (Braunwald [1989] Class IC-IIIC).   |
| IND 246  | 10/27/99 | Lilia Talarico    | Barbara Finn      | Protocol Amendment; New Investigators in HERO-2 (Chan; Corsini; Dadez; Diehm; Dragulescu; Hubner; Loan; Serrano; Lockert; Lominadz; Lopex; Messa; Marco; Papazoglou; Paposhvili; Sinescu; Strifoni; Ruiz; Zavolozhin; Zrazhevsky; Yakovlev)  |
|  | 10/28/99 | Tom Lategan       | Victor Raczkowski | Approvable Letter dated October 28, 1999   |
|  | 10/29/99 | Victor Raczkowski | Tom Lategan       |  |
| NDA 036  | 11/2/99  | Julieann DuBeau   | Tom Lategan       | Notice to amend application based on October 28 Approvable Letter  |
|  |          |                   |                   | Per discussion with Dr. Victor Raczkowski of October 29, 1999 we request a meeting to identify the appropriate pathway for prompt review and approval of the post-MI indication based on the data in the NDA and the submissions of October 15, 21, 25, and 27, 1999.  |
| NDA 037  | 11/11/99 | Julieann DuBeau   | Tom Lategan       | Response to FDA Approvability Letter of October 28, 1999.  |
|  | 11/11/99 | F. Lochner        | John D. Richards  | A copy of the CMC sections of NDA Amendment #37  |
|  | 11/16/99 | Julieann DuBeau   | Phyllis Collins   | Confirm desire to have meeting with Dr. Temple   |
| IND 247  | 11/22/99 | Lilia Talarico    | Barbara Finn      | Protocol Amendment; New Investigators to HERO-2 (Bassand; Beck; Bonneau; Buchholz; Avellaneda; Chabanier; Darremont; Cornaert; El-Agez; Evrard; Freydina; Kalinina; Furber; Galvani; Juergenz; Giles; Luke; Losov; Nikolay; Mycinski; d'Hautefeuille; Pantov; Parisot; Penn; Perchet; Petrov; Poncelin; Fontuch; Dubrava; Riccitelli; Serra; Rondepierre; Roudaut; Simoens; Shaburishvili; Stork; Torres; Vilarem; Weissberg; Wilczek)   |
|  | 12/2/99  | Tom Lategan       | Lilia Talarico    | Resubmission is a complete class 2 response to FDA action letter dated October 28, 1999  |
| NDA 038  | 12/15/99 | Lilia Talarico    | Clive Meanwell    | Follow-up to December 13, 1999 teleconference: Summarize outstanding issues, ask FDA to affirm our understanding and reiterate out intention to address the issues in the pre-meeting package and our plans for meeting on January 25.   |
| IND 248  | 12/17/99 | Lilia Talarico    | Phyllis Collins   | Protocol Amendment to revise protocol "The Effect of Hirulog in Combination with ReoPro on Laboratory Coagulation parameters and incidence of Clinically Significant Bleeding in Patients Undergoing PTCA"   |
| IND 249  | 12/20/99 | Lilia Talarico    | Phyllis Collins   | DSMB – 1 <sup>st</sup> report HERO-2   |
| IND 250  | 12/20/99 | Lilia Talarico    | Phyllis Collins   | Protocol Amendment to revise protocol "The Influence of Dose, Gender and Kidney Function on Bivalirudin Pharmacokinetics and Pharmacodynamics in Patients Undergoing Percutaneous Transluminal Angioplasty"  |
| NDA 039  | 12/20/99 | Lilia Talarico    | Phyllis Collins   | HERO-2 DSMB Report. 1 <sup>st</sup> review   |
| NDA 040  | 12/20/99 | Lilia Talarico    | Phyllis Collins   | Protocol Amendment: The influence of Dose, Gender and Kidney Function on Bivalirudin Pharmacokinetics and Pharmacodynamics in Patients Undergoing Percutaneous Transluminal Angioplasty. The original protocol was submitted to IND #35,756 as amendment #188 on December 2, 1998 and #22 dated June 2, 1999. This amendment is in response to the FDA October 28, 1999 approvable letter for this NDA in which the FDA requested that the protocol be amended to include patients with more compromised renal impairment. |
| IND 251  | 12/22/99 | Lilia Talarico    | Barbara Finn      | Follow-up Safety Report #TTMC241 occurring in the Cachet study submitted as amendment #169   |
| IND 252  | 12/22/99 | Lilia Talarico    | Barbara Finn      | Follow-up Safety Report #TTMC242 occurring in the Cachet study submitted as amendment #169   |

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| IND 253  | 12/23/99 | Lilia Talarico  | Barbara Finn    | Follow-up Safety Report #TTMC243 occurring in the HERO-2 study submitted as amendment #169  |  |
| IND 254  | 12/30/99 | Lilia Talarico  | Barbara Finn    | Follow-up Safety Report #TTMC245 occurring in the HERO-2 study submitted as amendment #169  |  |
| NDA 041  | 1/7/00   | Julieann DuBeau | Tom Lategan     | January 25, 2000 Briefing Package: Meeting to discuss the approvability of Angiomax for the indication "...as an anticoagulant in patients undergoing Percutaneous coronary angioplasty for unstable angina presenting within two weeks of myocardial infarction" as previously submitted in Amendment #37 dated November 11, 1999.   |  |
| IND 255  | 1/17/00  | Lilia Talarico  | Barbara Finn    | Updating transfer of Regulatory Obligations concerning HERO-2   |  |
| NDA 042  | 1/19/00  | Julieann DuBeau | Tom Lategan     | Revised questions for meeting of January 25, 2000   |  |
| IND 256  | 1/21/00  | Lilia Talarico  | Barbara Finn    | SAE Line Listing for HERO-2: All adverse events occurring through December 16, 1999   |  |
| IND 257  | 1/25/00  | Lilia Talarico  | Barbara Finn    | Protocol Amendment; New Investigators in HERO-2 (Baldacci; Barbarich; Mihalkova; Carda; Dinnyes; Dostal; Edes; Soltesz; Goede; Joki; Kermova; Koch; Kviakidis; Mantov; Mlczech; Mrochek; Adzerikho; Ninova; Oetel; Ogorek; Orfanidis; Piotrowski; Podkhorutnikov; Silina; Pogorelov; Kadochikina; Moreno; Perez; Torai; Wely; Riebartsh; Ronaszeki; Rose; Rozanski; Scherbakhin; Sala; Santopinto; Seabra-Gomes; Shalaev; Semuhin; Sinisi; Soroka; Mitkovskaya; Tyurin; Vancik; Vanderheyden; Vardas; Vintila; Zaharoulis; Zamolyi) |  |
| NDA 043  | 1/28/00  | Julieann DuBeau | Tom Lategan     | Response to FDA request of January 27, 2000 for Clinical Information: Provide individual patient information for study C92-304.   |  |
| IND 258  | 2/2/00   | Lilia Talarico  | Phyllis Collins | 1999 Annual Report  |  |
| IND 259  | 2/8/00   | Lilia Talarico  | Barbara Finn    | Follow-up Safety Report #TTMC248 occurring in HERO-2 submitted as amendment #169  |  |
| NDA 044  | 2/14/00  | Lilia Talarico  | Phyllis Collins | Response to FDA request: supplied copy of Canadian labeling.  |  |
| NDA 045  | 2/24/00  | Julieann DuBeau | Phyllis Collins | Response to FDA request of February 24, 2000 for Package Labels (tertiary, secondary and vial).   |  |
|  | 3/2/00   | Tom Lategan     | Julieann DuBeau | FDA minutes from February 4, 2000 meeting   |  |
| NDA 046  | 3/25/00  | Julieann DuBeau | Clive Meanwell  | Response to FDA request of February 4, 2000 (meeting) for Clinical Information: submitted assessment of assay sensitivity for Trial C92-304 with respect to open-label heparin; evidence for heparin effectiveness in unstable angina and PTCA; Cachet clinical study report, post-text supplements and clinical study report appendices.   |  |
| IND 260  | 3/28/00  | Lilia Talarico  | Phyllis Collins | DSMB - 2 <sup>nd</sup> report HERO-2  |  |
| IND 261  | 3/28/00  | Lilia Talarico  | Phyllis Collins | Protocol Amendment; New Investigators for study "The Influence of Dose and Kidney Function on Bivalirudin Pharmacokinetics and Pharmacodynamics in Patients Undergoing Percutaneous Coronary Artery Angioplasty (PTCA)" (subinvestigators for Dr. White)  |  |
|  | 3/28/00  | Phyllis Collins | Julieann DuBeau | Three points as a result of FDA meeting regarding Amendment NDA 046 submitted on March 15   |  |
| NDA 047  | 3/28/00  | Lilia Talarico  | Phyllis Collins | Response to FDA request of February 4, 2000 (meeting) for Clinical Information: submitted assessment of assay sensitivity for Trial C92-304 with respect to open-label heparin; evidence for heparin effectiveness in unstable angina and PTCA; Cachet clinical study report, post-text supplements and clinical study report appendices.   |  |
| IND 262  | 3/29/00  | Lilia Talarico  | Barbara Finn    | Protocol Amendment; New Investigators for HERO-2 (Harber, Beinart; O'Sullivan)  |  |
| NDA 048  | 3/30/00  | Julieann DuBeau | Phyllis Collins | Confirmation from BVL confirming they are ready for FDA inspection.   |  |
| NDA 049  | 4/6/00   | Julieann DuBeau | John Richards   | Updated stability information on final drug product; updated reference letter to DMF10095 from Algroup Wheaton for tubing vials.  |  |
|  | 4/6/00   | Julieann DuBeau | Phyllis Collins | BVL ready for inspection  |  |
|  | 4/7/00   | Phyllis Collins | Julieann DuBeau | Status of renal impairment study  |  |
| NDA 050  | 4/10/00  | Julieann DuBeau | Phyllis Collins | Response to FDA request of April 7, 2000 for the final study report for study "The influence of dose, gender and kidney function on Bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous transluminal angioplasty (TMC 98-09)  |  |
|  | 4/13/00  | UCB-BioReliance | Liang Zhou      | DMF 12797   |  |

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| IND 263  | 4/14/00 | Lilia Talarico  | Phyllis Collins  | Protocol Amendment; New Protocol entitled "A comparison of the pharmacodynamic anticoagulant profile of the direct thrombin inhibitor Angiomax (bivalirudin) with heparin after single bolus administration in patients undergoing coronary artery angioplasty and Investigator Information (Ormiston; Webster; Devlin; Simmonds; Abernethy; Elliott; Wilkins)   |
|  | 4/19/00 | Ian Fier        | John Hunt        | Questions regarding IND #50, final study report for protocol TMC 98-09 entitled "The influence of dose, gender and kidney function on Bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous transluminal angioplasty"  |
|  | 4/19/00 | Ian Fier        | John Hunt        | Two additional questions regarding study report for protocol TMC 98-09   |
| NDA 051  | 4/25/00 | Julieann DuBeau | Phyllis Collins  | Response to FDAs request of April 19, 2000 concerning the study "The influence of dose, gender and kidney function on Bivalirudin pharmacokinetics and pharmacodynamics in patients undergoing Percutaneous transluminal angioplasty (TMC 98-09) as submitted in Amendment #50.  |
| IND 264  | 4/26/00 | Lilia Talarico  | Barbara Finn     | Protocol Amendment; New Investigators for HERO-2 (Vidal; Balado; Carrillo; Conci; Grant; Jose; Lockhandwala; Gonzalez; Rodriguez; Adara; Pais; Pardo; Parikh; Patel; Potthoff; Quinn; Risolo; Schwartzman; Sivakadaksham; Thanikachalam; Cortez)   |
| IND 265  | 5/5/00  | Lilia Talarico  | Barbara Finn     | SAE line listing through March 15, 2000  |
|  | 5/11/00 | Phyllis Collins | Flourence Houn   | Approvable Letter  |
| NDA 052  | 5/12/00 | Julieann DuBeau | Phyllis Collins  | Response to FDAs letter of May 11, 2000 and our intention to submit an amendment to address the deficiencies.  |
| NDA 053  | 5/17/00 | Julieann DuBeau | Phyllis Collins  | Response to FDAs request of May 17, 2000 regarding CMC: submitted the current version of the BioReliance SOP STBT5005 revision 2 entitled Thrombin Inhibition Assay for Bivalirudin drug substance and Bivalirudin containing drug products.   |
|  | 5/17/00 | Phyllis Collins | Julieann DuBeau  | Telephone contact to request a teleconference with BioPharmaceutics reviewer.  |
|  | 5/17/00 | Phyllis Collins | Julieann DuBeau  | BVL Inspection was being done. Art Shaw was asking for current final assay report be faxed to his attention.   |
| NDA 054  | 5/17/00 | Julieann DuBeau | Phyllis Collins  | Request Teleconference with BioPharmaceutics to discuss the deficiencies outlined in the Approvable Action Letter of May 11, 2000.   |
|  | 5/18/00 | John Richards   | Julieann DuBeau  | Correct fax number for Dr. Shaw  |
|  | 5/19/00 | Phyllis Collins | Julieann DuBeau  | HERO-2 SAEs and Request for Teleconference with BioPharmaceutics Reviewer  |
| IND 266  | 5/23/00 | Lilia Talarico  | Barbara Finn     | Follow-up Safety Report #TTMC259 occurring in HERO-2 submitted as amendment #169   |
| IND 267  | 5/23/99 | Julieann DuBeau | John D. Richards | Protocol Amendment; New Protocol entitled "Bivalirudin pharmacokinetics and pharmacodynamics in patients with severe renal impairment"   |
| IND 268  | 5/24/00 | Lilia Talarico  | Barbara Finn     | Protocol Amendment; New Investigators for HERO-2 (Achremczyk; Amosova; Arriaga-nava; Barton; Been; Bobak; Boichev; Bonelli; Trujillo; Murillo; Conradie; Moyano; Dubinski; Dykun; Elenkova; Engelbrecht; Filipensky; Francek; Gjurasin; Golobrodsko; Guryanov; Sivtsova; Herczeg; Tolgyes; Heyndrickx; Hominal; Ippoliti; Ivanusa; Jakic; Jovic; Jukic; Kala; Kojoukharov; Kononenko; Kots; Bobylev; Kraiz; Kramer; Kranjcevic; Kurta; Jusnierz; Lang; Larregle; Legkonogov; Lloyd; Macian; Maevskaya; Petukhov; Manak; Polonetskiy; Silva; Marks; Meniconi; Michali; Valery; Mihailova; Beljaev; Milhov; Mogilevsky; Guisareva; Myburgh; Mynhardt; Naidu; Nociar; Orali; Padovan; Parkhomenko; Perchev; Petrov; Pettinati; Plocek; Popovic; Ramos; Ravazzi; Regos; Romic; Rumboldt; Salomon; Samardzic; Santamaria; Sas; Schinke; Sluka; Spies; Supinski; Suskarin; Tantalio; Thakur; Todorov; Urek; Beck; Vassileva; Vico) |
| IND 269  | 5/30/00 | Lilia Talarico  | Barbara Finn     | Follow-up Safety Report #TTMC259 occurring in HERO-2 originally submitted as amendment #266  |
|  | 5/30/00 | Phyllis Collins | Julieann DuBeau  | Questions regarding fax and submission of May 23, 2000 (Renal Impairment Protocol)   |

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| IND 270  | 6/6/00  | John Richards   | Phyllis Collins   |  | Protocol Amendment; New Protocol entitled "Bivalirudin pharmacokinetics and pharmacodynamics in patients with severe renal impairment (TMC-BIV-00-02); Investigator Information (Robson)   |  |
| IND 271  | 6/6/00  | Julieann DuBeau | Phyllis Collins   |  | Protocol Amendment; New Investigators in TMC-98-10 (Letcher; Berger; McGrew; Wong)   |  |
| IND 272  | 6/13/00 | Julieann DuBeau | Phyllis Collins   |  | Protocol Amendment; New Protocol entitled "The Effect of Angiomax in Combination with Integrilin Versus Heparin in Combination with Integrilin on Laboratory Coagulation Parameters and Clinical Outcomes in Patients Undergoing Percutaneous Coronary Intervention"; Investigator Information (Kleiman)                           |  |
|  | 6/13/00 | Phyllis Collins | Julieann DuBeau   |  | Question to the IND. Request complete copy of HERO-2 protocol.   |  |
| IND 273  | 6/15/00 | Julieann DuBeau | Sonja Barton Loar |  | Response to FDA request of June 13 for amendment protocol "An open prospectively randomized comparison of Hirulog versus heparin in patients receiving aspirin and thrombolysis (streptokinase) for the treatment of acute myocardial infarction; the Hirulog Early Reperfusion/Occlusion (HERO-2) protocol                        |  |
| IND 274  | 6/23/00 | Lilia Talarico  | Barbara Finn      |  | Follow-up Safety Report #TTMC267 occurring in HERO-2 originally submitted as amendment #169  |  |
| IND 275  | 6/27/00 | Lilia Talarico  | Barbara Finn      |  | Protocol Amendment; New Investigators in HERO-2 (Agarwal; Bongolia; Cirko; Desai; Ismail; Yusoff)  |  |
| IND 276  | 6/29/00 | Lilia Talarico  | Phyllis Collins   |  | Protocol Amendment; New Protocol entitled "The Effect of Angiomax in Combination with Tirofiban Versus Heparin in Combination with Tirofiban on Laboratory Coagulation Parameters and Clinical Outcomes in patients Undergoing Percutaneous Coronary Intervention"   |  |
| IND 277  | 7/5/00  | Lilia Talarico  | Barbara Finn      |  | Follow-up Safety Report #TTMC269 occurring in HERO-2 originally submitted as amendment #169  |  |
|  | 7/6/00  | Phyllis Collins | Lilia Talarico    |  | Comments and recommendations regarding submission #263 dated April 14, 2000 containing protocol TMC-99-06 entitled "A comparison of the pharmacodynamic anticoagulant profile of the direct thrombin inhibitor Angiomax (bivalirudin) with heparin after single bolus administration in patients undergoing coronary angioplasty." |  |
| NDA 055  | 7/14/00 | Florence Houn   | Sonja Barton Loar |  | Response to FDAs May 11, 2000 Approvable Action Letter.  |  |
|  | 7/20/00 | Sonja Loar      | Julieann DuBeau   |  | Complete class 2 response to FDA action letter   |  |
|  | 7/20/00 | Julieann DuBeau | Sonja Loar        |  | As requested, four PC-format diskettes containing the July 14, 2000 proposed Angiomax labeling.  |  |
| IND 278  | 7/25/00 | Lilia Talarico  | Sonja Loar        |  | Protocol Amendment to revise protocol TMC-BIV-00-03, entitled "The Effect of Angiomax in Combination with Integrilin versus Heparin in Combination with Integrilin on Laboratory Coagulation Parameters and Clinical Outcomes in patients Undergoing Percutaneous Coronary Intervention"   |  |
| IND 279  | 7/26/00 | Lilia Talarico  | Sonja Loar        |  | Response to FDA request of July 6, 2000 regarding clarification on protocol TMC-99-06 entitled "A comparison of the pharmacodynamic anticoagulant profile of the direct thrombin inhibitor Angiomax (bivalirudin) with heparin after single bolus administration in patients undergoing coronary angioplasty"                      |  |
| IND 280  | 7/28/00 | Lilia Talarico  | Barbara Finn      |  | Protocol Amendment; New Investigators for HERO-2 (Adamus; Carrageta; Percira; Chan; Zoltyis; Gil; Gordienko; Laurencehco; Habscheid; Kamenik; Keung; Khzarnov; Mostovoy; Reissmann; Rinke; Ruano; Saifuddinov; Timashev; Simon; Solka; Antolik; Sullivan; Volkova)   |  |
|  | 7/31/00 | Sonja Loar      | Julieann DuBeau   |  | Internal resubmission review meeting will be held 8/21/00.   |  |
|  | 7/31/00 | Julieann DuBeau | Sonja Loar        |  | Press release which is referenced in our Protocol TMC-BIV-00-03 (serial #272).   |  |
|  | 8/2/00  | TMC             | Jeffrey Weber     |  | User Fee   |  |
| IND 281  | 8/4/00  | Lilia Talarico  | Barbara Finn      |  | Safety Report #TTMC270 occurring in the HERO-2 trial - acute renal failure - initial report.   |  |
| IND 282  | 8/10/00 | Lilia Talarico  | Sonja Loar        |  | Protocol amendment to revise protocol TMC-BIV-00-03, entitled "The effect of Angiomax in combination with Integrilin versus heparin in combination with Integrilin on laboratory coagulation parameters and clinical outcomes in patients undergoing Percutaneous coronary intervention"   |  |

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|  | 8/15/00  | Julieann DuBeau | Sonja Loar      |  | Desire to change dosing table to reflect a constant concentration infusion bag.   |
| IND 283  | 8/18/00  | Lilia Talarico  | Sonja Loar      |  | Response to FDA request of August 15 (teleconference) regarding predicted hemorrhage/bleeding rates for patients randomized to the Integrilin/heparin arm of study TMC-BIV-00-03; New Investigators for protocol #TMC-BIV-00-04 entitled "The Effect of Angiomax in Combination with Tirofiban versus Heparin in Combination with Tirofiban on Laboratory Coagulation parameters and Clinical Outcomes in Patients Undergoing Coronary Intervention." |
|  | 8/21/00  | Julieann DuBeau | John Richards   |  | Slides from the ESPRIT trial presented at the ACC meeting.  |
|  | 8/24/00  | Julieann DuBeau | Phyllis Collins |  | Request feedback on internal meeting held on 8/21/00.   |
| IND 284  | 8/24/00  | Lilia Talarico  | Phyllis Collins |  | SAE Line listing and tables for HERO-2 from March 14 through June 15, 2000  |
| IND 285  | 8/30/00  | Lilia Talarico  | Barbara Finn    |  | Protocol Amendment; New Investigators for HERO-2 (Apfel; Gault; Gessek; Grishina; Pluta; Pulkowski; Staroverov; Zhurova; Titlbach)  |
| IND 286  | 9/14/00  | Lilia Talarico  | Phyllis Collins |  | DSMB - 3 <sup>rd</sup> report for HERO-2; New Investigators for study TMC-98-10 (Melnik; Mahaffey)  |
| IND 287  | 9/18/00  | Lilia Talarico  | Barbara Finn    |  | Follow-up Safety Report #TTMC273 occurring in HERO-2 originally submitted as amendment #169   |
| IND 288  | 9/29/00  | Lilia Talarico  | Barbara Finn    |  | Protocol Amendment; New Investigators for HERO-2 (Bhuvanawaran; Habaluyas; Parikh; Soon)  |
|  | 10/2/00  | Phyllis Collins | Lilia Talarico  |  | Recommendation regarding submission #272 dated June 13, 2000 containing a new protocol entitled "The Effect of Angiomax in Combination with Integrilin versus Heparin in Combination with Integrilin on Laboratory Coagulation parameters and Clinical Outcomes in patients Undergoing Percutaneous Coronary Intervention."   |
|  | 10/6/00  | Sonja Loar      | Julieann DuBeau |  | Discuss revised Dosage and Administration section; as well as review status.  |
| NDA 056  | 10/9/00  | Lilia Talarico  | Sonja Loar      |  | Labeling Revision: Dosage & Administration  |
| IND 289  | 10/17/00 | Lilia Talarico  | Lisa-Sue Wood   |  | SAE Line Listing and tables for HERO-2 from June 15 through September 15, 2000  |
| IND 290  | 10/18/00 | Lilia Talarico  | Lisa-Sue Wood   |  | Protocol Amendment to revise protocol TMC-BIV-00-03 entitled "The Effect of Angiomax in Combination with Integrilin versus Heparin in Combination with Integrilin on Laboratory coagulation Parameters and Clinical Outcomes in Patients Undergoing Percutaneous Coronary Intervention (third); Investigator Information for study TMC-98-10 (Berger)   |
|  | 10/18/00 | Julieann DuBeau | Sonja Loar      |  | Conference call for Monday, October 23 regarding BioReliance SOP SPBT5005R05, Thrombin Inhibition Assay   |
|  | 10/18/00 | Julieann DuBeau | Sonja Loar      |  | Confirmation regarding participation in Monday's meeting.   |
|  | 10/19/00 | Nadine Ritter   | Tom Wright      |  | Request BioReliance participation in Monday's conference call.  |
|  | 10/20/00 | Julieann DuBeau | Lisa-Sue Wood   |  | BioReliance SOP SPBT5005 (all versions)   |
|  | 10/27/00 | Nathalie Dubois | Liang Zhou      |  | FDA letter to UCB-Bioproducts S.A. regarding DMF 12797. FDA requests additional information and UCB response.   |
|  | 10/30/00 | Julieann DuBeau | Sonja Loar      |  | Faxed draft revised BioReliance Thrombin Inhibition Assay. (need to find original fax and attachments)  |
|  | 10/31/00 | Julieann DuBeau | Sonja Loar      |  | Biopharm review is done. CMC and clinical are to have final reviews by end of week. Labeling negotiations possibly next week.   |
| IND 291  | 11/1/00  | Lilia Talarico  | Barbara Finn    |  | Protocol Amendment - New Investigators in the HERO-2 study (Panchavinnin, Adaro, Adrianza, Almeida, Amarista, Calvo, Carpio, Castillo, Cortez, De Costa, Diaz, Esponera, Gomex, Lombana, Lopez, Medina, Nava, Pacheco, Perez, Rodriguez, Sanchez, Santamaria, Sepulveda, Torres)  |
|  | 11/2/00  | Julieann DuBeau | Sonja Loar      |  | Everything OK with Biopharm review. She hasn't received notification that the facilities are ok. Angiomax still has to be cleared by OPDRA. Looking at labeling the week after next. Hoping for final approval by end of the year.  |
| IND 292  | 11/6/00  | Lilia Talarico  | Sonja Loar      |  | Protocol Amendment: New Protocol TMC-BIV-00-01 Replace; Investigator Information (Lincoff)  |
|  | 11/7/00  | Julieann DuBeau | Sonja Loar      |  | Packaging Information: Vial label, carton label, PCS Information  |
|  | 11/9/00  | Lilia Talarico  | Sonja Loar      |  | Dr. Shaw has one last question regarding BioReliance Thrombin Inhibition Assay SOP SPBT5005   |
| NDA 057  | 11/9/00  | Lilia Talarico  | Sonja Loar      |  | Final approved BioReliance SOP SPBT5005.R06 entitled "Thrombin Inhibition Assay for Bivalirudin Drug Substance and Bivalirudin-Containing Drug Products"  |

| Angiomax™ (bivalirudin)<br>Regulatory Correspondence Log |          |                 |                 |  | Content   |
|--|----------|-----------------|-----------------|--|---|
| Submission Number  | Date     | To              | From            |  |   |
|  | 11/9/00  | Julieann DuBeau | Sonja Loar      |  | Julie requests a different electronic copy of the Dosage and Administration Table 5. She has still not received official notice of the facilities acceptance. The package can go to Victor without it. All reviews are to be finalized by Monday, November 13. Labeling changes will be drafted before Nov 20. Projected time to go to Victor is November 29. |
|  | 11/17/00 | Julieann DuBeau | Sonja Loar      |  | Request for Teleconference regarding proprietary name: Angiomax   |
|  | 11/21/00 | Julieann DuBeau | Sonja Loar      |  | Revised request for teleconference regarding proprietary name: Angiomax   |
| IND 293  | 11/22/00 | Lila Talarico   | Lisa Travis     |  | IND Safety Report #TTMC269 – Follow-up to event occurring in HERO-2 originally submitted as amendment #169. Change in contact for Quintiles to Lisa Travis  |
|  | 11/28/00 | Julieann DuBeau | Sonja Loar      |  | Telefax of attendees for teleconference regarding proprietary name Angiomax   |
|  | 11/28/00 | Sonja Loar      | Julieann DuBeau |  | Divisional comments regarding proposed Angiomax Labeling.   |
| IND 294  | 11/30/00 | Lilia Talarico  | Lisa Wood       |  | Protocol Amendment: New Investigators for study TMC-BIV-00-01 REPLACE (Deibel, Arora, Bhoopalam, Clark, Imburgia, Le, Moor, Sanz, Sarembock, Giles)   |
|  | 11/30/00 | Sonja Loar      | Julieann DuBeau |  | Phase IV Commitment   |
| IND 295  | 12/1/00  | Lilia Talarico  | Lisa Travis     |  | Protocol Amendment: New/Revised Investigators for HERO2 (Bohorquez; Carrillo; Corrales; Garcia; Hernandez; Mex; Nevarez; Restrepo; Silva)   |
| NDA 058  | 12/1/00  | Lilia Talarico  | Sonja Loar      |  | Draft Labeling dated December 1 in response to FDA letter of November 28  |
|  | 12/6/00  | Nathalie Dubois | Liang Zhou      |  | 4 Additional questions from FDA   |
|  | 12/8/00  | Julieann DuBeau | Sonja Loar      |  | Fax regarding references in labeling.   |
|  | 12/8/00  | Julieann DuBeau | Sonja Loar      |  | e-mail regarding labeling.  |
|  | 12/8/00  | Julieann DuBeau | Sonja Loar      |  | Hardcopy fax of D&A section.  |
|  | 12/8/00  | Julieann DuBeau | Sonja Loar      |  | Fax of referenced pages (labeling)  |
|  | 12/11/00 | Sonja Loar      | Julieann DuBeau |  | Agreed labeling text between the Division and TMC.  |
|  | 12/ /00  | Sonja Loar      | Lilia Talarico  |  | Post-approval commitment  |
| IND 296  | 12/12/00 | Lilia Talarico  | Lisa Travis     |  | IND Safety report TTMC273 (HERO-2)  |
| IND 297  | 12/13/00 | Lilia Talarico  | Lisa Travis     |  | IND Safety report TTMC270 (HERO-2)  |
|  | 12/15/00 | Sonja Loar      | Julie DuBeau    |  | <b>APPROVAL of Angiomax</b>   |
|  | 12/20/00 |                 |                 |  | FDA's posting on their homepage: Angiomax approval  |
| S001   | 12/20/00 | Lilia Talarico  | John Richards   |  | 18 month expiry data  |
| IND 298  | 12/22/00 | Lilia Talarico  | Lisa Wood       |  | REPLACE investigator information  |
|  | 12/22/00 | Dept H&HS       | Lisa Wood       |  | Drug listing forms 2657 & 2658 (copies can be found in file room Drug Registration and Listing)   |
| IND 299  | 12/29/00 | Lilia Talarico  | Lisa Travis     |  | HERO-2 investigators  |

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